TESS v5.2 Platform – Security Target Lite

Common Criteria

Security Target – Public version

EAL4+

Version	Date (dd/mm/yyyy)	Author	Modifications
1.0p	04/02/2025	THALES DIS	Created from evaluated ST (v1.0)

TABLE OF CONTENTS

TABLE OF FIGURES

TABLE OF TABLES

1 Reference documents

1.1 EXTERNAL REFERENCES [ER]

[ISO]	ISO references
[ISO7816]	Identification cards – Integrated circuit(s) cards with contacts - Books 1 to 9
[ISO/IEC 10116]	ISO/IEC 10116 Information Technology - Security techniques, Modes of operation for an n-bit block cipher, , 2017
[ISO/IEC 14888-2]	ISO/IEC 14888-2 Information technology – Security techniques, Digital signatures with appendix – Part 2: Integer factorization based mechanisms, , 2008
[ISO/IEC 18032]	ISO/IEC 18032:2020(E) Information technology – Security techniques – Prime number generation, Second Edition 2020-12
[ISO/IEC 18033-3]	ISO/IEC 18033-3 Information technology - Security techniques, Encryption algorithms - Part 3: Block ciphers, , 2010
[ISO/IEC 9797-1]	ISO/IEC 9797-1 Information Technology - Security techniques, Message Authentication Codes (MACs), Part 1: Mechanisms using a block cipher, , 2011
[ISO/IEC 9797-2]	ISO/IEC 9797-2 Information Technology - Security techniques, Message Authentication Codes (MACs), Part 2: Mechanisms using a dedicated hash-function, , 2011
[Javacard]	**Javacard references**
[JCRE3]	Java Card 3 Platform - Runtime Environment Specification, Classic Edition Version 3.1.0, November 2019
[JCVM3]	Java Card 3 Platform - Virtual Machine Specification, Classic Edition Version 3.1.0, November 2019
[JCAPI3]	Java Card 3 Platform - Java Card API, Classic Edition Version 3.1.0, November 2019
[GP]	**Global Platform references**
[GPCS]	GlobalPlatform Technology - Card Specification v2.3.1, March 2018 Reference: GPC_SPE_034
[Amd A]	GlobalPlatform Card - Confidential Card Content Management Card Specification v2.3 – Amendment A v1.2 Reference: GPC_SPE_007
[Amd B]	GlobalPlatform Card - Remote Application Management over HTTP Card Specification v2.2 – Amendment B v1.1.3 Reference: GPC_SPE_011
[Amd C]	GlobalPlatform Card – Contactless services Card Specification v2.3 – Amendment C v1.3 Reference: GPC_SPE_025
[Amd D]	GlobalPlatform Card Technology - Secure Channel Protocol '03' Card Specification v2.3 – Amendment D v1.2 Reference: GPC_SPE_014
[Amd E]	GlobalPlatform Card Technology - Security Upgrade for Card Content Management Card Specification v2.3 – Amendment E v1.1 Reference: GPC_SPE_042
[Amd F]	GlobalPlatform Card - Secure Channel Protocol '11' Card Specification v2.3 – Amendment F v1.2.1 Reference: GPC_SPE_093
[Amd H]	GlobalPlatform Card - Executable Load File Upgrade Card Specification v2.3 – Amendment H v1.1 Reference: GPC_SPE_120
[PF]	GlobalPlatform Card - Privacy Framework v1.0.1 Reference: GPC_SPE_100

[CIC]	Common Implementation Configuration v2.0 Reference: GPC_GUI_080
[SE_CFG]	GlobalPlatform Secure Element Configuration v1.0 Reference: GPC_GUI_049
[CC]	**Common Criteria references**
[CC-1]	Common Criteria for Information Technology Security Evaluation Part 1: Introduction and general model CCMB-2022-11-001, CC:2022, Revision 1, November 2022
[CC-2]	Common Criteria for Information Technology Security Evaluation Part 2: Security Functional Requirements CCMB-2022-11-002, CC:2022, Revision 1, November 2022
[CC-3]	Common Criteria for Information Technology Security Evaluation Part 3: Security Assurance Components CCMB-2022-11-003, CC:2022, Revision 1, November 2022
[CC-4]	Common Criteria for Information Technology Security Evaluation Part 4: Framework for the specification of evaluation methods and activities CCMB-2022-11-004, CC:2022, Revision 1, November 2022
[CC-5]	Common Criteria for Information Technology Security Evaluation Part 5: Pre-defined packages of security requirements CCMB-2022-11-005, CC:2022, Revision 1, November 2022
[CC2022-Transition]	Transition policy to CC:2022 and CEM:2022, April 2023, CCMC-2023-04-001
[CC-Errata]	Errata and Interpretation for CC:2022 (Release 1) and CEM:2022 (Release 1), Unique identifier: 002, v1.1, July 2024
[CCDB]	Common Criteria Supporting Document, Mandatory Technical Document – Composite product evaluation for Smart Cards and similar devices Version 1.5.1, May 2018.
[PP-GP]	GlobalPlatform Technology - Secure Element Protection Profile Ref: GPC_SPE_174, Version 1.0
[PP-JCS]	Java Card System – Open Configuration Protection Profile Ref: BSI-CC-PP-0099-V2-2020, Version 3.1, April 2020
[PP-CSP]	Cryptographic Service Provider Protection Profile BSI-CC-PP-0104-2019, Version 0.9.8, February 2019
[PP/0084]	Security IC Platform Protection Profile with augmentation Packages Ref: BSI-CC-PP-0084-2014
[ST_IC]	Security Target Lite of S3NSEN6 , Samsung Electronics Co., Ltd – Version 2.0, July 9th, 2024
[419 212]	CEN/EN 419 212: Application Interface for smart cards used as Secure Signature Creation Devices, Part 1 (Basic services) & Part 2 (Additional services), 28/08/2014
[ICAO]	**ICAO references**
[ICAO 9303]	Machine Readable Travel Documents, 7th edition 2015
[NIST]	**NIST SP references**
[NIST 800 57]	Recommendation for Key Management – Part 1: General (Revised) March 2007
[NIST-SP800-38A]	NIST, SP800-38A Recommendation for Block Cipher Modes of Operation: Methods and Techniques
[NIST 800 38B]	Recommendation for Block Cipher Modes of Operation: The CMAC Mode for Authentication, May 2005
[NIST-SP800-38C]	NIST, Recommendation for Block Cipher Modes of Operation: the CCM Mode for Authentication and Confidentiality, May 2004
[NIST-SP800-38D]	NIST, SP800-38D Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC, November 2007
[NIST-SP800-38F]	NIST, SP800-38F Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping, 2012
[NIST-SP800-56C]	NIST, Recommendation for Key Derivation through Extraction-then-Expansion, Special Publication SP800-56C, November 2011

[NIST FIPS 186-3]	NIST, Digital Signature Standard (DSS), 2009
[FIPS197]	Federal Information Processing Standards Publication 197 ADVANCED ENCRYPTION STANDARD (AES), 2001 November 26
[FIPS 46]	DATA ENCRYPTION STANDARD (DES), 1999
[FIPS PUB 186-4]	NIST, Digital Signature Standard (DSS), 2013
[FIPS PUB 180-4]	NIST, Secure Hash Standard (SHS), 2012
[ETSI]	**ETSI references**
[TS 101.220]	ETSI numbering system for telecommunication application providers Version 9.3.0
[TS 102.124]	Transport Protocol for UICC based Applications; Stage 1 Version 7.1.0
[TS 102.127]	Transport protocol for CAT applications; Stage 2 Version 6.10.0
[TS 102.176]	ETSI TS 102 176-1 V2.0.0 (2007-11) Electronic Signatures and Infrastructures (ESI); Algorithms and Parameters for Secure Electronic Signatures; Part 1: Hash functions and asymmetric algorithms
[TS 102.221]	Physical and logical characteristics Version 15.4.0 (Partial)
[TS 102.222]	Administrative commands and telecommunications applications Version 15.0.0
[TS 102.223]	Card Application Toolkit (CAT) Version 15.3.0
[TS 102.224]	Security mechanisms for UICC based Applications - Functional requirements Version 14.0.0
[TS 102.225]	Secured packet structure for UICC based applications Version 13.0.0
[TS 102.226]	Remote APDU structure for UICC based applications Version 13.1.0 (Partial)
[TS 102.230]	UICC-Terminal interface: Physical, electrical and logical test specification Version 10.2.0
[TS 102.240]	UICC API and Loader Requirements; Service description Version 7.0.0 + Release 8 (Partial)
[TS 102.241]	UICC API for Java Card Version 13.1.0
[TS 102.267]	Connection Oriented Service API for the Java Card platform Version 7.0.0
[TS 102.310]	Extensible Authentication Protocol support in the UICC Version 7.0.0
[3GPP]	**3GPP references**
[TS 21.111]	USIM and IC card requirements Version 15.1.1
[TS 23.048]	Security mechanisms for the (U)SIM application toolkit; Stage 2 Version 5.9.0
[TS 31.101]	UICC-terminal interface; Physical and logical characteristics Version 15.1.0
[TS 31.102]	Characteristics of the USIM application Version 15.8.0
[TS 31.103]	Characteristics of the IP Multimedia Services Identity Module (ISIM) application Version 14.1.0
[TS 31.111]	USIM Application Toolkit (USAT) Version 15.8.0
[TS 31.115]	Secured packet structure for USIM Toolkit applications Version 15.0.0

[TS 31.116]	Remote APDU Structure for USIM Toolkit applications Version 15.0.0
[TS 31.130]	USIM API for Java Card Version 15.1.0 (Partial)
[TS 31.133]	ISIM API for Java Card Version 15.0.0
[TS 31.900]	SIM/USIM internal and external interworking aspects Version 8.0.0
[TS 31.919]	2G/3G Java Card Application Programming Interface (API) based applet interworking Version 8.0.0
[TS 33.102]	3G security; Security architecture Version 15.1.0
[TS 33.105]	Cryptographic algorithm requirements Version 15.0.0
[TS 33.401]	System Architecture Evolution (SAE), Security architecture Version 15.10.0
[TS 33.501]	Security architecture and procedures for 5G system Version 15.7.0
[TS 35.206]	Specification of the Milenage algorithm, document 2: Algorithm specification Version 15.0.0
[TS 35.231]	Specification of the TUAK algorithm, document 1: Algorithm specification Version 15.0.0
[CSP]	**CSP references**
[CSP-SPEC]	CSP specification: CSP-API Definition, 2019_01_24_csp_api_def, v1.4, January 2018
[OTHERS]	
[PKCS#1]	PKCS #1 v2.2: RSA Cryptographic Standard, https://www.emc.com/emc-plus/rsa- labs/pkcs/files/h11300-wp-pkcs-1v2-2-rsa-cryptography-standard.pdf, 27.10.2012
[RFC2104]	RFC2104, HMAC: Keyed-Hashing for Message Authentication
[RFC5639]	RFC5639, Elliptic Curve Cryptography (ECC) Brainpool Standard Curves and Curve Generation, http://www.ietf.org/rfc/rfc5639.txt, 2010
[RFC2104]	RFC2104, HMAC: Keyed-Hashing for Message Authentication
[RFC5639]	RFC5639, Elliptic Curve Cryptography (ECC) Brainpool Standard Curves and Curve Generation, http://www.ietf.org/rfc/rfc5639.txt, 2010
[RFC5903]	RFC5903, Elliptic Curve Groups modulo a Prime (ECP Groups) for IKE and IKEv2
[RFC6954]	RFC6954, Using the Elliptic Curve Cryptography (ECC) Brainpool Curves for the Internet. Key Exchange Protocol Version 2 (IKEv2),
[TPMLib,Part 1]	Trusted Platform Module Library, Part 1: Architecture, Family “2.0”, Level 00, Revision 01.38, September 29, 2016
[TR-03110]	BSI, Technical Guideline TR-03110 Advanced Security Mechanisms for Machine Readable Travel Documents and eIDAS Token – Part 2 - Protocols for electronic IDentification, Authentication and trust Services (eIDAS), Version 2.21, 2016
[TR-03111]	BSI, Elliptic Curve Cryptography, BSI Technical Guideline TR-03111, Version 2.1, 1.6.2018
[AIS 20/31]	A proposal for: Functionality classes for random number generators, version 2.0, 18.09.2011, Bundesamt für Sicherheit in der Informationstechnik
[PKI]	MRTD Technical Report, PKI for Machine Readable Travel Documents Offering ICC Read-Only Access, International Civil Aviation Organization, Version 1.1, October 01 2004
[ANSI-X9.63]	ANSI-X9.63, Key Agreement and Key Transport Using Elliptic Curve Cryptography, 2011

[FIDO-ECDAA]	FIDO Alliance, Alliance Proposed Standard FIDO ECDAA Algorithm, https://fidoalliance.org/specs/fido-u2f-v1.2-ps-20170411/fido-ecdaa-algorithm-v1.2-ps-20170411.html, 11 April 2017

1.2 INTERNAL REFERENCES [IR]

[AGD]	TOE guidance documentation
[OPE]	D1628124 - Operational guidance on CC platforms - TESS v5.2, v1.0
[OPE-VA]	D1628125 - Operational guidance on CC platforms for VA - TESS v5.2, v1.0
[PRE]	D1628123 - Preparative guidance on CC platforms - TESS v5.2, v1.0
[GUI_DAP]	D1578508 - Samsung_Security_Guide_DAP_Tech_Note_v1.6, July 4, 2024
[GUI_BasicApp]	GPC_CompositionModel_SecurityGuidelinesForBasicAppln_v2.0
[GUI_SecureApp]	D1516176 v3.2b December 2023 - Guidance for Secure application development on Thales Embedded Secure Solutions
[NOTE_GUI]	D1546158 v1.1 - Security Technical Note for the guides of Thales Embedded Secure Solutions
[PatchLoad_Mngt]	D1344508 A04 - Patch Loading Management for Certified Secure Elements - External Procedure
[AGD-APP-DEV]	UpTeq_Applet Dev Guide_D1542793A, Feb 11, 2021
[AGD-APDU]	TESSv5.2 - APDU Guide, D1631314, November 11, 2024
[AGD-User]	TESSv5.2 - User's Guide, D1631315, November 11, 2024
[AGD_APP-VERIF]	D1258682 C03b - Application Verification for Certified Secure Elements - External Procedure
[AGD-CSP]	CSP_API_Programming_Guidelines_1.1, Nov 2022

2 Acronyms

AES	Advanced Encryption Standard
AID	Application Identifier
AM	Authorized Management
AP	Application Provider
APDU	Application Protocol Data Unit
API	Application Programming Interface
APSD	Application Provider Security Domain
CA	Controlling Authority
CAD	Card Acceptance Device
CASD	Controlling Authority Security Domain
CBC	Cipher Block Chaining
CC	Common Criteria
CPU	Central Processing Unit
CSP	Cryptographic Service Provider
CVM	Cardholder Verification Method
DAP	Data Authentication Pattern
DES	Data Encryption Standard
DM	Delegated Management
EAL	Evaluation Assurance Level
ECC	Elliptic Curve Cryptography
EEPROM	Electrically-Erasable Programmable Read-Only Memory
ELF	Executable Load File
ES	Embedded Software
GP	GlobalPlatform
HMAC	Keyed-Hash Message Authentication Code
IC	Integrated Circuit
IT	Information Technology
ISD	Issuer Security Domain
JCAPI	JavaCard API
JCRE	JavaCard Runtime Environment
JCS	JavaCard System
JCVM	JavaCard Virtual Machine
MAC	Message Authentication Code
NVM	Non-Volatile Memory
OP	Open Platform
OTA	Over-The-Air
PIN	Personal Identification Number
PP	Protection Profile
RAM	Random Access Memory
RMI	Remote Method Invocation
RNG	Random Number Generator
ROM	Read-Only Memory
RSA	Rivest / Shamir / Adleman asymmetric algorithm
SAR	Security Assurance Requirement
SCP	Secure Channel Protocol; or (ETSI) Smart Card Platform
SD	Security Domain
SSD	Supplementary Security Domain
ST	Security Target
TDES	Triple Data Encryption Standard
TOE	Target Of Evaluation
TSF	TOE Security Functionality
UCP	Update Code Package
VA	Verification Authority

VASD	Verification Authority Security Domain

3 Security Target introduction

3.1 SECURITY TARGET IDENTIFICATION

Title: TESS v5.2 Platform – Security Target
Version: 1.0p
Author: Thales DIS
Reference: D1628121_TESSv5.2_ST
Publication date: 04/02/2025

3.2 TOE IDENTIFICATION

Product name: TESS v5.2 on S3NSEN6
TOE name: TESS v5.2 Platform
TOE revision: 1.0

- **TOE Software version:** See below *TOE identification data
- **TOE documentation:** Guidance [AGD]
- **TOE hardware part:** S3NSEN6 security controller

Developer: Thales DIS

***TOE identification data**

The significant part is noted in **bold**. Note that there are two configurations for this TOE but the OS release is the same for both.

OS Identification data

Select (ISD_AID)	00 A4 04 00 08 A000000151000000
Get Data (00 FE) >> Platform Identification data	80 CA 00 FE 00
Value (answer to Get Data)	FE15060A2B060104012A026E01030607**D0026115C60115**

Field	Value
Javacard version	2B060104012A026E0103
OS information - PDM counter - OS release	 - **D0026115C6** - **0115** (in Hex 0x01, 0x15 → Decimal value 1.21)

- **Configuration without optional patch**

OS update Identification data Get Data command (tag FD)

Value FD04**00060000**

OS Update Version = **00060000** (6 pre-issuance patches present in the TOE)

- **Configuration with optional patch**

OS update Identification data Get Data command (tag FD)

Value FD04**00070000**

OS Update Version = **00070000** (6 pre-issuance patches and 1 post-issuance patch present in the TOE)

3.3 TOE OVERVIEW

TESS v5.2 on S3NSEN6 is a combo eSE/eUICC product addressing the consumer electronics mobile market. Both eSE and eUICC features are isolated logically (via framework) and physically (via interface/protocol). Only the eSE part is in the scope of this evaluation.

As an eUICC product, it ensures the authentication of the subscriber to the MNO network, giving access to MNO services and applications. For that purpose, it is compliant with SGP.21/SGP.22 RSP v2.4 and SIM Alliance eUICC Profile Package v2.3.1.

As an eSE product, it ensures the data is stored in a safe place and information is given to only authorized applications and people. It is a multi-applicative security device, intended to host e.g. payment, eID, access control, transport and/or loyalty applications.

TESS v5.2 is built upon an opened platform implementing the [Javacard] and GlobalPlatform [GP] standards, meaning that additional applications – which may not be known at the time of the present evaluation – can be remotely loaded and installed on the eSE “post-issuance”, i.e. after the mobile device has been delivered to the end-user. Applications can also be installed “pre-issuance” during the pre-personalization or personalization phases. Whatever the scenario (pre-issuance or post-issuance), applications’ loading and installation are secured by the GP security mechanisms and verification processes.

TESS v5.2 on S3NSEN6 is able to communicate over [ISO7816] (T=0, T=1) and SWP (Single Wire Protocol) contact protocols. Inserted in a NFC-enabled mobile device, it allows communication with a terminal using the standard [ISO14443] communication protocol. Thus, it offers convergence between the mobile communication environment and the convenience and security of contactless transactions based on smartcard technology.

Thus, a mobile NFC payment transaction is achieved by swiping the mobile over a NFC reader at a point of sale, creating a secure connection between the reader and the eSE in which a banking application secures the transaction.

In the same manner, a public transport access is granted to a user by swiping the mobile over a NFC reader, creating a secure connection between the reader and the eSE in which a transport application manages the access control operation.

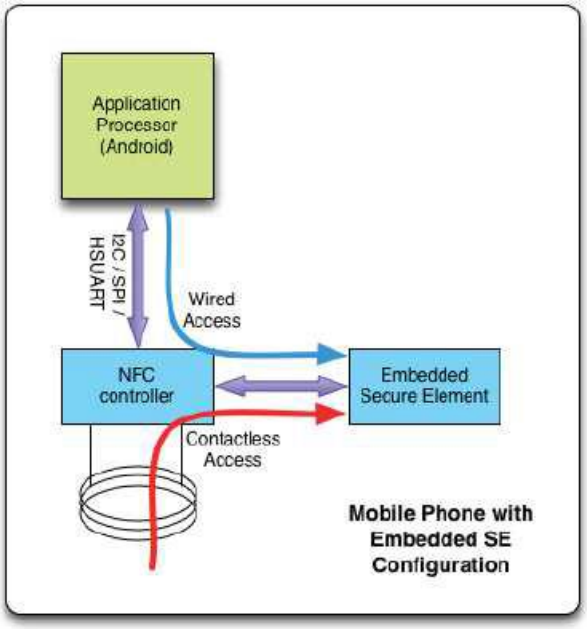


Figure 1: TOE product environment

For the present evaluation, the Target of Evaluation (TOE) is the platform part of the TESS v5.2 on S3NSEN6 software. The TOE boundaries encompass:

- **The Javacard System (JCS)** implemented according to the [Javacard] standard, which manages and executes applications called applets. It also provides Javacard APIs for applet development
- **The GlobalPlatform (GP) functionalities** implemented according to the [GP] standard, which provide a common and widely used interface to communicate with a smartcard and manage applications in a secure way.
- **The Cryptographic Service Provider (CSP**) implemented according to [CSP-SPEC]
- **The Telecom environment** implemented according to [ETSI] and [3GPP], including Network Authentication Applications (NAA, not evaluated) and Telecom communication protocols
- **The GemActivate application**, which is the Thales proprietary solution to activate services and/or load software patches post-issuance, under OEMs and Thales administration
- **The S3NSEN6 Integrated Circuit**
- **The guidance documentation [AGD]**

3.3.1 Available Non-TOE Hardware/Software/Firmware

This ST follows the Java Card PP approach, which consists of focusing on the definition of security problems, objectives and requirements that are specific to Java Card and GlobalPlatform features.

Therefore, formally, non-TOE components are the following:

- Bytecode Verifier (off-card component)
- In order to manage distant secure channel according to [GP], a remote system must be able to establish a connection with TOE and therefore must possess shared secret with TOE.
- Applets are supposed to be used with the platform to communicate to external world. Applet can create a dedicated secure channel using platform services. In such case, a remote system must be able to establish a connection with applet and therefore must possess shared secret with applet.

4 TOE Description

4.1 ARCHITECTURE OF TESS V5.2

The high-level architecture of the TESS v5.2 on S3NSEN6 can be represented by Figure 2. In this figure, the elements in blue are configurable.

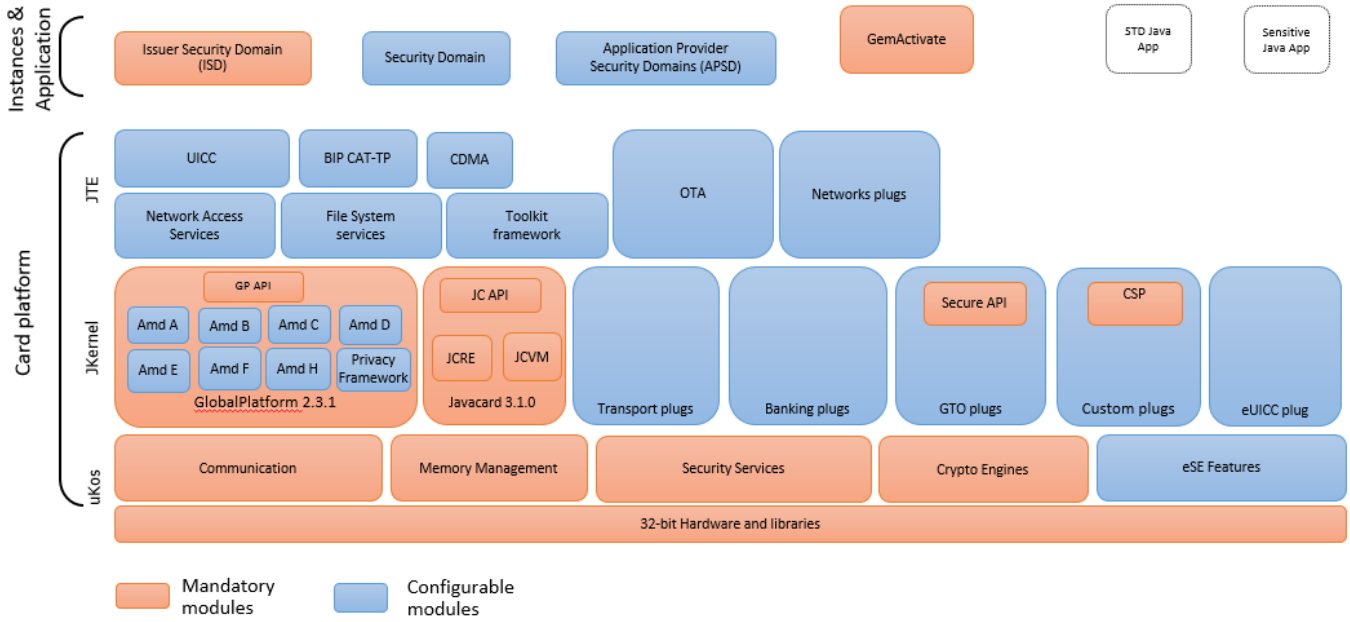


Figure 2: TESS v5.2 on S3NSEN6 architecture

The architecture can be decomposed in three layers:

- The hardware layer composed of the S3NSEN6 integrated circuit
- The TESS v5.2 platform, which is the operating system of the product
- The application layer, encompassing standard and sensitive applications, as well as the security domains.

4.2 TOE BOUNDARIES

4.2.1 TOE physical boundaries

The S3NSEN6 IC is a tamper-proof chip in Wafer Chip Scale Package (WLCSP) format, which can be soldered in any device PCB.

For the present evaluation, the TOE physical boundaries encompass the S3NSEN6 IC with the Thales TESS v5.2 embedded software. It includes TESSv5.2 OS, 6 pre-issuance patches and may include 1 optional post-issuance patch, depending on the configuration, as defined in §3.2. Any other item is outside the scope of the evaluation.

4.2.2 TOE logical boundaries

The present Security Target claims conformance to the [PP-GP] and [PP-CSP] protection profiles; the TOE logical boundaries are delimited (dash line in red) in Figure 3.

In this figure, the TSF components have been put in red color. The other components (in blue color) do not participate to the TOE security.

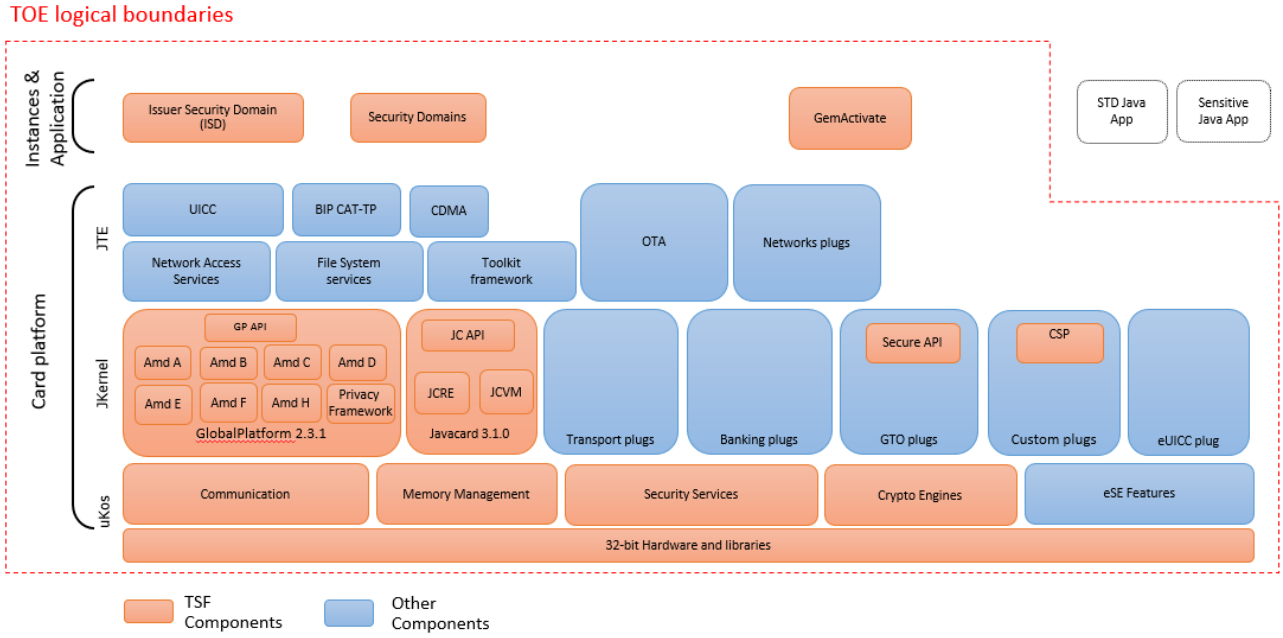


Figure 3: TOE logical boundaries

4.3 TESS v5.2 PLATFORM DESCRIPTION

The TESS v5.2 platform implements two major industry standards:

- Oracle's Java Card 3.1.0 [Javacard], which consists of the Java Card 3.1.0 Virtual Machine, Java Card 3.1.0 Runtime Environment and the Java Card 3.1.0 Application Programming Interface.
- Global Platform 2.3.1 [GP], SE Configuration.

It is an opened platform, meaning that additional applications – which may not be known at the time of the present evaluation – can be remotely loaded and installed on the eSE "post issuance", i.e. after the mobile device has been delivered to the end-user. Applications can also be installed "pre-issuance" during the pre-personalization or personalization phases. Whatever the scenario (pre-issuance or post-issuance), applications' loading and installation are secured by the GlobalPlatform security mechanisms and verification processes.

The platform implements (at least) the following services:

- Management and control of the communication between the card and external entities
- Card basic security services as follows:
  - Checking environmental operating conditions using information provided by the IC
  - Checking life cycle consistency
  - Providing secure cryptography primitives and algorithms

  - Ensuring the security of the PIN and cryptographic key objects
  - Generating random numbers
  - Handling secure data object and backup mechanisms
  - Managing memory content
- Enforcement of the Javacard firewall mechanism
- Standard Application Programming Interfaces (APIs) such as the Javacard API (JCAPI) and the Global Platform API (GPAPI). The TESS v5.2 provides also the following Java Card System augmentation packages: Sensitive Result, Sensitive Array, Monotonic Counter, Cryptographic Certificate Management, Key Derivation Function and System Time.
- Proprietary Thales API: Secure API which provides security services to applications
- Initialization of the Issuer Security Domain (ISD) and management of the card life cycle
- Creation and management of Supplementary Security Domains (SSD)
- SCP02, SCP03, SCP11, SCP80 and SCP81 support
- RSA, ECC support
- CSP, a cryptographic service provider package, providing cryptographic services for the protection of the confidentiality and the integrity of user data, and for entity authentication. CSP is compliant with [CSP-SPEC] and provides the following services:

  - Authentication of users,
  - Authentication and attestation of the platform to entities,
  - Data authentication and non-repudiation including time stamps,
  - Encryption and decryption of user data,
  - Trusted channel including mutual authentication of the communicating entities, encryption and message authentication proof for the sent data, decryption and message authentication verification for received data,
  - Management of cryptographic keys with security attributes including key generation, key derivation and key agreement, internal storage of keys, import and export of keys with protection of their confidentiality and integrity,
  - Generation of random bits which may be used for security services outside the platform.
  - Management of certificates including import
  - Management of import and export of user data and access control
  - Security management including management of security functions behavior, of Authentication reference data, of security attributes of cryptographic keys, maintaining roles, restricting the ability to manage security functions such as password authentication and trusted channel to the Administrator
  - Protection management including management of the integrity or confidentiality of data and TSF data that required integrity or confidentiality, management of the residual information protection, management of failures, management of physical attack, management of self-tests

- PACE and mEAC (modular Extended Access Control) support
- Secure loading, installation and deletion of applications within each SD
- Secure loading of software patches (GemActivate)

The platform implements the following modes but they are out of scope of the TOE:

  - EACv1
  - Integrated mapping (DH and ECDH)
  - Generic mapping (DH)

Table 1 is instantiated from [PP-GP] and provides a complete view of the mandatory (M) and optional GlobalPlatform features implemented by the TOE (marked as 'Yes' in the table). Accordingly, the three rightmost columns indicate the corresponding selections from [PP-GP]:

- A cross ('X') indicates that the related privilege is covered by the core part of [PP-GP]

- PP packages taken into account for the present evaluation are: DAP, MDAP, DM, CVM, CLFDB, GS
- PP modules taken into account for the present evaluation are: ELFU, CCCM, CTL, OS Update
- PP modules not taken into account for the present evaluation (as the corresponding feature is not supported by the TOE) are: SEMS.

As part of a UICC product, the TESS v5.2 platform also implements a Javacard Telecom Environment (JTE) compliant with the [ETSI] and [3GPP] specifications. As shown in Figure 3, the JTE is included within the TOE but doesn't provide any security function for the present evaluation.

Supported	**M**	**Yes**	**M**	**Selections in [PP-GP]**		
Privilege	**ISD**	**SSD**	**Application**	**Core**	**Package**	**PP-Module**
Security Domain	M	M	NA	X		
Card Lock	M	Yes	Yes	X		
Card Terminate	M	Yes	Yes	X		
Card Reset	Yes	Yes	Yes	X		
Trusted Path	M	Yes	No	X		
Global Delete	M	Yes	NA	X		
Global Lock	M	Yes	NA	X		
Global Registry	M	Yes	NA	X		
Final Application	Yes	Yes	Yes	X		
Authorised Management (AM)	M	Yes	NA	X		
DAP Verification	No	Yes	NA		DAP	
Mandated DAP Verification	No	Yes	NA		MDAP	
Delegated Management (DM)	NA	Yes	NA		DM	
Token Verification	M	Yes	NA		DM	
Receipt Generation	M	Yes	NA		DM	
CVM Management	Yes	Yes	Yes		CVM	
Contactless Activation	No	No	Yes			CTL
Contactless Self Activation	No	No	Yes			CTL
Ciphered Load File Data Block (CLFDB)	No	Yes	No		CLFDB	
Global Service (GS) (optional)	No	No	Yes		GS	
						ELFU
						CCCM
						~~SEMS~~
						OS Update

Table 1: GlobalPlatform privileges and features supported by the TOE

4.4 APPLICATION LAYER DESCRIPTION

Applications can be split in two categories:

- Secure applications: these are sensitive applications, such as e.g. banking applets, whose security is assessed and certified through international schemes (Common Criteria, EMVCo etc.)
- Standard applications, also called “basic” applications: these are the other applications. Although they do not face a formal security evaluation, assurance has to be provided that they do not threaten the sensitive applications and their assets. This assurance is provided through a verification process. Security mechanisms are in place at platform level to ensure that applications which are loaded post issuance have been verified.

4.5 TOE LIFE-CYCLE

The product and TOE life cycle is composed of 7 phases which are described in table 2. The table also mentions the actor(s) involved in each phase, as well as the associated location(s).

The loading of the TESS v5.2 software occurs during phase 5, after which the IC loading service is locked and no more available. The TOE delivery point – which determines the boundary between the ALC and AGD Common Criteria assurance classes – is put at the end of phase 5, as illustrated in figure 5.

As described, at the end of phase 6 Samsung LSI delivers personalized product to the Original Equipment Manufacturer (OEM). At this stage, the TOE is entirely built and protects itself through the security mechanisms implemented in the operating system and the underlying IC.

Notes related to applications development

The basic and secure applets development is part of the product life cycle, but is outside the scope of the present evaluation (since applications are out of the TOE).

The Thales applications will be verified using the evaluated Thales verification process prior to be loaded in Pre-Issuance.

In the same way, but to protect the supplier intellectual property, the applications provided by external Application Providers, must be verified and signed by the Verification Authority (VA) prior to be loaded in Pre-Issuance. Application signature will be checked prior to load these applications on the Secure Element in Pre-Issuance.

Note related to patch development

The patch mechanism is part of the TOE and as such its security is assessed within the present evaluation. Depending on the configuration, 6 or 7 patches are present on the product, loaded during pre-issuance and post-issuance.

Phase	Designation	Description / comments		Actor	Location
1	TESS v5.2 software development	TESS v5.2 platform development	Platform development & tests	**Thales** DIS MCS R&D team - secure environment -	**Thales Singapore** site
				Thales SL Crypto team - secure environment -	**Thales Singapore** site
		Patch development	Patch development and tests For the optional post-issuance patch, it is delivered directly from this phase to the phase 7.	**Thales** DIS MCS R&D and SL Crypto teams - secure environment -	**Thales Singapore** site
		Basic and secure applets development	Applet development & tests	**Thales or any other accredited Application Provider (AP)** - secure environment -	**Thales Singapore** site or **Application Providers' development** sites
		Industrialization	Production scripts development for phase 5 (initialization and pre-personalization). Delivery to the production sites.	**Thales** Product Engineering Team - secure environment -	**Thales Gémenos** site
			Personalization scripts development for phase 6. Delivery to the personalization sites. Personalization Data Generation Secure delivery of TESS v5.2 Embedded Software to Samsung LSI, together with scripts and personalization data.	**Thales** CPC team - secure environment – **Thales** Data Generation team - secure environment -	**Thales Tczew** site **Thales Pont-Audemer** site
2	IC development	Development of the S3NSEN6 security controller and associated tools.		**Samsung LSI** - Secure environment -	Development site(s) stated in the S3NSEN6 certificate
3	IC manufacturing	Manufacturing of virgin S3NSEN6 integrated circuits protected by a dedicated transport key.		**Samsung LSI** - Secure environment -	Manufacturing site(s) stated in the S3NSEN6 certificate
4	IC packaging	Module creation: IC packaging & testing		**Samsung LSI** - Secure environment -	Packaging site(s) stated in the S3NSEN6 certificate
5	Composite Product integration	Embedding of TESS v5.2 software within the IC Initialization, Pre-personalization and Testing		**Samsung LSI** - Secure environment -	Production site(s) stated in the S3NSEN6 certificate
6	Personalization	Personalization and final tests: personalization of the TOE and end-user applicative data		**Samsung LSI** - Secure environment -	Personalization site(s) stated in the S3NSEN6 certificate

Phase	Designation	Description / comments	Actor	Location
7	End-usage	End-usage for the Original Equipment Manufacturer (OEM) and accredited business partners (Application Providers). The OEM, who is the issuer of the TESS v5.2 product, is responsible for the secure element administration during the end-usage phase and the end of life process. The OEM also grants administration privileges to Application Providers on their respective Security Domains (APSD). Applets may be loaded onto the chip, and OS update may also be triggered at this stage. The optional post-issuance patch is delivered by Thales to the OEM at this stage (depending on the TOE configuration) with all information to securely load, install and activate the patch as described in [PatchLoad_Mngt].	**Original Equipment Manufacturer and accredited business partners (Application Providers)**	Field
		End-usage for mobile phone holder The end-user accesses the OEM related services and performs secure transactions with his mobile phone, thanks to TESS v5.2 secure element hosting the sensitive applications and related assets	**Mobile phone holder**	Field

Table 2: Product and TOE life-cycle phases

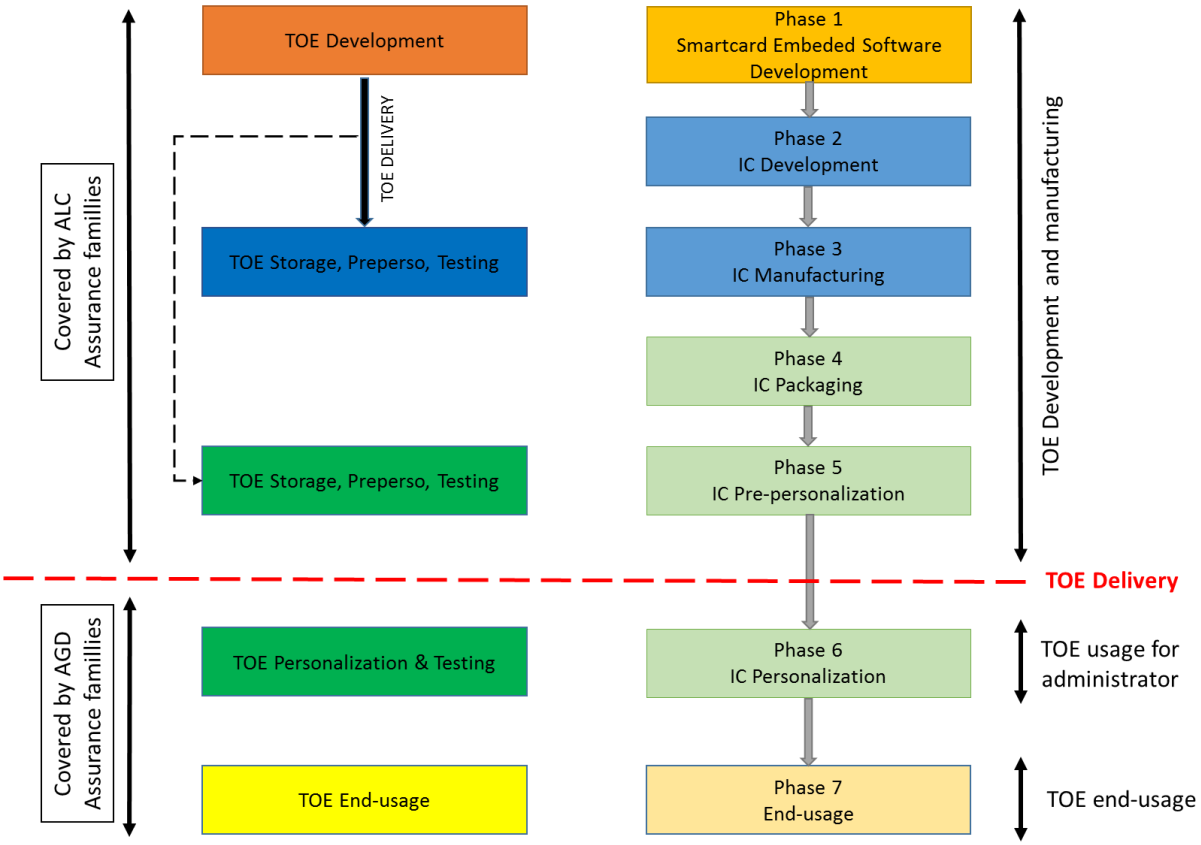


Figure 4: Product and TOE life-cycle

Note that the optional post-issuance patch is delivered by Thales from phase 1 to the OEM in phase 7 (depending on the configuration of the TOE) with all information to securely load, install and activate the patch as described in [PatchLoad_Mngt].

4.6 INVOLVED THALES-DIS SITES

- **Development and Project Management**
  - Singapore
    - Platform & patch development
    - Cryptographic library development
  - La Ciotat (France)
    - Security architecture
    - CC Project management
  - Meudon (France)
    - CC Project management

- **Industrialization / Manufacturing**
  - Gémenos (France), Singapore, Tczew (Poland), Pont-Audemer (France).

4.7 TOE DELIVERY

The TESS v5.2 embedded software is ciphered by Thales Trust Center and delivered from Thales Data Processing Configuration development site (Tczew) to Thales datagen PAU site (Pont-Audemer) via Thales PDM tool.

It is then securely sent from Thales datagen PAU site to Samsung LSI using Thales Allynis Connect platform (Thales' secure platform for data transfer with external parties).

The IC manufacturer, Samsung LSI, is in charge of the TESS v5.2 embedded software loading/initialization/pre-personalization and personalization in its own premises and proceeds to the delivery of the product directly to customers. This includes the OS and the 6 pre-issuance patches.

The optional post-issuance patch is delivered by Thales during phase 7 of the life-cycle, according to the post issuance patch loading process (see [PatchLoad_Mngt]).

The different guides accompanying the TOE and parts of the TOE are the ones specified in [AGD] section. They are delivered by Thales Technical representative, in form of electronic documents (*.PDF), via secure email (PGP ciphered).

Item type	Item	Reference/Version	Form of delivery
Software	TESS v5.2 (OS + 6 pre-issuance patches)	Refer to paragraph §3.2	Enciphered TOE via Allynis Connect (Thales secure transmission tool)
Software	Optional patch	Refer to paragraph §3.2	Delivered according to the post issuance patch loading (see [PatchLoad_Mngt])
Document	[AGD]	Refer to paragraph §1.2	Electronic document (PDF) via secure email

4.8 TOE ACTORS

The following actors are represented within the TOE:

- ***The Original Equipment Manufacturer (OEM)***, who is the issuer of the TESS v5.2 secure element and owner of the TOE. The TOE authorizes the OEM, once authenticated, to manage the loading, instantiation or deletion of applications.
- ***The Application Providers (AP)*** are entities or institutions responsible for their applications and associated services. It may be for example a financial institution (a bank) or a transport operator.
- ***The Controlling Authority (CA)***, optional entity independent from the OEM represented on the TOE and responsible for securing the keys creation and personalization of the Application Provider Security Domains (APSD).
- ***The Verification Authority (VA)***, trusted third party represented on the TOE, acts on behalf of the OEM and is responsible for the verification of applications signatures (DAP) during the loading process. These applications shall be validated for the standard applications or certified for the secure ones.
- ***The GemActivate Administrator*** (usually Thales), represented on the TOE by the GemActivate application and associated keys, is responsible for the remote installation of platform patches (if needed) and the activation of optional platform services on the field (post-issuance).

5 Conformance claims

Common criteria Edition: This ST conforms to CC:2022 Revision 1 [CC-1] [CC-2] [CC-3] [CC-4] [CC-5].
This ST also refers to [CC-Errata] when applicable.

Conformance to CC part 2 and 3:

- This ST is CC part 2 extended with the FPT_TCT.1, FPT_TIT.1, FPT_ISA.1 and FPT_ESA.1 components. All the other SFRs have been drawn from the catalogue of requirements in CC part 2 [CC-2].
- Note that the SFRs FCS_RNG.1, FCS_CKM.5, FIA_API.1 and FDP_SDC.1 are defined as extended components in the Protection Profiles this Security Target claims conformance to. However, as they are defined in CC:2022 [CC-2], the definition from CC:2022 has been followed.
- This ST is CC part 3 conformant. It means that all SARs in that ST are based only upon assurance components in CC part 3 [CC-3].

Assurance package conformance: EAL4 augmented (EAL4+)
This ST conforms to the assurance package EAL4 augmented by ALC_DVS.2 and AVA_VAN.5.

Evaluation type
This is a composite evaluation, which relies on the S3NSEN6 chip certificate and evaluation results:

- Certification done under the ANSSI scheme
- Certificate ANSSI-CC-2024/36
- Security Target [ST_IC] strictly conformant to IC Protection Profile [PP/0084]
- Common criteria version: 3.1 Rev5
- Assurance level: EAL6+ (ASE_TSS.2 augmentation)

Consequently, the composite product evaluation (i.e. the present evaluation) includes the additional composition tasks as defined in [CC-3].

Protection Profile (PP) conformance claims:
This Security Target claims conformance to the [PP-GP] protection profile.

As mentioned in section 4.3, in addition to the core part of the PP, the following PP packages and PP modules are taken into account for the present evaluation:

- PP packages: DAP, MDAP, DM, CLFDB, GS, CVM
- PP modules: ELFU, CCCM, CTL, OS Update

The following PP module is not taken into account for the present evaluation, as the corresponding feature is not supported by the TOE: SEMS.

The conformance type is demonstrable.

SPD, Security objectives and security requirements are identical to the ones from [PP-GP] with the above packages, except for the elements described below.

Notes:

- OE.SCP.IC, OE.SCP.RECOVERY and OE.SCP.SUPPORT from [PP-JCS] have become security objectives for the TOE in the present security target. The reason is that [PP-JCS] considers that the SCP (encompassing the IC and the low-level OS modules) is within the

TOE environment. As the TOE considered for the present evaluation includes the SCP, these SCP objectives must be TOE security objectives.

- The following augmentation packages from [PP-JCS] Appendix 2 are included in the present Security Target document, as the corresponding optional Javacard features are supported by the TOE: Sensitive Array, Sensitive Result, Monotonic Counters, Cryptographic Certificate Management, Key Derivation Functions (KDF) and System Time.
- The Secure Channel Protocols supported by the TOE are SCP02, SCP03, SCP11, SCP80 and SCP81.

This Security Target also claims strict conformance to the [PP-CSP] protection profile.

Conformance to CC:2022

This Security Target claims conformance to CC:2022 but the protection profiles have not yet been adapted. Therefore the transition is performed following [CC2022-Transition]. Here is the list of the differences with the protection profiles due to CC:2022:

- FCS_RNG.1, FCS_CKM.5, FIA_API.1 and FDP_SDC.1 are defined as extended components in the Protection Profiles this Security Target claims conformance to. However, as they are defined in CC:2022 [CC-2], the definition from CC:2022 has been followed and they are not defined in the Extended components chapter.
- FCS_CKM.4 has been deprecated in CC:2022. In this ST, all the iterations have been removed and replaced by FCS_CKM.6.
- FCS_RNG.1 and FCS_RNG.1/CSP have been modified to be compliant with [CC-2] definition.
- FCS_CKM.5/KDF, FCS_CKM.5/AES, FCS_CKM.5/ECC, FCS_CKM.5/ECDHE, FCS_CKM.5/ECKA-EG, FCS_CKM.5/AES_RSA have been modified to be compliant with [CC-2] definition.
- FIA_API.1/PACE and FIA_API.1/CA have been modified to be compliant with [CC-2] definition.
- FDP_SDC.1 has been modified to be compliant with [CC-2] definition.
- FDP_ETC.2 has been modified to be compliant with [CC-2] definition.
- FPT_TST.1 has been modified to be compliant with [CC-2] definition.

In the next chapters, modifications related to CC:2022 are identified in green.

6 Security problem definition

6.1 ASSETS

6.1.1 [PP-GP] Protection Profile

The following assets are listed in [PP-GP] and shall be considered for the present evaluation.

From core part	
D.ISD_KEYS	Refinement of D.APP_KEYS of [PP-JCS]. ISD cryptographic keys needed to perform card management operations on the card. To be protected from unauthorized disclosure and modification.
D.APSD_KEYS	Refinement of D.APP_KEYS of [PP-JCS]. APSD cryptographic keys needed to establish Secure Channels with the AP. These keys can be used to load and install applications on the card if the Security Domain has the appropriate privileges. To be protected from unauthorized disclosure and modification.
D.CASD_KEYS	Refinement of D.APP_KEYS of [PP-JCS]. CASD cryptographic keys needed to establish Secure Channels with the CA and to decrypt confidential content for APSDs. To be protected from unauthorized disclosure and modification.
D.GP_REGISTRY	The information resource for Card Content management. The GlobalPlatform Registry contains information for managing the card, as well as Executable Load Files, Applications, SD associations, privileges, Identifiers, life cycle states, and memory resource quotas. To be protected from unauthorized modification.
D.GP_CODE	The code of the GlobalPlatform Framework on the card. To be protected from unauthorized modification.
D.TOE_IDENTIFIER	TOE Identification Data to identify the TOE. To be protected from unauthorized modification.
From package ‘Ciphered Load File Data Block (CLFDB)’	
D.CLFDB-DK	Symmetric key to be used to decrypt Load File Data Blocks. To be protected from unauthorized disclosure and modification. Application Note: See [GPCS] section C.1.3.
From package ‘Global Services (GS)’	
D.GS-PARAMETERS	Global Service Parameters are the service family and the service ID within that family. To be protected from unauthorized modification. Application Note: As defined in [GPCS] section 8.1.3. This asset is an extension of D.GP_REGISTRY.
From package ‘Cardholder Verification Method (CVM)’	
D.CVM_PIN	A single global PIN used to authenticate the Cardholder, which can be shared by all the application instances in the card. To be protected from unauthorized modification and disclosure.
D.CVM_MGMT_STATE	The CVM management data include: - CVM value and state (e.g. to determine if the CVM value has been submitted, verified, or blocked) - CVM Retry Limit: The maximum number of presentations of invalid CVM values, until the CVM handler rejects further presentation attempts. - CVM Retry Counter: A counter, used in conjunction with the Retry Limit, to determine when attempts for presenting CVM values shall be rejected. To be protected from unauthorized modification.

From package 'Delegated Management (DM)'	
D.TOKEN-VERIFICATION-KEY	The symmetric key or the public asymmetric key to be used for token verification. To be protected from unauthorized modification and disclosure.
D.RECEIPT-GENERATION-KEY	The symmetric key or the private asymmetric key to be used for receipt generation. To be protected from unauthorized modification and disclosure.
D.CONFIRMATION-DATA	The confirmation Data generated by an SD with the Receipt Generation Privilege. To be protected from unauthorized modification. Application Note: See [GPCS] section 11.1.6.
From package 'DAP Verification'	
D.DAP_BLOCK	Authentication data present in the Load File and generated by an off-card entity (an Application Provider or a Verification Authority). The authentication data contains the SD AID and the Load File Data Block Signature of the Load File Data Block Hash. To be protected from unauthorized modification.
D.APSD_DAP_KEYS	Refinement of D.APP_KEYS of [PP-JCS]. The APSD cryptographic keys which are required for verification of the Load File Block signatures. To be protected from unauthorized disclosure and modification.
From package 'Mandated DAP Verification'	
D.CASD_DAP_KEYS	Refinement of D.APP_KEYS of [PP-JCS]. The CASD cryptographic keys which are required for verification of the Load File Data Block signatures. To be protected from unauthorized disclosure and modification.
From PP-module 'Amendment A: Confidential Card Content Management (CCCM)'	
D.CCCM_KEYS	The on-card generated RGKs with derived keys KENC, KMAC, and KDEK used to perform Confidential Card Content Management operations. To be protected from unauthorized disclosure and modification.
From PP-module 'Amendment C: Contactless Services (CTL)'	
D.CTL_REGISTRY	Contactless Registry contains contactless-related data such as: • Application AID • Application Life Cycle State • Contactless Activation State • Contactless Protocol Type State • Update Counters • CREL Application AID List To be protected from unauthorized modification. Application Note: This asset is an extension of D.GP_REGISTRY. See [Amd C] Table 3-9 for the data.
D.CTL_PRO	Contains the contactless Protocol Parameters. To be protected from unauthorized modification. Application Note: This asset is an extension of D.GP_REGISTRY.
From PP-module 'Amendment H: Executable Load File Upgrade (ELFU)'	
D.OLD_ELF	The ELF being upgraded. It is referred to as the "old ELF version". To be protected from unauthorized modification.
D.NEW_ELF	The ELF upgrading the old ELF version. It is referred to as the "new ELF version". To be protected from unauthorized modification.
D.ELF_AID	The ELF AIDs defined in the old and new ELF versions. To be protected from unauthorized modification.
D.ELF_SESSION_ST	The ELF Upgrade Session Status as described in [Amd H] Table 4 8. To be protected from unauthorized modification.
D.ELF_APP_INS	The application instances. To be protected from unauthorized modification and disclosure.
D.ELF_RG_DATA	The registry data including any persistent on-card information related to the application instance which would not be stored or modified by the application instance. To be protected from unauthorized modification.
From PP-module 'OS Update'	
D.OS-	Refinement of D.APP_KEYS.

UPDATE_SGNVER-KEY	A symmetric cryptographic key, owned by the OS Developer, and used by the TOE to verify the signature of the additional code to be loaded. To be protected from unauthorized disclosure and modification.
D.OS-UPDATE_DEC-KEY	Refinement of D.APP_KEYS. A symmetric cryptographic key, owned by the OS Developer, and used by the TOE to decrypt the additional code to be loaded. To be protected from unauthorized disclosure and modification.
D.OS-UPDATE_ADDITIONAL CODE	The code to be added to the OS after TOE issuance. The additional code has to be signed by the OS Developer. After successful verification of the signature by the Initial TOE, the additional code is loaded and installed through an atomic activation (to create an Updated TOE). To be protected from unauthorized disclosure and modification.
D.OS-UPDATE-CODE-ID	The identification data associated with the additional code. It is loaded and/or updated in the same atomic operation as additional code loading. To be protected from unauthorized modification.

6.1.2 [PP-JCS] Protection Profile

The following assets are listed in [PP-JCS]. According to [PP-GP] they shall also be considered for the present evaluation.

D.APP_CODE	The code of the applets and libraries loaded on the card. To be protected from unauthorized modification.
D.APP_C_DATA	Confidentiality - sensitive data of the applications, like the data contained in an object, an array view, a static field, a local variable of the currently executed method, or a position of the operand stack. To be protected from unauthorized disclosure.
D.APP_I_DATA	Integrity sensitive data of the applications, like the data contained in an object, an array view and the PIN security attributes (PIN Try limit, PIN Try counter and State). To be protected from unauthorized modification.
D.APP_KEYS	Cryptographic keys owned by the applets. To be protected from unauthorized disclosure and modification. Note: D.APP_KEYS has been further refined in [PP-GP] as mentioned in section 6.1.1.
D.PIN	Any end-user's PIN. To be protected from unauthorized disclosure and modification.
D.API_DATA	Private data of the API, like the contents of its private fields. To be protected from unauthorized disclosure and modification.
D.CRYPTO	Cryptographic data used in runtime cryptographic computations, like a seed used to generate a key. To be protected from unauthorized disclosure and modification.
D.JCS_CODE	The code of the Java Card System. To be protected from unauthorized disclosure and modification.
D.JCS_DATA	The internal runtime data areas necessary for the execution of the Java Card VM, such as, for instance, the frame stack, the program counter, the class of an object, the length allocated for an array, any pointer used to chain data-structures. To be protected from unauthorized disclosure or modification.
D.SEC_DATA	The runtime security data of the Java Card RE, like, for instance, the AIDs used to identify the installed applets, the currently selected applet, the current context of execution and the owner of each object. To be protected from unauthorized disclosure and modification.

Application Notes:

- The scope of D.APP_I_DATA is widened in view of the Monotonic counters functionality, i.e. the asset described in this section now also address and cover monotonic counters.
- The scope of D.APP_I_DATA is widened in view of the Cryptographic Certificate Management functionality, i.e. the asset described in this section now also address and cover cryptographic certificates.
- For System Time package, System time is part of D.JCS_DATA therefore the asset to be protected is D.JCS_DATA.

6.1.3 [PP-CSP] Protection Profile

The assets of the TOE are

- user data which integrity and confidentiality shall be protected,
- cryptographic services and keys which shall be protected against unauthorized use or misuse,
- Update Code Packages (UCP).

The cryptographic keys are TSF data because they are used for cryptographic operations protecting user data and the enforcement of the SFR relies on these data for the operation of the TOE.

6.2 USERS / SUBJECTS

6.2.1 [PP-GP] and [PP-JCS] Protection Profiles

Subjects are active components of the TOE that (essentially) act on the behalf of users. Users of the TOE include people or institutions (like the AP, the OEM and the VA), hardware and software components (like the application packages installed on the card).

In this Security Target, relevant subjects are those mentioned in [PP-JCS] (i.e. S.ADEL, S.APPLET, S.BCV, S.CAD, S.INSTALLER, S.JCRE, S.JCVM, S.LOCAL, S.MEMBER and S.CAP_FILE)[1] plus the following ones:

S.SD	A GlobalPlatform SD representing an off-card entity on the card. This entity can be the Issuer, an Application Provider, the Controlling Authority, or the Validation Authority.
S.OPEN	It represents the GlobalPlatform Environment (OPEN) on the card. The main responsibility of the S.OPEN is to provide an API to applications, command dispatch, Application selection, (optional) logical channel management, Card Content management, memory management, and Life Cycle management. Note: S.ADEL and S.INSTALLER from [PP-JCS] are parts of S.OPEN.
S.GEMACTIVATE	GemActivate Security Domain representing a Thales administrator on the card. This entity can authorize the activation of optional services and the loading of additional code (i.e. patch) post issuance. Note: this subject corresponds to 'S.OS-DEVELOPER' in the PP-Module 'OS Update' of [PP-GP]. S.GEMACTIVATE and S.OS-DEVELOPER are aliases of the same subject.

6.2.2 [PP-CSP] Protection Profile

The TOE knows external entities (users) as

[1] For the description of these [PP-JCS] subjects, see the table at the beginning of section 9.1.3.

- human user communicating with the TOE for security management of the TOE,
- application component using the cryptographic and other security services of the TOE and supporting the communication with remote entities (e. g. by providing certificates),
- remote entity exchanging user data and TSF data with the TOE over insecure media.

The TOE communicates with

- human user through a secure channel,
- application component through a secure channel,
- remote entities over a trusted channel using cryptographic mechanisms including mutual authentication.

The subjects as active entities in the TOE perform operations on objects. They obtain their associated security attributes from the authenticated users on behalf they are acting, or by default.

6.3 THREATS

6.3.1 [PP-GP] Protection Profile

The following threats are listed in [PP-GP] and shall be considered for the present evaluation.

From core part	
T.UNAUTHORISED-CARD-MGMT	Threat agent: Attacker Adverse action: The attacker performs unauthorised card management operations (for instance impersonates one of the actors represented on the card) in order to take benefit of the privileges or services granted to this actor on the card and perform fraudulent operations: - Load of a package file - Installation of a package file - Extradition of a package file or an applet - Personalisation of an applet or an SD - Deletion of a package file or an applet - Privileges update of an applet or an SD Directly threatened asset(s): D.ISD_KEYS, D.APSD_KEYS, D.APP_C_DATA, D.APP_I_DATA, D.APP_CODE, D.SEC_DATA, D.PIN and D.GP_REGISTRY (any other asset may be jeopardised should this attack succeed, depending on the virulence of the installed application).
T.LIFE-CYCLE	Threat agent: Attacker Adverse action: An attacker accesses an application outside of its expected availability range thus violating irreversible life cycle phases of the application (for instance, an attacker re-personalises the application). Directly threatened asset(s): D.APP_I_DATA, D.APP_C_DATA, and D.GP_REGISTRY.
T.COM-EXPLOIT	Threat agent: Attacker Adverse action: An attacker remotely exploits the communication channels established between a third party and the TOE in order to modify or disclose confidential data.

	Directly threatened asset(s): All assets are threatened.
T.BRUTE-FORCE-SCP	Threat agent: Attacker Adverse action: APDU commands/API methods can be repeatedly transmitted/invoked to search the entire space of secret values such as cryptographic keys and attempt their brute force extraction. Directly threatened asset(s): All assets are threatened.
From package 'Ciphered Load File Data Block (CLFDB)'	
T.CLFDB-DISC	Threat agent: Attacker Adverse action: The attacker discloses a Ciphered Load File Data Block when it is transmitted to the SE for decryption prior to installation. Directly threatened asset(s): All assets are threatened. Note: This threat refines T.COM-EXPLOIT to address the CLFDB.
From package 'Cardholder Verification Method (CVM)'	
T.CVM-IMPERSONATE	Threat agent: Attacker Adverse action: An attacker could try to impersonate the Cardholder for disclosing or guessing the PIN stored in the CVM, in order to access the services the SE offers. Directly threatened asset(s): D.CVM_PIN
T.CVM-UPDATE	Threat agent: Attacker Adverse action: An attacker could try executing an application that tries to modify (reset/update) the CVM management data (Retry Limit, retry Counter, CVM value and state). Directly threatened asset(s): D.CVM_MGMT_STATE
T.BRUTE-FORCE-CVM	Threat agent: Attacker Adverse action: APDU commands/API methods could be repeatedly transmitted/invoked to attempt the brute force extraction of secrets such as PINs. Directly threatened asset(s): D.CVM_PIN, D.CVM_MGMT_STATE
From package 'Delegated Management (DM)'	
T.RECEIPT	Threat agent: Attacker Adverse action: The attacker may generate fake receipts in order to hide or falsify completion proofs of card management operations. Directly threatened asset(s): D.RECEIPT-GENERATION-KEY, D.CONFIRMATION-DATA
T.TOKEN	Threat agent: Attacker Adverse action: The attacker may try to impersonate the Card Manager in order to gain access to the card and perform illegitimate card management operations. Directly threatened asset(s): D.TOKEN-VERIFICATION-KEY
From PP-Module 'Amendment C: Contactless Services (CTL)'	
T.CTL-REGISTRY-OVERWRITE	Threat agent: Attacker Adverse action: The attacker attempts to modify the contents of the Contactless Registry in order to:

	• Set an application in an unauthorised state (e.g. ACTIVATE a NON_ACTIVATABLE application) • Reset the counter Directly threatened asset(s): D.CTL_REGISTRY, D.CTL_PRO
T.COUNTERS-FREEZE	Threat agent: Attacker Adverse action: The attacker attempts to prevent the counter increment in order to have an operation performed twice as the off-card entity believes no transition has taken place. Directly threatened asset(s): D.CTL_REGISTRY, D.CTL_PRO
T.CTL-AUTH-FORGE	Threat agent: Attacker Adverse action: The attacker attempts to use the STORE DATA command in order to modify the blacklist of tokens and reuse a blacklisted CCM token. The attacker may also use this command to make CRS visible on the CTL interface whereas CRS personalisation is not complete, in order to perform unauthorised transactions. Directly threatened asset(s): D.CTL_REGISTRY, D.CTL_PRO
T.CRS-BYPASS	Threat agent: Attacker Adverse action: The attacker grants the CRS privileges to an unauthorized application in order to perform unauthorised state transitions (e.g. set a NON-ACTIVATABLE application to ACTIVATED or DEACTIVATED, or make it visible). Directly threatened asset(s): D.CTL_REGISTRY, D.CTL_PRO
From PP-Module ‘Amendment H: Executable Load File Upgrade (ELFU)’	
T.ELF-UNAUTHORISED	Threat agent: Attacker Adverse action: An attacker tries to load an ELF without authorisation. Directly threatened asset(s): T D.OLD_ELF, D.NEW_ELF, D.ELF_AID
T.ELF-VERSION	Threat agent: Attacker Adverse action: An attacker tries to modify the application version in order to prevent the loading of a new ELF. Directly threatened asset(s): T D.OLD_ELF, D.NEW_ELF, D.ELF_AID
T.ELF-DATA-ACCESS	Threat agent: Attacker Adverse action: An attacker tries to access confidential application instance data. Directly threatened asset(s): D.ELF_APP_INS
T.ELF-DATA-INTEGRITY	Threat agent: Attacker Adverse action: An attacker tries to change application instance data. Directly threatened asset(s): D.ELF_APP_INS
T.ELF-SESSION	Threat agent: Attacker Adverse action: An attacker tries to perturb the Session Status to recognize an incomplete upgrade as being complete. Directly threatened asset(s): D.ELF_SESSION_ST
T.ELF-ILL-COMMAND	Threat agent: Attacker Adverse action: An attacker tries to execute forbidden commands during

	the ELF upgrade session. Directly threatened asset(s): All ELFU PP-Module assets are threatened.
T.ELF-RES-DATA	Threat agent: Attacker Adverse action: An attacker tries to reallocate TOE resources from a user or process to another for gaining unauthorised access to ELF data. Directly threatened asset(s): All ELFU PP-Module assets are threatened.
From PP-Module 'OS Update'	
T.UNAUTHORISED-TOE-CODE-UPDATE	Threat agent: Attacker Adverse action: An attacker loads malicious additional code in order to compromise the security features of the TOE. Directly threatened asset(s): D.OS-UPDATE_ADDITIONALCODE, D.JCS_CODE, D.JCS_DATA.
T.FAKE-SGNVER-KEY	Threat agent: Attacker Adverse action: An attacker modifies the signature verification key used by the TOE to verify the signature of the additional code. Hence, the attacker is able to sign and successfully load malicious additional code inside the TOE. Directly threatened asset(s): D.OS-UPDATE_SGNVER-KEY, D.OS UPDATE_ADDITIONALCODE.
T.WRONG-UPDATE-STATE	Threat agent: Attacker Adverse action: An attacker prevents the OS Update operation to be performed atomically, resulting in an inconsistency between the resulting TOE code and the identification data: - The additional code is not loaded within the TOE, but the identification data is updated to mention that the additional code is present. - The additional code is loaded within the TOE, but the identification data is not updated to indicate the change. Directly threatened asset(s): D.OS-UPDATE-CODE-ID.
T.INTEG-OS-UPDATE-LOAD	Threat agent: Attacker Adverse action: The attacker modifies (part of) the additional code when it is transmitted to the TOE for installation. Directly threatened asset(s): D.OS-UPDATE_ADDITIONALCODE, D.JCS_CODE, D.JCS_DATA.
T.CONFID-OS-UPDATE-LOAD	Threat agent: Attacker Adverse action: The attacker discloses (part of) the additional code when it is transmitted to the TOE for installation. Directly threatened asset(s): D.OS-UPDATE_ADDITIONALCODE, D.JCS_CODE, D.JCS_DATA.

6.3.2 [PP-JCS] Protection Profile

According to [PP-GP], the threats listed in [PP-JCS] shall also be considered for the present evaluation. The following table gathers elements extracted from [PP-JCS] which will be referred to in some of the threats mentioned in this section.

#.CONFID-APPLI-DATA	*Application data must be protected against unauthorized disclosure. This concerns logical attacks at runtime in order to gain read access to other application's data.*
#.CONFID-JCS-CODE	*Java Card System code must be protected against unauthorized disclosure. Knowledge of the Java Card System code may allow bypassing the TSF. This concerns logical attacks at runtime in order to gain a read access to executable code, typically by executing an application that tries to read the memory area where a piece of Java Card System code is stored.*
#.CONFID-JCS-DATA	*Java Card System data must be protected against unauthorized disclosure. This concerns logical attacks at runtime in order to gain a read access to Java Card System data. Java Card System data includes the data managed by the Java Card RE, the Java Card VM and the internal data of Java Card platform API classes as well.*
#.INTEG-APPLI-CODE	*Application code must be protected against unauthorized modification. This concerns logical attacks at runtime in order to gain write access to the memory zone where executable code is stored. In post-issuance application loading, this threat also concerns the modification of application code in transit to the card.*
#.INTEG-APPLI-DATA	*Application data must be protected against unauthorized modification. This concerns logical attacks at runtime in order to gain unauthorized write access to application data. In post-issuance application loading, this threat also concerns the modification of application data contained in a CAP file in transit to the card. For instance, a CAP file contains the values to be used for initializing the static fields of the CAP file.*
#.INTEG-JCS-CODE	*Java Card System code must be protected against unauthorized modification. This concerns logical attacks at runtime in order to gain write access to executable code.*
#.INTEG-JCS-DATA	*Java Card System data must be protected against unauthorized modification. This concerns logical attacks at runtime in order to gain write access to Java Card System data. Java Card System data includes the data managed by the Java Card RE, the Java Card VM and the internal data of Java Card API classes as well.*
#.EXE-APPLI-CODE	*Application (byte)code must be protected against unauthorized execution. This concerns (1) invoking a method outside the scope of the accessibility rules provided by the access modifiers of the Java programming language ([JAVASPEC], §6.6); (2) jumping inside a method fragment or interpreting the contents of a data memory area as if it was executable code; (3) unauthorized execution of a remote method from the CAD (if the TOE provides JCRMI functionality).*
#.EXE-JCS-CODE	*Java Card System bytecode must be protected against unauthorized execution. Java Card System bytecode includes any code of the Java Card RE or API. This concerns (1) invoking a method outside the scope of the accessibility rules provided by the access modifiers of the Java programming language ([JAVASPEC], §6.6); (2) jumping inside a method fragment or interpreting the contents of a data memory area as if it was executable code. Note that execute access to native code of the Java Card System and applications is the concern of #.NATIVE.*
#.FIREWALL	*The Firewall shall ensure controlled sharing of class instances, and isolation of their data and code between CAP files (that is, controlled execution contexts) as well as between CAP files and the JCRE context. An applet shall not read, write, compare a piece of data belonging to an applet that is not in the same context, or execute one of the methods of an applet in another context without its authorization.*
#.NATIVE	*Because the execution of native code is outside of the JCS TSF scope, it must be secured so as to not provide ways to bypass the TSFs of the JCS. Loading of native code, which is as well outside those TSFs, is submitted to the same requirements. Should native software be privileged in this respect, exceptions to the policies must include a rationale for the new security framework they introduce.*
#.VERIFICATION	*Bytecode must be verified prior to being executed. Bytecode verification includes (1) how well-formed CAP file is and the verification of the typing constraints on the bytecode, (2) binary compatibility with installed CAP files and the assurance that the export files used to check the CAP file correspond to those that will be present on the card when loading occurs.*
#.INSTALL	*(1) The TOE must be able to return to a safe and consistent state when the installation of a CAP file or an applet fails or be cancelled (whatever the reasons). (2) Installing an applet must have no effect on the code and data of already installed applets. The installation procedure should not be used to bypass the TSFs. In short, it is an atomic operation, free of harmful effects on the state of the other applets. (3) The procedure of loading and installing a CAP file shall ensure its integrity and authenticity. In case of Extended CAP files, installation of a CAP shall ensure installation of all the packages in*

	the CAP file.
#.SID	*(1) Users and subjects of the TOE must be identified. (2) The identity of sensitive users and subjects associated with administrative and privileged roles must be particularly protected; this concerns the Java Card RE, the applets registered on the card, and especially the default applet and the currently selected applet (and all other active applets in Java Card System 2.2.x). A change of identity, especially standing for an administrative role (like an applet impersonating the Java Card RE), is a severe violation of the Security Functional Requirements (SFR). Selection controls the access to any data exchange between the TOE and the CAD and therefore, must be protected as well. The loading of a CAP file or any exchange of data through the APDU buffer (which can be accessed by any applet) can lead to disclosure of keys, application code or data, and so on.*
#.OBJ-DELETION	*(1) Deallocation of objects should not introduce security holes in the form of references pointing to memory zones that are not longer in use, or have been reused for other purposes. Deletion of collection of objects should not be maliciously used to circumvent the TSFs. (2) Erasure, if deemed successful, shall ensure that the deleted class instance is no longer accessible.*
#.DELETION	*(1) Deletion of installed applets (or CAP files) should not introduce security holes in the form of broken references to garbage collected code or data, nor should they alter integrity or confidentiality of remaining applets. The deletion procedure should not be maliciously used to bypass the TSFs. (2) Erasure, if deemed successful, shall ensure that any data owned by the deleted applet is no longer accessible (shared objects shall either prevent deletion or be made inaccessible). A deleted applet cannot be selected or receive APDU commands. CAP file deletion shall make the code of the CAP file is no longer available for execution. In case of Extended CAP files, deletion of a CAP shall ensure that code and data for all the packages in the CAP file is no longer available for execution. (3) Power failure or other failures during the process shall be taken into account in the implementation so as to preserve the SFRs. This does not mandate, however, the process to be atomic. For instance, an interrupted deletion may result in the loss of user data, as long as it does not violate the SFRs.*
#.RESOURCES	*The TOE controls the availability of resources for the applications in order to prevent unauthorized denial of service or malfunction of the TSFs. This concerns both execution (dynamic memory allocation) and installation (static memory allocation) of applications and CAP files.*
#.INTEG-APPLI-DATA-PHYS	*Integrity-sensitive application data must be protected against unauthorized modification by physical attacks.*

Application note: Specific threats against System Time refer to security aspect #.INTEG-JCS-DATA.

The following threats are derived from the here-above security aspects:

T.CONFID-APPLI-DATA	The attacker executes an application to disclose data belonging to another application. See #.CONFID-APPLI-DATA for details. Directly threatened asset(s): D.APP_C_DATA, D.PIN and D.APP_KEYs.
T.CONFID-JCS-CODE	The attacker executes an application to disclose the Java Card System code. See #.CONFID-JCS-CODE for details. Directly threatened asset(s): D.JCS_CODE.
T.CONFID-JCS-DATA	The attacker executes an application to disclose data belonging to the Java Card System. See #.CONFID-JCS-DATA for details. Directly threatened asset(s): D.API_DATA, D.SEC_DATA, D.JCS_DATA and D.CRYPTO.
T.INTEG-APPLI-CODE	The attacker executes an application to alter (part of) its own code or another application's code. See #.INTEG-APPLI-CODE for details. Directly threatened asset(s): D.APP_CODE.
T.INTEG-APPLI-CODE.LOAD	The attacker modifies (part of) its own or another application code when an application CAP file is transmitted to the card for installation. See #.INTEG-APPLI-CODE for details. Directly threatened asset(s): D.APP_CODE.
T.INTEG-APPLI-DATA	The attacker executes an application to alter (part of) another application's data. See #.INTEG-APPLI-DATA for details. Directly threatened asset(s): D.APP_I_DATA, D.PIN and D.APP_KEYs.
T.INTEG-APPLI-	The attacker modifies (part of) the initialization data contained in an application CAP

DATA.LOAD	file when the CAP file is transmitted to the card for installation. See #.INTEG-APPLI-DATA for details. Directly threatened asset(s): D.APP_I_DATA and D_APP_KEY.
T.INTEG-JCS-CODE	The attacker executes an application to alter (part of) the Java Card System code. See #.INTEG-JCS-CODE for details. Directly threatened asset(s): D.JCS_CODE.
T.INTEG-JCS-DATA	The attacker executes an application to alter (part of) Java Card System or API data. See #.INTEG-JCS-DATA for details. Directly threatened asset(s): D.API_DATA, D.SEC_DATA, D.JCS_DATA and D.CRYPTO.

Other attacks are in general related to one of the above, and aimed at disclosing or modifying on-card information. Nevertheless, they vary greatly on the employed means and threatened assets, and are thus covered by quite different objectives in the sequel. That is why a more detailed list is given hereafter.

T.SID.1	An applet impersonates another application, or even the Java Card RE, in order to gain illegal access to some resources of the card or with respect to the end user or the terminal. See #.SID for details. Directly threatened asset(s): D.SEC_DATA (other assets may be jeopardized should this attack succeed, for instance, if the identity of the JCRE is usurped), D.PIN and D.APP_KEYs.
T.SID.2	The attacker modifies the TOE's attribution of a privileged role (e.g. default applet and currently selected applet), which allows illegal impersonation of this role. See #.SID for further details. Directly threatened asset(s): D.SEC_DATA (any other asset may be jeopardized should this attack succeed, depending on whose identity was forged).
T.EXE-CODE.1	An applet performs an unauthorized execution of a method. See #.EXE-JCS-CODE and #.EXE-APPLI-CODE for details. Directly threatened asset(s): D.APP_CODE.
T.EXE-CODE.2	An applet performs an execution of a method fragment or arbitrary data. See #.EXE-JCS-CODE and #.EXE-APPLI-CODE for details. Directly threatened asset(s): D.APP_CODE.
T.NATIVE	An applet executes a native method to bypass a TOE Security Function such as the firewall. See #.NATIVE for details. Directly threatened asset(s): D.JCS_DATA.
T.RESOURCES	An attacker prevents correct operation of the Java Card System through consumption of some resources of the card: RAM or NVRAM. See #.RESOURCES for details. Directly threatened asset(s): D.JCS_DATA.
T.DELETION	The attacker deletes an applet or a CAP file already in use on the card, or uses the deletion functions to pave the way for further attacks (putting the TOE in an insecure state). See #.DELETION for details). Directly threatened asset(s): D.SEC_DATA and D.APP_CODE. Note: T.DELETION is a sub-threat of the T.UNAUTHORISED-CARD-MGMT threat mentioned in [PP-GP] and listed in section 6.3.1.
T.INSTALL	The attacker fraudulently installs post-issuance of an applet on the card. This concerns either the installation of an unverified applet or an attempt to induce a malfunction in the TOE through the installation process. See #.INSTALL for details. Directly threatened asset(s): D.SEC_DATA (any other asset may be jeopardized should this attack succeed, depending on the virulence of the installed application). Note: T.INSTALL is a sub-threat of the T.UNAUTHORISED-CARD-MGMT threat mentioned in [PP-GP] and listed in section 6.3.1.

T.OBJ-DELETION	The attacker keeps a reference to a garbage collected object in order to force the TOE to execute an unavailable method, to make it to crash, or to gain access to a memory containing data that is now being used by another application. See #.OBJ-DELETION for further details. Directly threatened asset(s): D.APP_C_DATA, D.APP_I_DATA and D.APP_KEYs.
T.PHYSICAL	The attacker discloses or modifies the design of the TOE, its sensitive data or application code by physical (opposed to logical) tampering means. This threat includes IC failure analysis, electrical probing, unexpected tearing, and DPA. That also includes the modification of the runtime execution of Java Card System or SCP software through alteration of the intended execution order of (set of) instructions through physical tampering techniques. This threatens all the identified assets. Application note: as sensitive array and sensitive result are supported by the TOE, this threat also covers the following sub-threat exploiting specifically the listed assets below: - The attacker performs a physical manipulation to alter (part of) an application's integrity-sensitive data. See #.INTEG-APPLI-DATA-PHYS for details. - Directly threatened asset(s): D.APP_I_DATA, D.PIN and D.APP_KEYs.

6.3.3 [PP-CSP] Protection Profile

The following threats are listed in [PP-CSP] and shall be considered for the present evaluation.

T.DataCompr Compromise of communication data

An unauthorized entity gets knowledge of the information contained in data stored on TSF controlled media or transferred between the TOE and authenticated external entities.

T.DataMani Unauthorized generation or manipulation of communication data

An unauthorized entity generates or manipulates user data stored on TSF controlled media or transferred between the TOE and authenticated external entities and accepted as valid data by the recipient.

T.Masqu Masquerade authorized user

A threat agent might masquerade as an authorized entity in order to gain unauthorized access to user data, TSF data, or TOE resources.

T.ServAcc Unauthorized access to TOE security services

An attacker gets as TOE user unauthorized access to security services of the TOE.

T.PhysAttack Physical attacks

An attacker gets physical access to the TOE and may (1) disclose or manipulate user data under TSF control and TSF data, and (2) affect TSF by (a) physical probing and manipulation, (b) applying environmental stress or (c) exploiting information leakage from the TOE.

T.FaUpD Faulty Update Code Package

An unauthorized entity provides an unauthorized faulty Update Code Package enabling attacks against integrity of TSF implementation, confidentiality and integrity of user data and TSF data after installation of the faulty Update Code Package.

6.4 ORGANISATIONAL SECURITY POLICIES

6.4.1 [PP-GP] Protection Profile

The following OSP are listed in [PP-GP] and shall be considered for the present evaluation.

From core part	
OSP.AID-MANAGEMENT	When loading an application that uses shareable object interface, to make its services available to other applications, the VA shall verify that the AID of the application being loaded does not impersonate the AID known by another application on the card for the use of shareable services.
OSP.LOADING	Application code, validated or certified depending on the application, is loaded onto the SE Platform using any kind of CCM servers (e.g. OTA or other kinds of servers used to perform card content management) and protocols with contactless or contact (e.g. USB) connectivity. If needed, the Issuer can pre authorize content loading operation through delegated management privilege to an individual on-card representative of APs. In that case the application code is loaded in the APSD. Once loaded, the application is personalized using the appropriate SD keys.
OSP.SERVERS	A security policy shall be employed by the Issuer to ensure the security of the applications stored on its CCM servers (e.g. OTA or other kinds of servers used to perform card content management).
OSP.APSD-KEYS	The APSD keys personalization can rely either on the key escrow if the APSD has been created before the usage phase of the SE card, or on the CA if the APSD has been created during the usage phase. In the first case, the APSD keys are generated and stored in a secure way by the personalizer. Then, these keys are transmitted to the AP, via the key escrow. In the second case, one of the following must occur: - The APSD keys are generated and stored in a secure way by the APSD, then securely transmitted to the AP using the CASD. - Or the APSD keys are created by the AP and securely transferred to the APSD using the CASD.
OSP.ISD-KEYS	The security of the ISD keys shall be ensured by a well-defined security policy that covers generation, storage, distribution, destruction, and recovery. This policy is enforced by the Issuer in collaboration with the personaliser.
OSP.KEY-GENERATION	The personaliser shall enforce a policy ensuring that generated keys cannot be accessed in plaintext.
OSP.CASD-KEYS	The CASD keys shall be securely generated and stored in the SE card during the personalization process. These keys are not modifiable after card issuance.
OSP.KEY-CHANGE	The AP shall change its initial keys before any operation on its APSD.
OSP.SECURITY-DOMAINS	SDs can be dynamically created, deleted, and blocked during usage phase, i.e. post issuance.
OSP.APPLICATIONS	The applications intending to be used with the TOE shall follow the

	TOE's security guidance and recommendations.
From package 'Ciphered Load File Data Block (CLFDB)'	
OSP.CLFDB-ENC-PR	The Load File Data Block must be encrypted securely by a trusted SD provider. Application Note: See [GPCS] section C.6.
From package 'Delegated Management (DM)'	
OSP.TOKEN-GEN	The Token must be generated securely by a trusted entity according to the signature algorithms defined in GlobalPlatform specifications. Application Note: See [GPCS] sections B.1, B.2, B.3, B.4, and C.4.
OSP.RECEIPT-VER	The Receipt must be verified securely by a trusted entity according to the methods defined in GlobalPlatform specifications. Application Note: See [GPCS] sections B.1, B.2, B.3, B.4, and C.5.
From packages 'DAP Verification' and 'Mandated DAP Verification'	
OSP.DAP_BLOCK_GEN	The DAP Block must be generated securely by a trusted entity that verifies the content of the Load File Data Block linked to the hash.
From PP-Module 'Amendment A: Confidential Card Content Management (CCCM)'	
OSP.CCCM	APs not required to share the Secure Channel keys with the Issuer should use one of the CCCM Models.
From PP-Module 'Amendment H: Executable Load File Upgrade (ELFU)'	
OSP.ELF_DELE_OP	The TOE shall provide the possibility to perform the deletion operation of the Application instances and ELF(s) in one transaction, so that either a full operation or no operation can occur (atomic and irreversible operation).
From PP-Module 'OS Update'	
OSP.ATOMIC_ACTIVATION	Additional code has to be loaded and installed on the Initial TOE through an atomic activation to create the Updated TOE. Each additional code shall be identified with unique Identification Data. During such atomic activation, identification Data of the Initial TOE have to be updated to clearly identify the Updated TOE. In case of interruption or incident during activation, the TOE shall remain in its initial state or fail secure.
OSP.TOE_IDENTIFICATION	Identification Data of the resulting Updated TOE shall identify the Initial TOE and the activated additional code. Identification Data shall be protected in integrity.
OSP.ADDITIONAL_CODE_SIGNING	The additional code has to be signed with a cryptographic key according to relevant standards, and the generated signature is associated with the additional code. The additional code signature must be verified during loading to assure its authenticity and integrity and to assure that loading is authorized on the TOE. The cryptographic key used to sign the additional code shall be of sufficient quality and its generation shall be appropriately secured to ensure the authenticity, integrity, and confidentiality of the key.
OSP.ADDITIONAL_CODE_ENCRYPTION	The additional code has to be encrypted according to the relevant standard in order to ensure its confidentiality when it is transmitted to the TOE for loading and installation. The encryption key shall be of sufficient quality and its generation shall be appropriately secured to ensure the confidentiality, authenticity, and integrity of the key.

6.4.2 [PP-JCS] Protection Profile

According to [PP-GP], the OSP listed in [PP-JCS] shall also be considered for the present evaluation.

OSP.VERIFICATION	This policy shall ensure the consistency between the export files used in the

	verification and those used for installing the verified file. The policy must also ensure that no modification of the file is performed in between its verification and the signing by the verification authority. See #.VERIFICATION for details. If the application development guidance provided by the platform developer contains recommendations related to the isolation property of the platform, this policy shall also ensure that the verification authority checks that these recommendations are applied in the application code.

6.4.3 [PP-CSP] Protection Profile

The following OSP are listed in [PP-CSP] and shall be considered for the present evaluation.

OSP.SecCryM Secure cryptographic mechanisms

The TOE uses only secure cryptographic mechanisms as confirmed by the certification body for the specified TSF, the assurance security requirements and the operational environment.

OSP.SecService Security services of the TOE

The TOE provides security services to the authorized users for encryption and decryption of user data, authentication prove and verification of user data, entity authentication to external entities including attestation, trusted channel and random bit generation.

OSP.KeyMan Key Management

The key management ensures the integrity of all cryptographic keys and the confidentiality of all secret or private keys over the whole life cycle which comprises their generation, storage, distribution, application, archiving and deletion. The cryptographic keys and cryptographic key components shall be generated, operated and managed by secure cryptographic mechanisms and assigned to the secure cryptographic mechanisms they are intended to be used with and to the entities authorized for their use.

OSP.TC Trust center

The trust centers provide secure certificates for trustworthy certificate holder with correct security attributes. The TOE uses certificates for identification and authentication of users, access control and secure use of security services of the TOE including key management and attestation.

OSP.Update Authorized Update Code Packages

The Update Code Packages are delivered in encrypted form and signed by the authorized issuer. The TOE verifies the authenticity of the received Update Code Package using the CSP before storing in the TOE. The TOE restricts the storage of authentic Update Code Package to an authorized user.

6.5 SECURE USAGE ASSUMPTIONS

6.5.1 [PP-GP] Protection Profile

The following assumptions are listed in [PP-GP] and shall be considered for the present evaluation.

From core part	
A.ISSUER	This is the entity that owns the SE and is ultimately responsible for the behavior of the SE.
A.ADMIN	These administrators of the CCM servers (e.g. OTA or other kinds of servers)

	used to perform card content management are trusted actors. They are trained to use and administrate those servers securely. They have the means and the equipment to perform their tasks. They are aware of the sensitivity of the assets they manage and the responsibilities associated with the administration of CCM servers. Administrators obey the security policies and constitute, by this assumption, no source of an inside attack.
A.APPS-PROVIDER	The AP is a trusted actor that provides applications. APs are responsible for their APSD keys.
A.VERIFICATION-AUTHORITY	The VA is a trusted actor with the capability to check and validate the digital signature of an application.
A.PERSONALISER	The personaliser is in charge of the TOE personalization process, which ensures the security of the keys loaded in the SE: - Issuer Security Domain keys (ISD keys) - Application Provider Security Domains keys (APSD keys) - Controlling Authority Security Domain keys (CASD keys)
A.KEY-ESCROW	The key escrow is a trusted actor in charge of the secure storage of the initial APSD keys generated by the TOE personaliser during the initial personalisation.
A.CONTROLLING-AUTHORITY	The CA is a trusted actor different from the issuer responsible for the CASD keys and associated services.
A.PRODUCTION	Security procedures are used after TOE Delivery up to delivery to the end consumer to maintain the confidentiality and integrity of the TOE and its data (to prevent any possible copy, modification, retention, theft, or unauthorised use).
A.SCP-SUPP	The operational environment supports and uses the SCPs offered by the TOE.
A.KEYS-PROT	The keys stored outside the TOE and applied for secure communication and authentication between the SE and the external entities are confidentiality and integrity protected in their storage environment. This covers D.APSD_KEYS and D.ISD_KEYS.
From PP-Module ‘OS Update’	
A.OS-UPDATE-EVIDENCE	For additional code loaded pre-issuance, it is assumed that evaluated technical and/or audited organisational measures have been implemented to ensure that the additional code: 1. has been issued by the genuine OS Developer 2. has not been altered since it was issued by the genuine OS Developer. For additional code loaded post-issuance, it is assumed that the OS Developer provides digital evidence to the TOE in order to prove the following: 1. he is the genuine developer of the additional code and 2. the additional code has not been modified since it was issued by the genuine OS Developer.
A.SECURE_ACODE_MANAGEMENT	It is assumed that: - The Key management process related to the OS Update capability takes place in a secure and audited environment. - The cryptographic keys used by the cryptographic operations are

	of strong quality and appropriately secured to ensure confidentiality, authenticity, and integrity of those keys.

6.5.2 [PP-JCS] Protection Profile

The following assumptions from [PP-JCS] shall also be considered for the present evaluation.

A.CAP_FILE	CAP Files loaded post-issuance do not contain native methods. The Java Card specification explicitly "does not include support for native methods" ([JCVM3], §3.3) outside the API.
A.VERIFICATION	All the bytecodes are verified at least once, before the loading, before the installation or before the execution, depending on the card capabilities, in order to ensure that each bytecode is valid at execution time.

6.5.3 [PP-CSP] Protection Profile

The following assumption from [PP-CSP] shall also be considered for the present evaluation.

A.SecComm Secure communication

Remote entities support trusted channel using cryptographic mechanisms. The operational environment shall protect the local communication channels by trusted channels using cryptographic mechanisms or by secure channel using non-cryptographic security measures.

6.6 COMPOSITION TASKS – SECURITY PROBLEM DEFINITION PART

6.6.1 Statement of Compatibility – Threats part

The following table (see next page) lists the relevant threats of the security target [ST_IC], and provides the link to the threats on the composite-product, showing that there is no contradiction between the two.

IC relevant threat label	IC relevant threat title	IC relevant threat content	Link to the composite-product threats
T.Leak-Inherent	Inherent Information Leakage	An attacker may exploit information which is leaked from the TOE during usage of the Security IC in order to disclose confidential User Data as part of the assets.	T.PHYSICAL
T.Phys-Probing	Physical Probing	An attacker may perform physical probing of the TOE in order (i) to disclose user data while stored in protected memory areas, (ii) to disclose/reconstruct the user data while processed or (iii) to disclose other critical information about the operation of the TOE to enable attacks disclosing or manipulating the user data of the Composite TOE or the Security IC Embedded Software.	T.PHYSICAL
T.Malfunction	Malfunction due to Environmental Stress	An attacker may cause a malfunction of TSF or of the Security IC Embedded Software by applying environmental stress in order to (i) modify security services of the TOE or (ii) modify functions of the Security IC Embedded Software (iii) deactivate or affect security mechanisms of the TOE to enable attacks disclosing or manipulating the user data of the Composite TOE or the Security IC Embedded Software. This may be achieved by operating the Security IC outside the normal operating conditions.	T.PHYSICAL
T.Phys-Manipulation	Physical Manipulation	An attacker may physically modify the Security IC in order to (i) modify user data of the Composite TOE, (ii) modify the Security IC Embedded Software, (iii) modify or deactivate security services of the TOE, or (iv) modify security mechanisms of the TOE to enable attacks disclosing or manipulating the user data of the Composite TOE or the Security IC Embedded Software.	T.PHYSICAL
T.Leak-Forced	Forced Information Leakage	An attacker may exploit information which is leaked from the TOE during usage of the Security IC in order to disclose confidential user data of the Composite TOE as part of the assets even if the information leakage is not inherent but caused by the attacker.	T.PHYSICAL
T.Abuse-Func	Abuse of Functionality	An attacker may use functions of the TOE which may not be used after TOE Delivery in order to (i) disclose or manipulate user data of the Composite TOE, (ii) manipulate (explore, bypass, deactivate or change) security services of the TOE or (iii) manipulate (explore, bypass, deactivate or change) functions of the Security IC Embedded Software or (iv) enable an attack disclosing or manipulating the user data of the Composite TOE or the Security IC Embedded Software.	T.LIFE-CYCLE
T.RND	Deficiency of Random Numbers	An attacker may predict or obtain information about random numbers generated by the TOE security service for instance because of a lack of entropy of the random numbers provided.	Analysis of the composite-product threats does not reveal any contradiction with this IC threat.
T.Mem-Access	Memory Access Violation	Parts of the IC Smartcard Embedded Software may cause security violations by accidentally or deliberately accessing restricted data (which may include code). Any	T.CONFID-APPLI-DATA

IC relevant threat label	IC relevant threat title	IC relevant threat content	Link to the composite-product threats
		restrictions are defined by the security policy of the specific application context and must be implemented by the Smartcard IC Embedded Software.	T.CONFID-JCS-DATA T.INTEG-APPLI-DATA T.INTEG-JCS-DATA T.SID.1 T.SID.2 T.EXE-CODE.1
T.Masquerade_TOE	Masquerade the TOE	An attacker may threaten the property being a genuine TOE by producing an IC which is not a genuine TOE but wrongly identifying itself as genuine TOE sample.	Analysis of the composite-product threats does not reveal any contradiction with this IC threat.
T.Open_Samples_Diffusion	Diffusion of open samples	An attacker may get access to open samples of the TOE and use them to gain information about the TSF (loader, memory management unit, ROM code…). He may also use the open samples to characterize the behavior of the IC and its security functionalities (for example: characterization of side channel profiles, perturbation cartography…). The execution of a dedicated security features (for example: execution of a DES computation without countermeasures or by de-activating countermeasures) through the loading of an adequate code would allow this kind of characterization and the execution of enhanced attacks on the IC.	T.PHYSICAL

6.6.2 Statement of compatibility – OSPs part

The following table lists the relevant OSPs of the security target [ST_IC], and provides the link to the OSPs related to the composite-product, showing that there is no contradiction between the two.

IC OSP label	IC OSP content	Link to the composite product
P.Process-TOE	Identification during TOE Development and Production: an accurate identification must be established for the TOE. This requires that each instantiation of the TOE carries this unique identification.	No contradiction with the present evaluation; the chip traceability information participates to the composite TOE identification.
P.Lim_Block_Loader	Limiting and Blocking the Loader Functionality: the composite manufacturer uses the Loader for loading of Security IC Embedded Software, user data of the Composite Product or IC Dedicated Support Software in charge of the IC Manufacturer. He limits the capability and blocks the availability of the Loader in order to protect stored data from disclosure and manipulation.	As mentioned in section 4.5, the TESS v5.2 software is loaded during phase 5 of the composite TOE life cycle. Then the Loader is irreversibly deactivated.
P.Ctlr_loader	Controlled usage to Loader Functionality: authorized user controls the usage of the loader functionality in order to protect stored and loader user data from disclosure and manipulation.	As mentioned in section 4.5, the TESS v5.2 software is loaded during phase 5 of the composite TOE life cycle. Access to the Loader is done in a secured environment, under Samsung LSI authority, and is conditioned by a successful authentication.

6.6.3 Statement of compatibility – Assumptions part

The following table (see next page) lists the relevant assumptions of the security target [ST_IC], and provides the link to the assumptions related to the composite-product, showing that there is no contradiction between the two.

IC assumption label	IC assumption title	IC assumption content	IrPA	CfPA	SgPA	Link to the composite product
A.Process-Sec-IC	Protection during Packaging, Finishing and Personalization	It is assumed that security procedures are used after delivery of the TOE by the TOE Manufacturer up to delivery to the end-consumer to maintain confidentiality and integrity of the TOE and of its manufacturing and test data (to prevent any possible copy, modification, retention, theft or unauthorized use).		**X**	**X**	• During phases 4, 5: CfPA Fulfilled by the ALC composite-SARs • During phase 6, 7: SgPA A.PRODUCTION.
A.Resp-Appl	Treatment of user data of the Composite TOE	All user data of the Composite TOE are owned by Security IC Embedded Software. Therefore, it must be assumed that security relevant user data of the Composite TOE (especially cryptographic keys) are treated by the Security IC Embedded Software as defined for its specific application context.		**X**		O.KEY-MNGT O.PIN-MNGT

7 Security objectives

7.1 SECURITY OBJECTIVES FOR THE TOE

7.1.1 [PP-GP] Protection Profile

The following TOE security objectives are listed in [PP-GP] and shall be considered for the present evaluation.

From core part	
O.CARD-MANAGEMENT	The TOE shall provide the card manager as defined in [GPCS]. The card manager shall control the access to card management functions such as the installation, update, or deletion of applets. It shall also implement the Issuer's policy on the card. The card manager is an application with specific rights (e.g. ISD), which is responsible for the administration of the SE. Typically, the card manager shall be in charge of the life cycle of the whole card, as well as that of the installed applications (applets). The card manager shall prevent card content management operations (loading, installation, deletion) from being carried out, for instance, at invalid states of the card or by unauthorised actors. It shall also enforce security policies established by the Issuer.
O.DOMAIN-RIGHTS	The Issuer shall not access or change personalised APSD keys, which belong exclusively to the AP. Modification of an SD key set is restricted to the AP owning the SD.
O.APPLI-AUTH	The card manager shall enforce the application security policies established by the Issuer. The enforcement shall be implemented by requiring application authentication during application loading on the card.
O.SECURITY-DOMAINS	SDs can be dynamically created, deleted, and blocked during the end use phase.
O.COMM-AUTH	The TOE shall authenticate the origin of the card management requests received by the card, and authenticate itself to the remote actor.
O.COMM-INTEGRITY	The TOE shall verify the integrity of the (card management) requests that the card receives.
O.COMM-CONFIDENTIALITY	The TOE shall be able to process card management requests containing encrypted data.
O.NO-KEY-REUSE	The TOE shall ensure that session keys can be used only once.
O.PRIVILEGES-MANAGEMENT	The TOE shall provide Privileges assignment and management functionalities for the on-card entities ISD, SSD, and Applications. The TOE shall control the access to the Privileges assignment and management functions.

From core part (continued)	
O.LC-MANAGEMENT	The TOE shall provide a state machine that enforces the TOE's life cycle, keeps track of the TOE's current state, and controls that the operations required by the users are consistent with the current life cycle state of the TOE. The TOE shall provide Life Cycle (LC) management functionalities for the Card, ELFs, SDs, and Applications.
From package 'Ciphered Load File Data Block (CLFDB)'	
O.CLFDB-DECIPHER	If the SD to be associated with the Executable Load File has the Ciphered Load File Data Block privilege, then the card shall support encryption schemes as defined by GlobalPlatform specifications and the SD shall be able to decipher the Ciphered Load File Data Blocks. *Application Note*: See [GPCS] section C.6.
From package 'Cardholder Verification Method (CVM)'	
O.GLOBAL-CVM	The TOE shall restrict the modification of the security attributes of the CVM only to defined privileged applications appointed by the Card Manager. Any SD allowed to perform CVM can grant the CVM privilege to an Application.
O.CVM-BLOCK	If the maximum number of attempts has been reached, further Cardholder authentication attempts are blocked. The blocking can be removed by special action of the Card Manager or a privileged user.
O.CVM-MGMT	The TOE shall provide means to securely manage CVM objects. Secure management of CVM objects includes: • Atomic update of PIN code and of the try counter, • No rollback of the number of unsuccessful authentication attempts, • Protection of confidentiality of the PIN value, • Protection of the PIN comparison process against observation.
From package 'Delegated Management (DM)'	
O.RECEIPT	The TOE shall generate non-repudiable receipts of the completion of card management operations. The generation of the receipt shall be performed by an SD with 'Receipt Generation' Privilege.
O.TOKEN	The TOE shall verify tokens during the processing of card management operations. The verification of the token shall be performed by an SD with 'Token Verification' Privilege.
From PP-Module 'Amendment A: Confidential Card Content Management (CCCM)'	
O.CCCM	The TOE shall address the Confidential Card Content Management requirements defined in [Amd A]. These requirements are: - Secure personalisation of APSD by the CA using one of the following scenarios: Pull Model, Push Model, Key Agreement Model, or Key Agreement Model with no Secure Channel - Confidential loading of initial Secure Channel Key Sets - Confidential loading of applications by an AP
From PP-Module 'Amendment C: Contactless Services (CTL)'	
O.CTL_REGISTRY	The CRS shall ensure that only authorised changes in the Contactless Registry are performed. The SET STATUS command shall only impact CRS-registered applications and shall not perform unauthorised state transitions. The Contactless

	Registry shall be integrity protected like other data in the OPEN. The CRS shall ensure that the activation state of CRS-registered applications reflects the Contactless Registry content.
O.CTL_SC	The CRS shall ensure that the STORE DATA command to modify blacklists of CCM tokens or to change the CRS visibility state on the CTL interface comes through a Secure Channel with at least level “AUTHENTICATED”.
O.CRS_PRIVILEGES	The CRS shall securely manage the assignment of the ‘Contactless Activation’ Privilege and the ‘Global Registry’ Privilege.
O.CRS_COUNTERS	The CRS shall ensure that the Update Counters are protected for integrity and increased by one at each completed operation or sequence of operations.
From PP-Module ‘Amendment H: Executable Load File Upgrade (ELFU)’	
O.ELF_AUTHORISED	Only authorised entities shall be able to load ELFs.
O.ELF_INTEGRITY	The ELF integrity shall be preserved during the loading process – (confidentiality maintained if required).
O.ELF_APP_DATA	The application instance data shall be securely stored when saved. The OPEN shall maintain the integrity & consistency of Registry data.
O.ELF_SESSION	The session status shall be consistent throughout the upgrade process. Forbidden commands shall be rejected during the upgrade process.
O.ELF_DELE_IRR	The TOE must be able to provide an atomic and irreversible deletion operation of the Application instances and ELF(s).
O.ELF_DATA_PRO	The TOE must ensure that any ELF information contained in a protected resource is not inappropriately disclosed when the resource is reallocated.
From PP-Module ‘OS Update’	
O.SECURE_LOAD_ACODE	The TOE shall check an evidence of authenticity and integrity of the additional code to be loaded. The TOE enforces that only an allowed version of the additional code can be loaded. The TOE shall forbid the loading of an additional code not intended to be assembled with the TOE. During the loading of the additional code, the TOE shall remain secure.
O.SECURE_AC_ACTIVATION	Activation of the additional code and update of the Identification Data shall be performed at the same time in an atomic way. All the operations needed for the code to be able to operate as in the Updated TOE shall be completed before activation. If the atomic activation is successful, then the resulting product is the Updated TOE, otherwise (in case of interruption or incident which prevents the forming of the Updated TOE), the TOE shall preserve a secure state.
O.TOE_IDENTIFICATION	The TOE provides means to store Identification Data in its non-volatile memory and guarantees the integrity of these data. After atomic activation of the additional code, the Identification Data of the Updated TOE allows identifications of both the Initial TOE and additional code. The user must be able to uniquely identify Initial TOE and additional code(s) which are embedded in the Updated TOE.
O.CONFID-OS-	The TOE shall decrypt the additional code prior installation.

UPDATE.LOAD	*Application Note*: Confidentiality protection must be enforced when the additional code is transmitted to the TOE for loading (See OE.OS-UPDATE-ENCRYPTION later in this table). Confidentiality protection can be achieved either through direct encryption of the additional code, or by means of a trusted path ensuring the confidentiality of the communication to the TOE.

7.1.2 [PP-JCS] Protection Profile

The following TOE security objectives from [PP-JCS] shall also be considered for the present evaluation.

From core part	
O.SID	The TOE shall uniquely identify every subject (applet, or CAP file) before granting it access to any service.
O.FIREWALL	The TOE shall ensure controlled sharing of data containers owned by applets of different CAP files or the JCRE and between applets and the TSFs. See #.FIREWALL for details.
O.GLOBAL_ARRAYS_CONFID	The TOE shall ensure that the APDU buffer that is shared by all applications is always cleared upon applet selection. The TOE shall ensure that the global byte array used for the invocation of the install method of the selected applet is always cleared after the return from the install method.
O.GLOBAL_ARRAYS_INTEG	The TOE shall ensure that no application can store a reference to the APDU buffer, a global byte array created by the user through makeGlobalArray method and the byte array used for invocation of the install method of the selected applet.
O.ARRAY_VIEWS_CONFID	The TOE shall ensure that no application can read elements of an array view not having array view security attribute ATTR_READABLE_VIEW. The TOE shall ensure that an application can only read the elements of the array view within the bounds of the array view.
O.ARRAY_VIEWS_INTEG	The TOE shall ensure that no application can write to an array view not having array view security attribute ATTR_WRITABLE_VIEW. The TOE shall ensure that an application can only write within the bounds of the array view.
O.NATIVE	The only means that the Java Card VM shall provide for an application to execute native code is the invocation of a method of the Java Card API, or any additional API. See #.NATIVE for details.
O.OPERATE	The TOE must ensure continued correct operation of its security functions. See #.OPERATE for details.
O.REALLOCATION	The TOE shall ensure that the re-allocation of a memory block for the runtime areas of the Java Card VM does not disclose any information that was previously stored in that block.
O.RESOURCES	The TOE shall control the availability of resources for the applications. See #.RESOURCES for details.
O.ALARM	The TOE shall provide appropriate feedback information upon detection of a potential security violation. See #.ALARM for details.
O.CIPHER	The TOE shall provide a means to cipher sensitive data for applications in a secure way. In particular, the TOE must support cryptographic algorithms consistent with cryptographic usage policies and standards. See #.CIPHER for details.
O.RNG	The TOE shall ensure the cryptographic quality of random number generation. For instance random numbers shall not be predictable and shall have sufficient entropy. The TOE shall ensure that no information about the produced random numbers is available to an attacker since they might be used for instance to generate cryptographic keys.

O.KEY-MNGT	The TOE shall provide a means to securely manage cryptographic keys. This concerns the correct generation, distribution, access and destruction of cryptographic keys. See #.KEY-MNGT.
O.PIN-MNGT	The TOE shall provide a means to securely manage PIN objects (including the PIN try limit, PIN try counter and states). If the PIN try limit is reached, no further PIN authentication must be allowed. See #.PIN-MNGT for details. Application Note: PIN objects may play key roles in the security architecture of client applications. The way they are stored and managed in the memory of the smart card must be carefully considered, and this applies to the whole object rather than the sole value of the PIN. For instance, the try limit and the try counter's value are as sensitive as that of the PIN and the TOE must restrict their modification only to authorized applications such as the card manager.
O.TRANSACTION	The TOE must provide a means to execute a set of operations atomically. See #.TRANSACTION for details.
O.OBJ-DELETION	The TOE shall ensure the object deletion shall not break references to objects. See #.OBJ-DELETION for further details.
O.DELETION	The TOE shall ensure that both applet and CAP file deletion perform as expected. See #.DELETION for details.
O.LOAD	The TOE shall ensure that the loading of a CAP file into the card is safe. Besides, for code loaded post-issuance, the TOE shall verify the integrity and authenticity evidences generated during the verification of the application CAP file by the verification authority. This verification by the TOE shall occur during the loading or later during the install process. Application Note: Usurpation of identity resulting from a malicious installation of an applet on the card may also be the result of perturbing the communication channel linking the CAD and the card. Even if the CAD is placed in a secure environment, the attacker may try to capture, duplicate, permute or modify the CAP files sent to the card. He may also try to send one of its own applications as if it came from the card issuer. Thus, this objective is intended to ensure the integrity and authenticity of loaded CAP files.
O.INSTALL	The TOE shall ensure that the installation of an applet performs as expected (See #.INSTALL for details). Besides, for code loaded post-issuance, the TOE shall verify the integrity and authenticity evidences generated during the verification of the application CAP file by the verification authority. If not performed during the loading process, this verification by the TOE shall occur during the install process.
O.SCP.IC	The SCP shall provide all IC security features against physical attacks. This security objective refers to the point (7) of the security aspect #.SCP: It is required that the IC is designed in accordance with a well-defined set of policies and Standards (likely specified in another protection profile), and will be tamper resistant to actually prevent an attacker from extracting or altering security data (like cryptographic keys) by using commonly employed techniques (physical probing and sophisticated analysis of the chip). This especially matters to the management (storage and operation) of cryptographic keys.
O.SCP.RECOVERY	If there is a loss of power, or if the smart card is withdrawn from the CAD while an operation is in progress, the SCP must allow the TOE to eventually complete the interrupted operation successfully, or recover to a consistent and secure state. This security objective refers to the security aspect #.SCP (1): The smart card platform must be secure with respect to the SFRs. Then after a power loss or sudden card removal prior to completion of some communication protocol, the SCP will allow the TOE on the next power up to either complete the interrupted operation or revert to a secure state.
O.SCP.SUPPORT	The SCP shall support the TSFs of the TOE. This security objective refers to the security aspects 2, 3, 4 and 5 of #.SCP: (2) It does not allow the TSFs to be bypassed or altered and does not allow access to other low-level functions than those made available by packages of the API. That

	includes the protection of its private data and code (against disclosure or modification) from the Java Card System. (3) It provides secure low-level cryptographic processing to the Java Card System. (4) It supports the needs for any update to a single persistent object or class field to be atomic, and possibly a low-level transaction mechanism. (5) It allows the Java Card System to store data in "persistent technology memory" or in volatile memory, depending on its needs (for instance, transient objects must not be stored in non-volatile memory). The memory model is structured and allows for low-level control accesses (segmentation fault detection).
From 'Sensitive Array' package	
O.SENSITIVE_ARRAYS_INTEG	The TOE shall ensure that only the currently selected applications may have a write access to the integrity-sensitive array object (javacard.framework.SensitiveArrays) created by that application. Any unauthorized modification through physical attacks to that integrity-sensitive array must be detected by the TOE and notified to the application.
From 'Sensitive Result' package	
O.SENSITIVE_RESULTS_INTEG	The TOE shall ensure that the sensitive results (javacardx.security.SensitiveResults) of sensitive operations executed by applications through the Java Card API are protected in integrity specifically against physical attacks.
From 'Monotonic Counters' package	
O.MTC-CTR-MNGT	The TOE shall provide a means to securely manage value of the monotonic counter. This concerns the optional package javacardx.security.util of the Java Card platform.
From 'Cryptographic Certificate Management' package	
O.CRT-MNGT	The TOE shall provide a means to securely manage cryptographic certificates. This concerns the optional package javacardx.security.cert of the Java Card platform.

Application note: Security Objectives O.OPERATE, O.RESOURCES are relevant security objectives for System Time package, as system time API extension package is implemented

7.1.3 [PP-CSP] Protection Profile

The following TOE security objectives are listed in [PP-CSP] and shall also be considered for the present evaluation.

O.AuthentTOE Authentication of the TOE to external entities

The TOE authenticates themselves in charge of authorized users to external entities by means of secure cryptographic entity authentication and attestation.

O.Enc Confidentiality of user data by means of encryption and decryption

The TOE provides secure encryption and decryption as security service for the users to protect the confidentiality of user data imported, exported or stored on media in the scope of TSF control.

O.DataAuth Data authentication by cryptographic mechanisms

The TOE provides secure symmetric and asymmetric data authentication mechanisms as security services for the users to protect the integrity and authenticity of user data.

O.RBGS Random bit generation service

The TOE provide cryptographically secure random bit generation service for the users.

O.TChann Trusted channel

The TSF provides trusted channel using secure cryptographic mechanisms for the communication between the TSF and external entities. The TOE provides authentication of all communication end

points, ensures the confidentiality and integrity of the communication data exchanged through the trusted channel.

Note the TSF can establish the trusted channel by means of secure cryptographic mechanisms only if the other endpoint supports these secure cryptographic mechanisms as well. If trusted channel cannot be established by means of secure cryptographic mechanisms due to missing security functionality of the user then the operational environment shall provide a secure channel protecting the communication by non-cryptographic security measures, cf. A.SecComm and OE.SecComm.

O.I&A Identification and authentication of users

The TOE shall uniquely identify users and verify the claimed identity of the user before providing access to any controlled resources with the exception of self-test, identification of the TOE and authentication of the TOE. The TOE shall authenticate IT entities using secure cryptographic mechanisms.

O.AccCtrl Access control

The TOE provides access control on security services, operations on user data, management of TSF and TSF data.

O.SecMan Security management

The TOE provides security management of users, TSF, TSF data and cryptographic keys by means of secure cryptographic mechanisms and using certificates. The TSF generates, derives, agrees, import and export cryptographic keys as security service for users and for internal use. The TSF shall destruct unprotected secret or private keys in such a way that any previous information content of the resource is made unavailable.

O.TST Self-test

The TSF performs self-tests during initial start-up, at the request of the authorised user and after power-on. The TSF enters secure state if self-test fails or attacks are detected.

O.PhysProt Physical protection

The TSF protects the confidentiality and integrity of user data, TSF data and its correct operation against physical attacks and environmental stress. In case of platform architecture the TSF protects the secure execution environment for and the communication with the application component running on the TOE.

O.SecUpCP Secure import of Update Code Package

The TSF verifies the authenticity of received encrypted Update Code Package, decrypts authentic Update Code Package and allows authorized users to store decrypted Update Code Package.

7.2 SECURITY OBJECTIVES FOR THE OPERATIONAL ENVIRONMENT

7.2.1 [PP-GP] Protection Profile

The following security objectives for the operational environment are listed in [PP-GP] and shall be considered for the present evaluation.

From core part	
OE.ISSUER	The Issuer shall be a trusted actor responsible for the behaviour of the SE.
OE.ADMIN	The administrators of the CCM servers (e.g. OTA or other kinds of servers) shall be trusted actors. They shall be trained to use and administrate those servers. They have the means and the equipment to perform their tasks. They must be aware of the sensitivity of the assets they manage and the responsibilities associated with the administration of CCM servers. Administrators obey the security policies and constitute, by this OE, no source of an inside attack.
OE.APPS-PROVIDER	The AP shall be a trusted actor that provides applications. The AP must be responsible for the APSD keys.
OE.VERIFICATION-AUTHORITY	The VA shall be a trusted actor with the capability to check and validate the digital signature attached to an application.
OE.KEY-ESCROW	The key escrow shall be a trusted actor in charge of the secure storage of the AP initial keys generated by the personaliser.
OE.PERSONALISER	The personaliser shall be a trusted actor in charge of the personalisation process. The personaliser shall ensure the security of the keys managed and loaded into the card: - Issuer Security Domain keys (ISD keys) - Application Provider Security Domain keys (APSD keys) - Controlling Authority Security Domain keys (CASD keys).
OE.CONTROLLING-AUTHORITY	The CA shall be a trusted actor responsible for securing the creation and personalisation of APSD keys. The CA must be responsible for the CASD keys.
OE.SCP-SUPP	Secure Communication Protocols shall be supported and used by the operational environment.
OE.KEYS-PROT	During the TOE's use, the terminal in interaction with the TOE shall ensure the protection (integrity and confidentiality) of the applied keys by operational means and/or procedures.
OE.PRODUCTION	Security procedures shall be used after TOE Delivery up to delivery to the end consumer to maintain confidentiality and integrity of the TOE and of its data (to prevent any possible copy, modification, retention, theft, or unauthorised use).
OE.APPLICATIONS	Developers and Validators shall comply with the security guidance and ensure that the rules are enforced.
OE.AID-MANAGEMENT	The VA shall verify that the AID of the application being loaded does not impersonate the AID known by another application on the card for the use of shareable services.
OE.LOADING	Application code, validated or certified depending on the application, is loaded onto the SE Platform using any kind of CCM servers (e.g. OTA or other kinds of servers used to perform card content management) and protocols with contactless or contact (e.g. USB) connectivity.
OE.SERVERS	The Issuer must enforce a policy to ensure the security of the applications stored on its CCM servers (e.g. OTA or other kinds of servers used to perform card content management).
OE.AP-KEYS	The SD-key-personaliser, the AP, and the key escrow must enforce a security policy securing the transmissions.
OE.ISD-KEYS	The security of the ISD keys must be ensured in the environment of the TOE.
OE.KEY-GENERATION	The personaliser must ensure that the generated keys cannot be accessed by unauthorised users.
OE.CA-KEYS	The CASD keys must be securely generated prior to storage in the SE card.
OE.KEY-CHANGE	The AP must change the initial keys of APSD before any operation on it.
From package 'Ciphered Load File Data Block (CLFDB)'	
OE.CLFDB-ENC-PR	The Load File Data Block shall be encrypted securely by a trusted SD provider. Application Note: See [GPCS] section C.6.
From package 'Delegated Management (DM)'	
OE.TOKEN-GEN	The Token shall be generated securely by a trusted entity according to the

	signature algorithms defined in GlobalPlatform specifications. Application Note: See [GPCS] sections B.1, B.2, B.3, B.4, and C.4.
OE.RECEIPT-VER	The Receipt shall be verified securely by a trusted entity according to the methods defined in GlobalPlatform specifications. Application Note: See [GPCS] sections B.1, B.2, B.3, B.4, and C.5.
From packages 'DAP Verification' and 'Mandated DAP Verification'	
OE.DAP_BLOCK_GEN	The DAP Block shall be generated securely by a trusted entity that verifies the content of the Load File Data Block linked to the hash.
From PP-Module 'OS Update'	
OE.OS-UPDATE-EVIDENCE	For additional code loaded pre issuance, evaluated technical measures implemented by the TOE or audited organisational measures must ensure that the additional code (1) has been issued by the genuine OS Developer and (2) has not been altered since it was issued by the genuine OS Developer. For additional code loaded post issuance, the OS Developer shall provide digital evidence to the TOE that (1) he is the genuine developer of the additional code and (2) the additional code has not been modified since it was issued by the genuine OS Developer.
OE.OS-UPDATE-ENCRYPTION	For additional code loaded post issuance, the OS Developer shall encrypt the additional code so that its confidentiality is ensured when it is transmitted to the TOE for loading and installation.
OE.SECURE_ACODE_MANAGEMENT	Key management processes related to the OS Update capability shall take place in a secure and audited environment. The key generation processes shall guarantee that cryptographic keys are of sufficient quality and appropriately secured to ensure confidentiality, authenticity, and integrity of the keys.

7.2.2 [PP-JCS] Protection Profile

The following security objectives for the operational environment are listed in [PP-JCS] and shall also be considered for the present evaluation.

OE.CAP_FILE	No CAP file loaded post-issuance shall contain native methods.
OE.VERIFICATION	All the bytecodes shall be verified at least once, before the loading, before the installation or before the execution, depending on the card capabilities, in order to ensure that each bytecode is valid at execution time. See #.VERIFICATION for details. Additionally, the applet shall follow all the recommendations, if any, mandated in the platform guidance for maintaining the isolation property of the platform. Application Note: constraints to maintain the isolation property of the platform are provided by the platform developer in application development guidance. The constraints apply to all application code loaded in the platform.
OE.CODE-EVIDENCE	For application code loaded pre-issuance, evaluated technical measures implemented by the TOE or audited organizational measures must ensure that loaded application has not been changed since the code verifications required in OE.VERIFICATION. For application code loaded post-issuance and verified off-card according to the requirements of OE.VERIFICATION, the verification authority shall provide digital evidence to the TOE that the application code has not been modified after the code verification and that he is the actor who performed code verification. For application code loaded post-issuance and partially or entirely verified on-card, technical measures must ensure that the verification required in OE.VERIFICATION are performed. On-card bytecode verifier is out of the scope of this Protection Profile. Application Note: for application code loaded post-issuance and verified off-card, the integrity and authenticity evidence can be achieved by electronic signature of the application code, after code verification, by the actor who

	performed verification.

7.2.3 [PP-CSP] Protection Profile

The following security objectives for the operational environment are listed in [PP-CSP] and shall also be considered for the present evaluation.

OE.CommInf Communication infrastructure

The operational environment shall provide public key infrastructure for entities in the communication networks. The trust centers generate secure certificates for trustworthy certificate holder with correct security attributes. They distribute securely their certificate signing public key for verification of digital signature of the certificates and run a directory service for dissemination of certificates and provision of revocation status information of certificates.

OE.AppComp Support of the Application component

The Application component supports the TOE for communication with users and trust centers.

OE.SecManag Security management

The operational environment shall implement appropriate security management for secure use of the TOE including user management, key management. It ensures secure key management outside the TOE and uses the trust center services to determine the validity of certificates. The cryptographic keys and cryptographic key components shall be assigned to the secure cryptographic mechanisms they are intended to be used with and to the entities authorized for their use.

OE.SecComm Protection of communication channel

Remote entities shall support trusted channels with the TOE using cryptographic mechanisms. The operational environment shall protect the local communication channels by trusted channels using cryptographic mechanisms or by secure channel using non-cryptographic security measures.

OE.SUCP Signed Update Code Packages

The secure Update Code Package is delivered in encrypted form and signed by the authorized issuer together with its security attributes.

7.3 SECURITY OBJECTIVES RATIONALE

7.3.1 Threats, OSPs and Assumptions coverage – Mapping tables from [PP-GP] Protection Profile

Threat	Security objectives
T.UNAUTHORISED-CARD-MGMT	O.CARD-MANAGEMENT, O.COMM-AUTH, O.COMM-INTEGRITY, O.COMM-CONFIDENTIALITY, O.APPLI-AUTH, O.PRIVILEGES-MANAGEMENT, O.LC-MANAGEMENT, O.DOMAIN-RIGHTS, O.CCCM
T.LIFE-CYCLE	O.CARD-MANAGEMENT, O.DOMAIN-RIGHTS
T.COM-EXPLOIT	O.COMM-AUTH, O.COMM-INTEGRITY, O.COMM-CONFIDENTIALITY, O.CCCM
T.BRUTE-FORCE-SCP	O.NO-KEY-REUSE
T.CLFDB-DISC	O.CLFDB-DECIPHER
T.CVM-IMPERSONATE	O.GLOBAL-CVM, O.CVM-BLOCK, O.CVM-MGMT

T.CVM-UPDATE	O.CVM-BLOCK, O.CVM-MGMT
T.BRUTE-FORCE-CVM	O.CVM-BLOCK, O.CVM-MGMT
T.RECEIPT	O.RECEIPT
T.TOKEN	O.TOKEN
T.CTL-REGISTRY-OVERWRITE	O.CTL_REGISTRY
T.CTL-AUTH-FORGE	O.CTL_SC
T.CRS-BYPASS	O.CRS_PRIVILEGES
T.COUNTERS-FREEZE	O.CRS_COUNTERS
T.ELF-UNAUTHORISED	O.ELF_AUTHORISED, O.CARD-MANAGEMENT, O.DOMAIN-RIGHTS, O.COMM-AUTH
T.ELF-VERSION	O.ELF_INTEGRITY, O.COMM-CONFIDENTIALITY, O.COMM-INTEGRITY
T.ELF-DATA-ACCESS	O.ELF_APP_DATA
T.ELF-DATA-INTEGRITY	O.ELF_APP_DATA
T.ELF-SESSION	O.ELF_SESSION
T.ELF-ILL-COMMAND	O.ELF_SESSION
T.ELF-RES-DATA	O.ELF_DATA_PRO
T.UNAUTHORISED-TOE-CODE-UPDATE	O.SECURE_LOAD_ACODE
T.FAKE-SGNVER-KEY	O.SECURE_LOAD_ACODE
T.WRONG-UPDATE-STATE	O.SECURE_AC_ACTIVATION, O.TOE_IDENTIFICATION
T.INTEG-OS-UPDATE-LOAD	O.SECURE_LOAD_ACODE
T.CONFID-OS-UPDATE-LOAD	O.CONFID-OS-UPDATE.LOAD
T.CONFID-APPLI-DATA	O.SCP.RECOVERY, O.SCP.SUPPORT, O.CARD-MANAGEMENT, OE.VERIFICATION, O.SID, O.OPERATE, O.FIREWALL, O.GLOBAL_ARRAYS_CONFID, O.ARRAY_VIEWS_CONFID, O.ALARM, O.TRANSACTION, O.CIPHER, O.RNG, O.PIN-MNGT, O.KEY-MNGT, O.REALLOCATION
T.CONFID-JCS-CODE	OE.VERIFICATION, O.CARD-MANAGEMENT, O.NATIVE
T.CONFID-JCS-DATA	O.SCP.RECOVERY, O.SCP.SUPPORT, O.CARD-MANAGEMENT, OE.VERIFICATION, O.SID, O.OPERATE, O.FIREWALL, O.ALARM
T.INTEG-APPLI-CODE	O.CARD-MANAGEMENT, OE.VERIFICATION, O.NATIVE, OE.CODE-EVIDENCE
T.INTEG-APPLI-CODE.LOAD	O.LOAD, O.CARD-MANAGEMENT, OE.CODE-EVIDENCE
T.INTEG-APPLI-DATA	O.SCP.RECOVERY, O.SCP.SUPPORT, O.CARD-MANAGEMENT, OE.VERIFICATION, O.SID, O.OPERATE, O.FIREWALL, O.GLOBAL_ARRAYS_INTEG, O.ARRAY_VIEWS_INTEG, O.ALARM, O.TRANSACTION, O.CIPHER, O.RNG, O.PIN-MNGT, O.KEY-MNGT, O.REALLOCATION, OE.CODE-EVIDENCE, O.MTC-CTR-MNGT, O.CRT-MNGT
T.INTEG-APPLI-DATA.LOAD	O.LOAD, O.CARD-MANAGEMENT, OE.CODE-EVIDENCE
T.INTEG-JCS-CODE	O.CARD-MANAGEMENT, OE.VERIFICATION, O.NATIVE, OE.CODE-EVIDENCE
T.INTEG-JCS-DATA	O.SCP.RECOVERY, O.SCP.SUPPORT, O.CARD-MANAGEMENT, OE.VERIFICATION, O.SID, O.OPERATE, O.FIREWALL, O.ALARM, OE.CODE-EVIDENCE
T.SID.1	O.CARD-MANAGEMENT, O.FIREWALL, O.GLOBAL_ARRAYS_CONFID, O.GLOBAL_ARRAYS_INTEG, O.INSTALL, O.SID
T.SID.2	O.SCP.RECOVERY, O.SCP.SUPPORT, O.SID, O.OPERATE, O.FIREWALL, O.INSTALL
T.EXE-CODE.1	OE.VERIFICATION, O.FIREWALL
T.EXE-CODE.2	OE.VERIFICATION
T.NATIVE	OE.VERIFICATION, OE.CAP_FILE , O.NATIVE
T.RESOURCES	O.INSTALL, O.OPERATE, O.RESOURCES,

	O.SCP.RECOVERY, O.SCP.SUPPORT
T.DELETION	O.DELETION, O.CARD-MANAGEMENT
T.INSTALL	O.INSTALL, O.LOAD, O.CARD-MANAGEMENT
T.OBJ-DELETION	O.OBJ-DELETION
T.PHYSICAL	O.SCP.IC, O.SENSITIVE_ARRAYS_INTEG, O.SENSITIVE_RESULTS_INTEG

Table 3: Threats coverage by security objectives – Mapping table [PP-GP]

OSP	Security objectives
OSP.AID-MANAGEMENT	OE.AID-MANAGEMENT
OSP.LOADING	OE.LOADING
OSP.SERVERS	OE.SERVERS
OSP.APSD-KEYS	OE.AP-KEYS
OSP.ISD-KEYS	OE.ISD-KEYS
OSP.KEY-GENERATION	OE.KEY-GENERATION
OSP.CASD-KEYS	OE.CA-KEYS
OSP.KEY-CHANGE	OE.KEY-CHANGE
OSP.SECURITY-DOMAINS	O.SECURITY-DOMAINS
OSP.APPLICATIONS	OE.APPLICATIONS
OSP.CLFDB-ENC-PR	OE.CLFDB-ENC-PR
OSP.TOKEN-GEN	OE.TOKEN-GEN
OSP.RECEIPT-VER	OE.RECEIPT-VER
OSP.DAP_BLOCK_GEN	OE.DAP_BLOCK_GEN
OSP.CCCM	O.CCCM
OSP.ELF_DELE_OP	O.ELF_DELE_IRR
OSP.ATOMIC_ACTIVATION	O.SECURE_AC_ACTIVATION
OSP.TOE_IDENTIFICATION	O.TOE_IDENTIFICATION
OSP.ADDITIONAL_CODE_SIGNING	O.SECURE_LOAD_ACODE
OSP.ADDITIONAL_CODE_ENCRYPTION	O.CONFID-OS-UPDATE.LOAD, OE.OS-UPDATE-ENCRYPTION
OSP.VERIFICATION	OE.VERIFICATION, O.LOAD, OE.CODE-EVIDENCE

Table 4: OSP coverage by security objectives – Mapping table [PP-GP]

Assumption	Security objectives
A.ISSUER	OE.ISSUER
A.ADMIN	OE.ADMIN
A.APPS-PROVIDER	OE.APPS-PROVIDER
A.VERIFICATION-AUTHORITY	OE.VERIFICATION-AUTHORITY
A.KEY-ESCROW	OE.KEY-ESCROW
A.PERSONALISER	OE.PERSONALISER
A.CONTROLLING-AUTHORITY	OE.CONTROLLING-AUTHORITY
A.PRODUCTION	OE.PRODUCTION
A.SCP-SUPP	OE.SCP-SUPP
A.KEYS-PROT	OE.KEYS-PROT
A.OS-UPDATE-EVIDENCE	OE.OS-UPDATE-EVIDENCE
A.SECURE_ACODE_MANAGEMENT	OE.SECURE_ACODE_MANAGEMENT
A.CAP_FILE	OE.CAP_FILE
A.VERIFICATION	OE.VERIFICATION, OE.CODE-EVIDENCE

Table 5: Assumptions coverage by security objectives – Mapping table [PP-GP]

7.3.2 *Threats, OSPs and Assumptions coverage – Mapping tables from [PP-CSP] Protection Profile*

Threat	Security objectives
T.DataCompr	O.Enc, O.Tchann, OE.AppComp, OE.CommInf, OE.SecComm
T.DataMani	O.DataAuth, O.Tchann, OE.AppComp, OE.CommInf, OE.SecComm
T.Masqu	O.I&A, O.SecMan, O.Tchann, OE.SecManag
T.ServAcc	O.AccCtrl, O.I&A, O.Tchann, OE.AppComp, OE.CommInf, OE.SecComm
T.PhysAttack	O.PhysProt,, O.TST
T.FaUpD	O.SecUpCP, OE.SUCP

Table 6: Threats coverage by security objectives – Mapping table [PP-CSP]

OSP	Security objectives
OSP.SecCryM	O.AuthentTOE, O.DataAuth, O.Enc, O.I&A, O.RBGS, O.SecMan, O.Tchann
OSP.SecService	O.AuthentTOE, O.DataAuth, O.Enc, O.I&A, O.RBGS, O.Tchann, OE.CommInf, OE.SecManag
OSP.KeyMan	O.SecMan, OE.CommInf, OE.SecManag
OSP.TC	O.SecMan, OE.AppComp, OE.CommInf
OSP.Update	O.SecUpCP, OE.SUCP

Table 7: OSP coverage by security objectives – Mapping table [PP-CSP]

Assumption	Security objectives
A.SecComm	OE.SecComm

Table 8: Assumptions coverage by security objectives – Mapping table [PP-CSP]

7.3.3 *Threats coverage – Rationale from [PP-GP] Protection Profile*

T.UNAUTHORISED-CARD-MGMT is covered by:

- O.CARD-MANAGEMENT controls the access to card management functions such as the loading, installation, extradition, or deletion of applets.
- O.COMM-AUTH prevents unauthorized users from initiating a malicious card management operation.
- O.COMM-INTEGRITY protects the integrity of the card management data while it is in transit to the card.
- O.COMM-CONFIDENTIALITY prevents disclosure of encrypted data transiting to the card.
- O.APPLI-AUTH requires that each application be authenticated before loading.
- O.DOMAIN-RIGHTS restricts the modification of an AP security domain key set to the AP owning it.
- O.PRIVILEGES-MANAGEMENT enforces the Privileges assignment and management functionalities for the on-card entities ISD, SSD, and Applications.
- O.LC-MANAGEMENT enforces the Life Cycle management for the Card, ELFs, SDs, and Applications.
- O.CCCM requires secure personalization and confidential loading of secret keys and applications.

T.LIFE-CYCLE is covered by:

- O.CARD-MANAGEMENT controls the access to the card management functions of loading, installation, extradition, and deletion of applets. Attacks for modification or exploitation of the current life cycle of applications are thus rendered impractical.

- O.DOMAIN-RIGHTS restricts the use of an AP security domain key set and thereby restricts the management of applications to the affected SD and to the AP owning the key set.

T.COM-EXPLOIT is covered by:
- O.COMM-AUTH prevents unauthorized users from initiating a malicious card management operation.
- O.COMM-INTEGRITY protects the integrity of the card management data while it is in transit to the card.
- O.COMM-CONFIDENTIALITY prevents disclosure of encrypted data transiting to the card.
- O.CCCM requires secure personalization and confidential loading of secret keys and applications.

T.BRUTE-FORCE-SCP is covered by O.NO-KEY-REUSE which ensures that session keys can be used only once.

T.CLFDB-DISC is covered by O.CLFDB-DECIPHER which protects the Ciphered Load File Data Block when it is transmitted to the SE for decryption prior to installation.

T.CVM-IMPERSONATE is covered by:
- O.GLOBAL-CVM restricts the modification of the security attributes of the CVM only to defined privileged applications appointed by the Card Manager.
- O.CVM-BLOCK blocks the global PIN used to authenticate the Cardholder if the maximum number of attempts has been reached.
- O.CVM-MGMT securely manages CVM objects.

T.CVM-UPDATE is covered by:
- O.CVM-BLOCK
- O.CVM-MGMT

T.BRUTE-FORCE-CVM is covered by:
- O.CVM-BLOCK blocks the global PIN used to authenticate the Cardholder if the maximum number of attempts has been reached.
- O.CVM-MGMT securely manages CVM objects.

T.RECEIPT is covered by O.RECEIPT which generates non repudiable receipts of the completion of card management operations.

T.TOKEN is covered by O.TOKEN which verifies tokens during the processing of card management operations.

T.CTL-REGISTRY-OVERWRITE is covered by O.CTL_REGISTRY which ensures that only authorized changes in the Contactless Registry are performed.

T.CTL-AUTH-FORGE is covered by O.CTL_SC which ensures that the modification of blacklists of CCM tokens or the CRS visibility state on the CTL interface comes through a Secure Channel.

T.CRS-BYPASS is covered by O.CRS_PRIVILEGES which manages the assignment of the ‘Contactless Activation’ Privilege and the ‘Global Registry’ Privilege.

T.COUNTERS-FREEZE is covered by O.CRS_COUNTERS which ensures that the Update Counters are protected for integrity and increased by one at each completed operation or sequence of operations.

T.ELF-UNAUTHORISED is covered by:
- O.ELF_AUTHORISED ensures that only authorized entities are able to load ELFs.
- O.CARD-MANAGEMENT controls the access to card management functions such as the loading, installation, extradition, or deletion of applets.
- O.DOMAIN-RIGHTS restricts the use of an AP security domain key set and therewith the management of applications to the affected SD and to the AP owning the key set.
- O.COMM-AUTH prevents unauthorized users from initiating a malicious card management operation.

T.ELF-VERSION is covered by:
- O.ELF_INTEGRITY preserves the ELF integrity and confidentiality (if required) during the loading process.
- O.COMM-CONFIDENTIALITY prevents disclosure of encrypted data transiting to the card.
- O.COMM-INTEGRITY protects the integrity of the card management data while it is in transit to the card.

T.ELF-DATA-ACCESS is covered by O.ELF_APP_DATA which maintains the integrity & consistency of Registry data.

T.ELF-DATA-INTEGRITY is covered by O.ELF_APP_DATA which maintains the integrity & consistency of Registry data.

T.ELF-SESSION is covered by O.ELF_SESSION which ensures that the upgrade process is performed securely.

T.ELF-ILL-COMMAND is covered by O.ELF_SESSION which ensures that the upgrade process is performed securely.
T.ELF-RES-DATA is covered by O.ELF_DATA_PRO which protects ELF information when the resource is reallocated.

T.UNAUTHORISED-TOE-CODE-UPDATE is covered by O.SECURE_LOAD_ACODE which ensures that only an allowed version of the additional code can be loaded.

T.FAKE-SGNVER-KEY is covered by O.SECURE_LOAD_ACODE which ensures that only an allowed version of the additional code can be loaded.

T.WRONG-UPDATE-STATE is covered by:
- O.SECURE_AC_ACTIVATION ensures that the activation of the additional code and update of the Identification Data are performed at the same time in an atomic way.
- O.TOE_IDENTIFICATION guarantees the integrity of the stored Identification Data in its non-volatile memory.

T.INTEG-OS-UPDATE-LOAD is covered by O.SECURE_LOAD_ACODE which ensures that only an allowed version of the additional code can be loaded.

T.CONFID-OS-UPDATE-LOAD is covered by O.CONFID-OS-UPDATE.LOAD which performs the decryption of the additional code prior installation.

T.CONFID-APPLI-DATA is countered by the security objective for the operational environment regarding bytecode verification (OE.VERIFICATION). It is also covered by the isolation commitments stated in the (O.FIREWALL) objective. It relies in its turn on the correct identification of applets stated in (O.SID). Moreover, as the firewall is dynamically enforced, it shall never stop operating, as stated in the (O.OPERATE) objective. As the firewall is a software tool automating critical controls, the objective O.ALARM asks for it to provide clear warning and error messages, so that the appropriate counter-measure can be taken. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively. The objectives O.SCP.RECOVERY and O.SCP.SUPPORT are intended to support the O.OPERATE and O.ALARM objectives of the TOE, so they are indirectly related to the threats that these latter objectives contribute to counter. As applets may need to share some data or communicate with the CAD, cryptographic functions are required to actually protect the exchanged information (O.CIPHER, O.RNG). Remark that even if the TOE shall provide access to the appropriate TSFs, it is still the responsibility of the applets to use them. Keys, PIN's are particular cases of an application's sensitive data (the Java Card System may possess keys as well) that ask for appropriate management (O.KEY-MNGT, O.PIN-MNGT, O.TRANSACTION). If the PIN class of the Java Card API is used, the objective (O.FIREWALL) shall contribute in covering this threat by controlling the sharing of the global PIN between the applets. Other application data that is sent to the applet as clear text arrives to the APDU buffer, which is a resource shared by all applications. The disclosure of such data is prevented by the security objective O.GLOBAL_ARRAYS_CONFID. An applet might share data

buffer with another applet using array views without the array view security attribute ATTR_READABLE_VIEW. The disclosure of data of the applet creating the array view is prevented by the security object O.ARRAY_VIEWS_CONFID. Finally, any attempt to read a piece of information that was previously used by an application but has been logically deleted is countered by the O.REALLOCATION objective. That objective states that any information that was formerly stored in a memory block shall be cleared before the block is reused.

T.CONFID-JCS-CODE is countered by the list of properties described in the (#.VERIFICATION) security aspect. Bytecode verification ensures that each of the instructions used on the Java Card platform is used for its intended purpose and in the intended scope of accessibility. As none of those instructions enables reading a piece of code, no Java Card applet can therefore be executed to disclose a piece of code. Native applications are also harmless because of the objective O.NATIVE, so no application can be run to disclose a piece of code. The (#.VERIFICATION) security aspect is addressed in this PP by the objective for the environment OE.VERIFICATION. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively.

T.CONFID-JCS-DATA is covered by bytecode verification (OE.VERIFICATION) and the isolation commitments stated in the (O.FIREWALL) security objective. This latter objective also relies in its turn on the correct identification of applets stated in (O.SID). Moreover, as the firewall is dynamically enforced, it shall never stop operating, as stated in the (O.OPERATE) objective. As the firewall is a software tool automating critical controls, the objective O.ALARM asks for it to provide clear warning and error messages, so that the appropriate counter-measure can be taken. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively. The objectives O.SCP.RECOVERY and O.SCP.SUPPORT are intended to support the O.OPERATE and O.ALARM objectives of the TOE, so they are indirectly related to the threats that these latter objectives contribute to counter.

T.INTEG-APPLI-CODE is countered by the list of properties described in the (#.VERIFICATION) security aspect. Bytecode verification ensures that each of the instructions used on the Java Card platform is used for its intended purpose and in the intended scope of accessibility. As none of these instructions enables modifying a piece of code, no Java Card applet can therefore be executed to modify a piece of code. Native applications are also harmless because of the objective O.NATIVE, so no application can run to modify a piece of code. The (#.VERIFICATION) security aspect is addressed in this configuration by the objective for the environment OE.VERIFICATION. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively. The objective OE.CODE-EVIDENCE contributes to cover this threat by ensuring that integrity and authenticity evidences exist for the application code loaded into the platform.

T.INTEG-APPLI-CODE.LOAD is countered by the security objective O.LOAD which ensures that the loading of CAP files is done securely and thus preserves the integrity of CAP files' code. The objective OE.CODE-EVIDENCE contributes to cover this threat by ensuring that the application code loaded into the platform has not been changed after code verification, which ensures code integrity and authenticity. By controlling the access to card management functions such as the installation, update or deletion of applets the objective O.CARD-MANAGEMENT contributes to cover this threat.

T.INTEG-APPLI-DATA is countered by bytecode verification (OE.VERIFICATION) and the isolation commitments stated in the (O.FIREWALL) objective. This latter objective also relies in its turn on the correct identification of applets stated in (O.SID). Moreover, as the firewall is dynamically enforced, it shall never stop operating, as stated in the (O.OPERATE) objective. As the firewall is a software tool automating critical controls, the objective O.ALARM asks for it to provide clear warning and error messages, so that the appropriate counter-measure can be taken. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively. The objective OE.CODE-EVIDENCE contributes to cover this threat by ensuring that the application code loaded into the platform has not been changed after code verification, which ensures code integrity and authenticity. The objectives O.SCP.RECOVERY and O.SCP.SUPPORT are intended to support the O.OPERATE and O.ALARM objectives of the TOE, so they are indirectly related to the threats that these latter

objectives contribute to counter. Concerning the confidentiality and integrity of application sensitive data, as applets may need to share some data or communicate with the CAD, cryptographic functions are required to actually protect the exchanged information (O.CIPHER, O.RNG). Remark that even if the TOE shall provide access to the appropriate TSFs, it is still the responsibility of the applets to use them. Keys and PIN's are particular cases of an application's sensitive data (the Java Card System may possess keys as well) that ask for appropriate management (O.KEY-MNGT, O.PIN-MNGT, O.TRANSACTION). If the PIN class of the Java Card API is used, the objective (O.FIREWALL) is also concerned. Other application data that is sent to the applet as clear text arrives to the APDU buffer, which is a resource shared by all applications. The integrity of the information stored in that buffer is ensured by the objective O.GLOBAL_ARRAYS_INTEG. An applet might share data buffer with another applet using array views without the array view security attribute ATTR_WRITABLE_VIEW. The integrity of data of the applet creating the array view is ensured by the security objective O.ARRAY_VIEWS_INTEG. Finally, any attempt to read a piece of information that was previously used by an application but has been logically deleted is countered by the O.REALLOCATION objective. That objective states that any information that was formerly stored in a memory block shall be cleared before the block is reused. It is also covered by O.CTR-MNGT such that value of the monotonic counter will be protected against any unauthorized change and by O.CRT-MNGT for certificate management such that certificate data will be protected against any unauthorized change.

T.INTEG-APPLI-DATA.LOAD is countered by the security objective O.LOAD which ensures that the loading of CAP files is done securely and thus preserves the integrity of applications data. The objective OE.CODE-EVIDENCE contributes to cover this threat by ensuring that the application code loaded into the platform has not been changed after code verification, which ensures code integrity and authenticity. By controlling the access to card management functions such as the installation, update or deletion of applets the objective O.CARD-MANAGEMENT contributes to cover this threat.

T.INTEG-JCS-CODE is countered by the list of properties described in the (#.VERIFICATION) security aspect. Bytecode verification ensures that each of the instructions used on the Java Card platform is used for its intended purpose and in the intended scope of accessibility. As none of these instructions enables modifying a piece of code, no Java Card applet can therefore be executed to modify a piece of code. Native applications are also harmless because of the objective O.NATIVE, so no application can be run to modify a piece of code. The (#.VERIFICATION) security aspect is addressed in this configuration by the objective for the environment OE.VERIFICATION. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively. The objective OE.CODE-EVIDENCE contributes to cover this threat by ensuring that the application code loaded into the platform has not been changed after code verification, which ensures code integrity and authenticity.

T.INTEG-JCS-DATA is countered by bytecode verification (OE.VERIFICATION) and the isolation commitments stated in the (O.FIREWALL) objective. This latter objective also relies in its turn on the correct identification of applets stated in (O.SID). Moreover, as the firewall is dynamically enforced, it shall never stop operating, as stated in the (O.OPERATE) objective. As the firewall is a software tool automating critical controls, the objective O.ALARM asks for it to provide clear warning and error messages, so that the appropriate counter-measure can be taken. The objectives O.CARD-MANAGEMENT and OE.VERIFICATION contribute to cover this threat by controlling the access to card management functions and by checking the bytecode, respectively. The objective OE.CODE-EVIDENCE contributes to cover this threat by ensuring that the application code loaded into the platform has not been changed after code verification, which ensures code integrity and authenticity. The objectives O.SCP.RECOVERY and O.SCP.SUPPORT are intended to support the O.OPERATE and O.ALARM objectives of the TOE, so they are indirectly related to the threats that these latter objectives contribute to counter.

As impersonation is usually the result of successfully disclosing and modifying some assets, **T.SID.1** is mainly countered by the objectives concerning the isolation of application data (like PINs), ensured by the (O.FIREWALL). Uniqueness of subject-identity (O.SID) also participates to face this threat. It should be noticed that the AIDs, which are used for applet identification, are TSF data. In this configuration, usurpation of identity resulting from a malicious installation of an applet on the card is covered by the objective O.INSTALL. The installation parameters of an applet (like its name) are loaded into a global array that is also shared by all the applications. The disclosure of those parameters (which could be used to impersonate the applet) is countered by the objectives

O.GLOBAL_ARRAYS_CONFID and O.GLOBAL_ARRAYS_INTEG. The objective O.CARD-MANAGEMENT contributes, by preventing usurpation of identity resulting from a malicious installation of an applet on the card, to counter this threat.

T.SID.2 is covered by integrity of TSF data, subject-identification (O.SID), the firewall (O.FIREWALL) and its good working order (O.OPERATE). The objective O.INSTALL contributes to counter this threat by ensuring that installing an applet has no effect on the state of other applets and thus can't change the TOE's attribution of privileged roles. The objectives O.SCP.RECOVERY and O.SCP.SUPPORT are intended to support the O.OPERATE objective of the TOE, so they are indirectly related to the threats that this latter objective contributes to counter.

T.EXE-CODE.1 coverage: unauthorized execution of a method is prevented by the objective OE.VERIFICATION. This threat particularly concerns the point (8) of the security aspect #.VERIFICATION (access modifiers and scope of accessibility for classes, fields and methods). The O.FIREWALL objective is also concerned, because it prevents the execution of non-shareable methods of a class instance by any subject apart from the class instance owner.

T.EXE-CODE.2 coverage: unauthorized execution of a method fragment or arbitrary data is prevented by the objective OE.VERIFICATION. This threat particularly concerns those points of the security aspect related to control flow confinement and the validity of the method references used in the bytecodes.
T.NATIVE is countered by O.NATIVE which ensures that a Java Card applet can only access native methods indirectly that is, through an API. OE.CAP_FILE also covers this threat by ensuring that no CAP files containing native code shall be loaded in post-issuance. In addition to this, the bytecode verifier also prevents the program counter of an applet to jump into a piece of native code by confining the control flow to the currently executed method (OE.VERIFICATION).

T.RESOURCES is directly countered by objectives on resource-management (O.RESOURCES) for runtime purposes and good working order (O.OPERATE) in a general manner. Consumption of resources during installation and other card management operations are covered, in case of failure, by O.INSTALL. It should be noticed that, for what relates to CPU usage, the Java Card platform is single-threaded and it is possible for an ill-formed application (either native or not) to monopolize the CPU. However, a smart card can be physically interrupted (card removal or hardware reset) and most CADs implement a timeout policy that prevent them from being blocked should a card fails to answer. That point is out of scope of this Protection Profile, though. Finally, the objectives O.SCP.RECOVERY and O.SCP.SUPPORT are intended to support the O.OPERATE and O.RESOURCES objectives of the TOE, so they are indirectly related to the threats that these latter objectives contribute to counter.

T.DELETION is covered by is covered by the O.DELETION security objective which ensures that both applet and CAP file deletion perform as expected. The objective O.CARD-MANAGEMENT controls the access to card management functions and thus contributes to cover this threat.

T.INSTALL is covered by the security objective O.INSTALL which ensures that the installation of an applet performs as expected and the security objectives O.LOAD which ensures that the loading of a CAP file into the card is safe. The objective O.CARD-MANAGEMENT controls the access to card management functions and thus contributes to cover this threat.

T.OBJ-DELETION is covered by the O.OBJ-DELETION security objective which ensures that object deletion shall not break references to objects.

T.PHYSICAL is covered by O.SCP.IC, as physical protections rely on the underlying platform. It is also partially covered by O.SENSITIVE_ARRAYS_INTEG which requires the TOE to detect and notify the application if any unauthorized modification of the integrity-sensitive array object through physical attacks occurred, and by O.SENSITIVE_RESULTS_INTEG which ensures that sensitive results are protected against unauthorized modification by physical attacks.

7.3.4 Threats coverage – Rationale from [PP-CSP] Protection Profile

T.DataCompr “Compromise of communication data”: is countered by the security objectives for the TOE and the operational environment

- O.Enc requires the TOE to provide encryption and decryption as security service for the users to protect the confidentiality of user data,

- O.TChann requires the TOE to support trusted channel between TSF and the application component, and between TSF and other users, and the application component and other users with authentication of all communication end points, protected communication ensuring the confidentiality and integrity of the communication and to prevent misuse of the session of authorized users.

- OE.AppComp requires the application component to support the TOE for communication with users and trust center.

- OE.CommInf requires the operational environment to provide the communication infrastructure especially trust center services.

- OE.SecComm requires the operational environment to protect the confidentiality and integrity of communication over local communication channel by physical security measures and remote entities to support trusted channels by means of cryptographic mechanisms. If a trusted channel cannot be established due to missing security functionality of the application component or human user communication channel the operational environment shall protect the communication, cf. A.SecComm and OE.SecComm.

T.DataMani “Unauthorized generation or manipulation of communication data” is countered by the security objectives for the TOE and the operational environment:

- O.DataAuth requires the TOE to provide symmetric and asymmetric data authentication mechanisms as security service for the users to protect the integrity and authenticity of user data.

- O.TChann requires the TOE to support trusted channel for authentication of all communication end points, protected communication with the application component and other users to ensure the confidentiality and integrity of the communication and to prevent misuse of the session of authorized users

- OE.AppComp requires the application component to support the TOE for communication with users and trust center.

- OE.CommInf requires the operational environment to provide trust center services and securely distribute root public keys.

- OE.SecComm requires the operational environment to protect the confidentiality and integrity of communication with the TOE. Remote entities shall support trusted channels with the TOE using cryptographic mechanisms. The operational environment shall protect the local communication channels by trusted channels using cryptographic mechanisms or by secure channel using non-cryptographic security measures.

T.Masqu “Masquerade authorized user” is countered by the security objectives for the TOE and the operational environment:

- O.I&A requires the TSF to identify uniquely users and verify the claimed identity of the user before providing access to any controlled resources with the exception of self-test, identification of the TOE and authentication of the TOE.

- O.TChann requires the TSF to provide authentication of all communication end points of the trusted channel.

- O.SecMan requiring the TSF to provide security management of users, TSF, TSF data and cryptographic keys by means of secure cryptographic mechanisms and using certificates.

- OE.SecMan requiring the operational environment to implement appropriate security management for secure use of the TOE including user management.

T.ServAcc “Unauthorized access to TOE security services” is countered by the security objectives for the TOE and the operational environment:

- O.I&A requires the TSF to uniquely identify users and to authenticate users before providing access to any controlled resources with the exception of self-test, identification of the TOE and authentication of the TOE. Note an unauthenticated user is allowed to request authentication of the TOE.

- O.AccCtrl requires the TSF to control access on security services, operations on user data, management of TSF and TSF data.

- O.Tchann requires mutual authentication of the external entity and the TOE and the authentication of communicated data to prevent misuse of the communication with external entities. The operational environment is required by OE.SecComm to ensure secure channels if trusted channel cannot be established.

- The operational environment OE.CommInf requires provision of a public key infrastructure for entity authentication and OE.AppComp requires the application to support communication with trust centers.

T.PhysAttack “Physical attacks” is directly countered by the security objectives

- O.PhysProt requires the TSF to protect the confidentiality and integrity of user data, TSF data and its correct operation against physical attacks and environmental stress.

- O.TST requires the TSF to perform self-tests and to enter secure state if self-test fails or attacks are detected as means to ensure robustness against perturbation.

T.FaUpD ”Faulty Update Code Package” is directly countered by the security objective O.SecUpCP verifying the authenticity of UCP under the condition that trustworthy UCP are signed as required by OE.SUCP

- O.SecUpCP “Secure import of Update Code Package” requires the TOE to verify the authenticity of received encrypted Update Code Package before decrypting and storing authentic an Update Code Package.

- OE.SUCP “Signed Update Code Packages” requires the Issuer to sign secure Update Code packages together with its security attributes.

7.3.5 OSP coverage – Rationale from [PP-GP] Protection Profile

OSP.AID-MANAGEMENT is directly enforced by the security objective for the operational environment of the TOE OE.AID-MANAGEMENT.

OSP.LOADING is enforced by the security objective for the operational environment of the TOE OE.LOADING.

OSP.SERVERS is enforced by the security objective for the operational environment of the TOE OE.SERVERS.

OSP.APSD-KEYS is enforced by the security objective for the operational environment of the TOE OE.AP-KEYS.

OSP.ISD-KEYS is enforced by the security objective for the operational environment of the TOE OE.ISD-KEYS.
OSP.KEY-GENERATION is enforced by the security objective for the operational environment of the TOE OE.KEY-GENERATION.

OSP.CASD-KEYS is enforced by the security objective for the operational environment of the TOE OE.CA-KEYS.

OSP.KEY-CHANGE is enforced by the security objective for the operational environment of the TOE OE.KEY-CHANGE.

OSP.SECURITY-DOMAINS is enforced by the security objective for the TOE O.SECURITY-DOMAINS.

OSP.APPLICATIONS is enforced by the security objective for the operational environment of the TOE OE.APPLICATIONS.

OSP.CLFDB-ENC-PR is enforced by the security objective for the operational environment of the TOE OE.CLFDB-ENC-PR.

OSP.TOKEN-GEN is enforced by the security objective for the operational environment of the TOE OE.TOKEN-GEN.

OSP.RECEIPT-VER is enforced by the security objective for the operational environment of the TOE OE.RECEIPT-VER.

OSP.DAP_BLOCK_GEN is enforced by the security objective for the operational environment of the TOE OE.DAP_BLOCK_GEN.

OSP.CCCM is enforced by O.CCCM which requires secure personalization and confidential loading of secret keys and applications.

OSP.ELF_DELE_OP is covered by O.ELF_DELE_IRR which provides an atomic and irreversible deletion operation of the Application instances and ELF(s).

OSP.ATOMIC_ACTIVATION is covered by O.SECURE_AC_ACTIVATION which ensures that the activation of the additional code and update of the Identification Data are performed at the same time in an atomic way.

OSP.TOE_IDENTIFICATION is covered by O.TOE_IDENTIFICATION which guarantees the integrity of the stored Identification Data in its non-volatile memory.

OSP.ADDITIONAL_CODE_SIGNING is covered by O.SECURE_LOAD_ACODE ensures that only an allowed version of the additional code can be loaded.

OSP.ADDITIONAL_CODE_ENCRYPTION is covered by:

- O.CONFID-OS-UPDATE.LOAD performs the decryption of the additional code prior installation.
- OE.OS-UPDATE-ENCRYPTION requires confidentiality protection measures on the additional code loaded when it is transmitted to the TOE for loading and installation.

OSP.VERIFICATION is upheld by the security objective of the environment OE.VERIFICATION which guarantees that all the bytecodes shall be verified at least once, before the loading, before the installation or before the execution in order to ensure that each bytecode is valid at execution time. This policy is also upheld by the security objective of the environment OE.CODE-EVIDENCE which ensures that evidences exist that the application code has been verified and not changed after verification, and by the security objective for the TOE O.LOAD which shall ensure that the loading of a CAP file into the card is safe.

7.3.6 OSP coverage – Rationale from [PP-CSP] Protection Profile

OSP.SecCryM “Secure cryptographic mechanisms” is implemented by means of secure cryptographic mechanisms required in

- O.I&A “Identification and authentication of users” and O.AuthentTOE “Authentication of the TOE to external entities” requiring secure entity authentication mechanisms of users and TOE,

- O.Enc “Confidentiality of user data by means of encryption and decryption” and O.DataAuth ”Data authentication by cryptographic mechanisms” requiring secure cryptographic mechanisms for protection of confidentiality and integrity of user data,

- O.TChann “Trusted channel” requiring secure cryptographic mechanisms for entity authentication mechanisms of users and TOE, protection of confidentiality and integrity of communication data.

- O.RBGS “Random bit generation service” requires the TOE to provide cryptographically secure random bit generation service for the users.

- O.SecMan “Security management” requiring security management of TSF data and cryptographic keys by means of secure cryptographic mechanisms and using certificates.

OSP.SecService “Security services of the TOE” is directly implemented by security objectives for the TOE O.Enc “Confidentiality of user data by means of encryption and decryption”, O.DataAuth ”Data authentication by cryptographic mechanisms”, O.I&A “Identification and authentication of users”, O.AuthentTOE “Authentication of the TOE to external entities”, O.TChann “Trusted channel” and O.RBGS “Random bit generation service” requiring TSF to provide cryptographic security services for the user. The OSP.SecService is supported by OE.CommInf “Communication infrastructure” and OE.SecManag “Security management” providing the necessary measure for the secure use of these services.

OSP.KeyMan “Key Management” is directly implemented by O.SecMan “Security management” and supported by trust center services according to OE.CommInf “Communication infrastructure” and OE.SecManag “Security management”.

OSP.TC “Trust center” is implemented by security objectives for the TOE and the operational environment:

- O.SecMan “Security management” uses certificates for security management of users, TSF, TSF data and cryptographic keys.

- OE.CommInf “Communication infrastructure” requires trust centers to generate secure certificates for trustworthy certificate holder with correct security attributes and to distribute certificates and revocation status information.

- OE.AppComp “Support of the Application component” requires the Application component to support the TOE for communication with trust centers.

OSP.Update “Authorized Update Code Packages” is implemented directly by the security objectives for the TOE O.SecUpCP and the operational environment OE.SUCP.

7.3.7 Assumptions coverage – Rationale from [PP-GP] Protection Profile

A.ISSUER is directly upheld by OE.ISSUER.

A.ADMIN is directly upheld by OE.ADMIN.

A.APPS-PROVIDER is directly upheld by OE.APPS-PROVIDER.

A.VERIFICATION-AUTHORITY is directly upheld by OE.VERIFICATION-AUTHORITY.

A.KEY-ESCROW is directly upheld by OE.KEY-ESCROW.

A.PERSONALISER is directly upheld by OE.PERSONALISER.

A.CONTROLLING-AUTHORITY is directly upheld by OE.CONTROLLING-AUTHORITY.

A.PRODUCTION is directly upheld by OE.PRODUCTION.

A.SCP-SUPP is directly upheld by OE.SCP-SUPP.

A.KEYS-PROT is directly upheld by OE.KEYS-PROT.

A.OS-UPDATE-EVIDENCE is covered by OE.OS-UPDATE-EVIDENCE which requires integrity protection measures on the additional code loaded.

A.SECURE_ACODE_MANAGEMENT is covered by OE.SECURE_ACODE_MANAGEMENT ensures that a key management process related to the OS Update capability is in place in a secure and audited environment.

A.CAP_FILE is upheld by the security objective for the operational environment OE.CAP_FILE which ensures that no CAP file loaded post-issuance shall contain native methods.

A.VERIFICATION is upheld by the security objective on the operational environment OE.VERIFICATION which guarantees that all the bytecodes shall be verified at least once, before the loading, before the installation or before the execution in order to ensure that each bytecode is valid at execution time. This assumption is also upheld by the security objective of the environment OE.CODE-EVIDENCE which ensures that evidences exist that the application code has been verified and not changed after verification.

7.3.8 Assumptions coverage – Rationale from [PP-CSP] Protection Profile

A.SecComm "Secure communication" assumes that the operational environment protects the confidentiality and integrity of communication data and ensures reliable identification of its end points. The security objective for the operational environment OE.SecComm requires the operational environment to protect local communication physically and the remote entities to support trusted channels using cryptographic mechanisms.

7.3.9 Compatibility between Security Objectives of [PP-GP] and [PP-CSP]

7.3.9.1 Compatibility between objectives for the TOE

The following table lists the relevant security objectives for the TOE of [PP-GP] and provides the link to the security objectives for the TOE related to [PP-CSP], showing that there are no contradictions between the two.

[PP-GP] objectives for the TOE	Link to [PP-CSP] objectives for the TOE
O.SID	O.I&A
O.FIREWALL	O.AccCtrl
O.GLOBAL_ARRAYS_CONFID	O.AccCtrl
O.GLOBAL_ARRAYS_INTEG	O.AccCtrl
O.ARRAY_VIEWS_CONFID	O.AccCtrl

[PP-GP] objectives for the TOE	Link to [PP-CSP] objectives for the TOE
O.ARRAY_VIEWS_INTEG	O.AccCtrl
O.NATIVE	No contradiction between security objectives
O.OPERATE	O.PhysProt
O.REALLOCATION	O.AccCtrl
O.RESOURCES	No contradiction between security objectives
O.ALARM	O.TST O.PhysProt
O.CIPHER	O.Enc O.DataAuth O.SecMan
O.RNG	O.RBGS
O.KEY-MNGT	O.SecMan
O.PIN-MNGT	No contradiction between security objectives
O.TRANSACTION	No contradiction between security objectives
O.OBJ-DELETION	No contradiction between security objectives
O.DELETION	No contradiction between security objectives
O.LOAD	O.SecUpCP
O.INSTALL	No contradiction between security objectives
O.SCP.IC	O.PhysProt
O.SCP.RECOVERY	O.PhysProt
O.SCP.SUPPORT	O.PhysProt
O.SENSITIVE_ARRAYS_INTEG	O.PhysProt
O.SENSITIVE_RESULTS_INTEG	O.PhysProt
O.CARD-MANAGEMENT	No contradiction between security objectives
O.DOMAIN-RIGHTS	O.AccCtrl
O.APPLI-AUTH	O.SecUpCP
O.SECURITY-DOMAINS	No contradiction between security objectives
O.COMM_AUTH	No contradiction between security objectives

[PP-GP] objectives for the TOE	Link to [PP-CSP] objectives for the TOE
O.COMM_INTEGRITY	No contradiction between security objectives
O.COMM_CONFIDENTIALITY	No contradiction between security objectives
O.NO-KEY-REUSE	No contradiction between security objectives
O.PRIVILEGES-MANAGEMENT	No contradiction between security objectives
O.LC-MANAGEMENT	No contradiction between security objectives
O.CLFDB-DECIPHER	O.SecUpCP
O.GLOBAL-CVM	No contradiction between security objectives
O.CVM-BLOCK	No contradiction between security objectives
O.CVM-MGMT	O.Enc O.PhysProt
O.RECEIPT	No contradiction between security objectives
O.TOKEN	No contradiction between security objectives
O.CCCM	O.Enc O.TChann
O.CTL_REGISTRY	No contradiction between security objectives
O.CTL_SC	No contradiction between security objectives
O.CRS_PRIVILEGES	No contradiction between security objectives
O.CRS_COUNTERS	No contradiction between security objectives
O.ELF_AUTHORISED	No contradiction between security objectives
O.ELF_INTEGRITY	O.DataAuth
O.ELF_APP_DATA	O.PhysProt O.DataAuth O.TChann
O.ELF_SESSION	No contradiction between security objectives
O.ELF_DELE_IRR	No contradiction between security objectives
O.ELF_DATA_PRO	No contradiction between security objectives
O.SECURE_LOAD_ACODE	O.SecUpCP
O.SECURE_AC_ACTIVATION	No direct link with [PP_CSP] objectives nevertheless it is used for secure update code

[PP-GP] objectives for the TOE	Link to [PP-CSP] objectives for the TOE
	package installation
O.TOE_IDENTIFICATION	No direct link with [PP_CSP] objectives nevertheless it is used for secure update code package installation
O.CONFID-OS-UPDATE.LOAD	O.SecUpCP
O.MTC-CTR-MNGT	No contradiction between security objectives
O.CRT-MNGT	No contradiction between security objectives

Table 9 Compatibility between objectives for the TOE

We can therefore conclude that the objectives for the TOE of [PP-GP] and [PP-CSP] are consistent.

7.3.9.2 Compatibility between objectives for the environment

The following table lists the relevant security objectives for the environment of [PP-GP] and provides the link to the security objectives for the environment related to [PP-CSP], showing that there are no contradictions between the two.

[PP-GP] objectives for the environment	Link to [PP-CSP] objectives for the environment
OE.CAP_FILE	CSP package is full javacard package thus does not contain native methods
OE.VERIFICATION	CSP package has passed byte code verification
OE.CODE-EVIDENCE	CSP package loading: fulfilled by audited organizational measures
OE.ISSUER	Not managed by CSP package
OE.ADMIN	Not managed by CSP package
OE.APPS-PROVIDER	Not managed by CSP package
OE.VERIFICATION-AUTHORITY	Not managed by CSP package
OE.KEY-ESCROW	Not managed by CSP package
OE.PERSONALISER	Not managed by CSP package
OE.CONTROLLING-AUTHORITY	Not managed by CSP package
OE.SCP-SUPP	Not managed by CSP package
OE.KEYS-PROT	Not managed by CSP package

[PP-GP] objectives for the environment	Link to [PP-CSP] objectives for the environment
OE.PRODUCTION	Not managed by CSP package
OE.APPLICATIONS	CSP package developer is THALES and is a trusted actor enforcing secure development process in a secure development environment
OE.AID-MANAGEMENT	Not managed by CSP package
OE.LOADING	Not managed by CSP package
OE.SERVERS	Not managed by CSP package
OE.AP-KEYS	Not managed by CSP package
OE.ISD-KEYS	Not managed by CSP package
OE.KEY-GENERATION	Not managed by CSP package
OE.CA-KEYS	Not managed by CSP package
OE.KEY-CHANGE	Not managed by CSP package
OE.CLFDB-ENC-PR	Not managed by CSP package
OE.TOKEN-GEN	Not managed by CSP package
OE.RECEIPT-VER	Not managed by CSP package
OE.DAP_BLOCK_GEN	Not managed by CSP package
OE.OS-UPDATE-EVIDENCE	Not managed by CSP package
OE.OS-UPDATE-ENCRYPTION	OE.SUCP
OE.SECURE_ACODE_MANAGEMENT	OE.SecManag OE.SUCP

Table 10 Compatibility between objectives for the environment

7.4 COMPOSITION TASKS – OBJECTIVES PART

7.4.1 Statement of compatibility – TOE Objectives part

The following table (see next page) lists the relevant TOE security objectives of the security target [ST_IC], and provides the link to the composite-product TOE security objectives, showing that there is no contradiction between the two sets of objectives.

Label of the chip TOE security objective	Title of the chip TOE security objective	Content of the chip TOE security objective	Linked Composite-product TOE security objectives
O.Leak-Inherent	Protection against Inherent Information Leakage	The TOE must provide protection against disclosure of confidential data stored and/or processed in the Security IC - By measurement and analysis of the shape and amplitude of signals (for example on the power, clock, or I/O lines) and - By measurement and analysis of the time between events found by measuring signals (for instance on the power, clock, or I/O lines).	O.SCP.SUPPORT O.SCP.IC
O.Phys-Probing	Protection against Physical Probing	The TOE must provide protection against disclosure/reconstruction of user data while stored in protected memory areas and processed or against the disclosure of other critical information about the operation of the TOE. This includes protection against - measuring through galvanic contacts which is direct physical probing on the chips surface except on pads being bonded (using standard tools for measuring voltage and current) or - measuring not using galvanic contacts but other types of physical interaction between charges (using tools used in solid-state physics research and IC failure analysis) with a prior reverse-engineering to understand the design and its properties and functions.	O.SCP.SUPPORT O.SCP.IC
O.Malfunction	Protection against Malfunctions	The TOE must ensure its correct operation. The TOE must indicate or prevent its operation outside the normal operating conditions where reliability and secure operation has not been proven or tested. This is to prevent malfunctions. Examples of environmental conditions are voltage, clock frequency, temperature, or external energy fields.	O.OPERATE
O.Phys-Manipulation	Protection against Physical Manipulation	The TOE must provide protection against manipulation of the TOE (including its software and TSF data), the Security IC Embedded Software and the user data of the Composite TOE. This includes protection against - Reverse-engineering (understanding the design and its properties and functions), - Manipulation of the hardware and any data, as well as - Undetected manipulation of memory contents.	O.SCP.SUPPORT O.SCP.IC
O.Leak-Forced	Protection against Forced Information Leakage	The Security IC must be protected against disclosure of confidential data processed in the Security IC (using methods as described under O.Leak-Inherent) even if the information leakage is not inherent but caused by the attacker - By forcing a malfunction (refer to “Protection against Malfunction due to Environmental Stress (O.Malfunction)” and/or - By a physical manipulation (refer to “Protection against Physical Manipulation (O.Phys-Manipulation)”. If this is not the case, signals which normally do not contain significant information about secrets could become an	O.SCP.SUPPORT O.SCP.IC

Label of the chip TOE security objective	Title of the chip TOE security objective	Content of the chip TOE security objective	Linked Composite-product TOE security objectives
		information channel for a leakage attack.	
O.Abuse-Func	Protection against Abuse of Functionality	The TOE must prevent that functions of the TOE which may not be used after TOE Delivery can be abused in order to (i) disclose critical user data of the Composite TOE, (ii) manipulate critical user data of the Composite TOE, (iii) manipulate Security IC Embedded Software or (iv) bypass, deactivate, change or explore security features or security services of the TOE. Details depend, for instance, on the capabilities of the Test Features provided by the IC Dedicated Test Software which are not specified here.	O.SCP.SUPPORT
O.Identification	TOE Identification	The TOE must provide means to store Initialization Data and Pre-personalization Data in its non-volatile memory. The Initialization Data (or parts of them) are used for TOE identification.	No direct link to the composite-product TOE objectives, however chip traceability information stored in NVM is used by the TOE to answer identification CC requirements.
O.RND	Random Numbers	The TOE will ensure the cryptographic quality of random number generation. For instance random numbers shall not be predictable and shall have a sufficient entropy. The TOE will ensure that no information about the produced random numbers is available to an attacker since they might be used for instance to generate cryptographic keys.	O.RNG
O.Cap_Avail_Loader	Capability and availability of the Loader	The TSF provides limited capability of the Loader functionality and irreversible termination of the Loader in order to protect stored user data from disclosure and manipulation.	O.LC-MANAGEMENT O.SCP.SUPPORT
O.Authentication	Authentication to external entities	The TOE shall be able to authenticate itself to external entities. The Initialization Data (or parts of them) are used for TOE authentication verification data.	This IC security objective supports the loading of the TESS v5.2 software during phase 5 (under Samsung LSI authority).
O.Ctrl_Auth_Loader		The TSF provides trusted communication channel with authorized user, supports authentication of the user data to be loaded and access control for usage of the Loader functionality.	This IC security objective supports the loading of the TESS v5.2 software during phase 5 (under Samsung LSI authority).
O.Prot_TSF_Confidentiality		The TOE must provide protection against disclosure of confidential operations of the Security IC (loader, memory management unit…) through the use of a dedicated code loaded on open samples.	No direct link to the composite TOE security objectives, nevertheless it supports the IC global robustness and thus participates to the composite

Label of the chip TOE security objective	Title of the chip TOE security objective	Content of the chip TOE security objective	Linked Composite-product TOE security objectives
			TOE resistance to attacks.
O.Mem-Access		The TOE must provide the Smartcard Embedded Software with the capability to define restricted access memory areas. The TOE must then enforce the partitioning of such memory areas so that access of software to memory areas is controlled as required, for example, in a multi-application environment.	O.SCP.SUPPORT

7.4.2 Statement of compatibility – ENV Objectives part

The following table lists the relevant ENV security objectives of the security target [ST_IC], and provides the link to the composite-product, showing that they have been taken into account and that no contradiction has been introduced.

IC ENV security objective label	IC ENV security objective title	IC ENV security objective content	Link to the composite-product
OE.Resp-Appl	Treatment of user data of the Composite TOE	Security relevant user data of the Composite TOE (especially cryptographic keys) are treated by the Security IC Embedded Software as required by the security needs of the specific application context. For example the Security IC Embedded Software will not disclose security relevant user data of the Composite TOE to unauthorized users or processes when communicating with a terminal.	Covered by TOE Security Objectives: O.COMM-AUTH, O.COMM-INTEGRITY O.COMM-CONFIDENTIALITY O.KEY-MNGT, O.PIN-MNGT
OE.Process-Sec-IC	Protection during composite product manufacturing	Security procedures shall be used after TOE Delivery up to delivery to the end-consumer to maintain confidentiality and integrity of the TOE and of its manufacturing and test data (to prevent any possible copy, modification, retention, theft or unauthorized use). This means that Phases after TOE Delivery up to the end of Phase 6 must be protected appropriately.	• During phases 4, 5: covered by the ALC composite-SARs • During phase 6, 7: covered by OE.PRODUCTION.
OE.Lim_Block_Loader	Limitation of capability and blocking the loader	The Composite Product Manufacturer will protect the Loader functionality against misuse, limit the capability of the Loader and terminate irreversibly the Loader after intended usage of the Loader.	Fulfilled by Samsung LSI. As mentioned in section 4.5, the TESS v5.2 software is loaded during phase 5 of the composite TOE life cycle. Then the Loader is irreversibly deactivated.

OE.TOE_Auth	External entities authenticating of the TOE	The operational environment shall support the authentication verification mechanism and know authentication reference data of the TOE.	Fulfilled by Samsung LSI as a pre-requisite to the TESS v5.2 software loading during phase 5 of the composite TOE life cycle.
OE.Loader_Usage	Secure communication and usage of the loader	The authorized user must fulfil the access conditions required by the Loader.	Fulfilled by Samsung LSI during the TESS v5.2 software loading in phase 5 of the composite TOE life cycle.

8 Extended components definition

8.1 EXTENDED COMPONENT FPT_TCT.1

8.1.1 Description

This section describes the functional requirements for confidentiality protection of inter-TSF transfer of TSF data. The family is similar to the family Basic data exchange confidentiality (FDP_UCT) which defines functional requirements for confidentiality protection of exchanged user data.

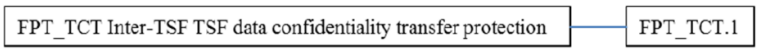
8.1.2 Definition

FPT_TCT.1 Requires the TOE to protect the confidentiality of information in exchanged the TSF data.

Family Behaviour

This family requires confidentiality protection of exchanged TSF data.

Component levelling:



Hierarchical to: No other components.
Dependencies: [FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]
[FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]
Management: There are no management activities foreseen.
Audit: There are no auditable events foreseen.

FPT_TCT.1	TSF data confidentiality transfer protection

FPT_TCT.1.1 The TSF shall enforce the [assignment: access control SFP, information flow control SFP] by providing the ability to [selection: transmit, receive, transmit and receive] TSF data in a manner protected from unauthorized disclosure.

8.2 EXTENDED COMPONENT FPT_TIT.1

8.2.1 Description

This section describes the functional requirements for integrity protection of TSF data exchanged with another trusted IT product. The family is similar to the family Inter-TSF user data integrity transfer protection (FDP_UIT) which defines functional requirements for integrity protection of exchanged user data.

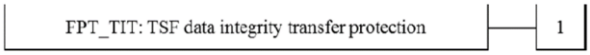
8.2.2 Definition

FPT_TIT.1 Requires the TOE to protect the integrity of information in exchanged the TSF data.

Family Behaviour

This family requires integrity protection of exchanged TSF data.

Component levelling:



Hierarchical to: No other components.
Dependencies: [FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]
[FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]
Management: There are no management activities foreseen.
Audit: There are no auditable events foreseen.

FPT_TIT.1	**TSF data integrity transfer protection**

FPT_TIT.1.1 The TSF shall enforce the [assignment: access control SFP, information flow control SFP] to [selection: transmit, receive, transmit and receive] TSF data in a manner protected from [selection: modification, deletion, insertion, replay] errors.

FPT_TIT.1.2 The TSF shall be able to determine on receipt of TSF data, whether [selection: modification, deletion, insertion, replay] has occurred.

8.3 EXTENDED COMPONENT FPT_ISA.1

8.3.1 Description

This section describes the functional requirements for TSF data import with security attributes from another trusted IT product. The family is similar to the family Import from outside of the TOE (FDP_ITC) which defines functional requirements for user data import with security attributes.

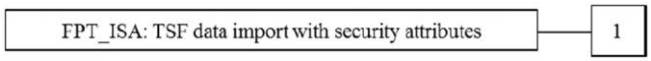
8.3.2 Definition

FPT_ISA.1 Requires the TOE to import TSF data with security attributes.

Family Behaviour

This family requires TSF data import with security attributes.

Component levelling:



Hierarchical to: No other components.
Dependencies: [FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]
[FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data
FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance]
FPT_TDC.1 Inter-TSF basic TSF data consistency
Management: There are no management activities foreseen.
Audit: There are no auditable events foreseen.

FPT_ISA.1	Import of TSF data with security attributes

FPT_ISA.1.1 The TSF shall enforce the [assignment: access control SFP, information flow control SFP] when importing TSF data, controlled under the SFP, from outside of the TOE.

FPT_ISA.1.2 The TSF shall use the security attributes associated with the imported TSF data.

FPT_ISA.1.3 The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the TSF data received.

FPT_ISA.1.4 The TSF shall ensure that interpretation of the security attributes of the imported TSF data is as intended by the source of the TSF data.

FPT_ISA.1.5 The TSF shall enforce the following rules when importing TSF data controlled under the SFP from outside the TOE: [assignment: additional importation control rules].

8.4 EXTENDED COMPONENT FPT_ESA.1

8.4.1 Description

This section describes the functional requirements for TSF data export with security attributes to another trusted IT product. The family is similar to the family Export to outside of the TOE (FDP_ETC) which defines functional requirements for user data export with security attributes.

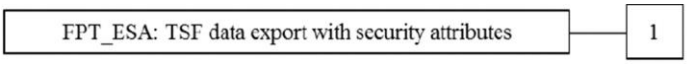
8.4.2 Definition

FPT_ESA.1 Requires the TOE to export TSF data with security attributes.

Family Behaviour

This family requires TSF data export with security attributes.

Component levelling:



Hierarchical to: No other components.
Dependencies: [FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]
[FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]
[FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance]
FPT_TDC.1 Inter-TSF basic TSF data consistency
Management: There are no management activities foreseen.
Audit: There are no auditable events foreseen.

FPT_ESA.1	Export of TSF data with security attributes

FPT_ESA.1.1 The TSF shall enforce the [assignment: access control SFP, information flow control SFP] when exporting TSF data, controlled under the SFP(s), outside of the TOE.

FPT_ESA.1.2 The TSF shall export the TSF data with the TSF data's associated security attributes.

FPT_ESA.1.3 The TSF shall ensure that the security attributes, when exported outside the TOE, are unambiguously associated with the exported TSF data.

FPT_ESA.1.4 The TSF shall enforce the following rules when TSF data is exported from the TOE: [assignment: additional exportation control rules].

9 Security requirements

9.1 SECURITY FUNCTIONAL REQUIREMENTS

9.1.1 Typographical conventions for [PP-GP] and [PP-JCS]

The following conventions are used in the definitions of the SFRs:

- Selections and assignments that have already been made in the [PP-GP] and [PP-JCS] Protection Profiles are **in bold**, and the original text on which the selection or assignment has been made is not reminded.
- Selections and assignments made in this ST are **in bold and underlined**, and the PP original text on which the selection or assignment has been made is indicated in a footnote.
- Iteration operations on SFR components are denoted by showing a slash "/" and the iteration indicator after the SFR component identifier.

9.1.2 [PP-GP] Protection Profile

GlobalPlatform Card Management - Security Functional Requirements

Application note: patch management is an extension of the card management defined in GlobalPlatform since a patch is managed as a JavaCard Package, loaded as a standard executable load file and registered with specific attributes handled with GemActivate.

ELF loading

FDP_IFC.2/GP-ELF Complete information flow control

FDP_IFC.2.1/GP-ELF The TSF shall enforce the **ELF Loading information flow control SFP** on

- **Subjects: S.SD, S.CAD, S.OPEN**
- **Information: APDU commands INSTALL and LOAD, GlobalPlatform APIs for loading and installing ELF**

and all operations that cause that information to flow to and from subjects covered by the SFP.

FDP_IFC.2.2/GP-ELF The TSF shall ensure that all operations that cause any information in the TOE to flow to and from any subject in the TOE are covered by an information flow control SFP.

Application Note:

- This SFR replaces FDP_IFC.2/CM of [PP-JCS].
- The subject S.SD can be the ISD, an APSD, or the CASD.
- GlobalPlatform's card content management APDU commands and API methods are described in [GPCS] Chapter 11 and Appendix A.1, respectively.

FDP_IFF.1/GP-ELF Complete information flow control

FDP_IFF.1.1/GP-ELF The TSF shall enforce the **ELF Loading information flow control SFP** based on the following types of subject and information security attributes:

- **Subjects: S.SD, S.OPEN**

- **Information: APDU commands INSTALL and LOAD, GlobalPlatform APIs for loading and installing ELF**
- **Security attributes: Card Life Cycle state, ELF signature verification status, ELF AID, SD privileges, Secure Channel Security Level**[2].

FDP_IFF.1.2/GP-ELF The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold:

- **S.SD implements one or more Secure Channel Protocols, namely SCP02, SCP03, SCP11, SCP80, SCP81**[3]**, each with a complete Secure Channel Key Set.**
- **S.SD has all of the cryptographic keys required by its privileges (e.g. CLFDB, DAP, DM).**
- **On receipt of INSTALL or LOAD commands, S.OPEN checks that the card Life Cycle State is not CARD_LOCKED or TERMINATED.**
- **S.OPEN accepts an ELF only if its integrity and authenticity has been verified.**
- **S.OPEN accepts an ELF only if its AID is not already registered by the TSF**[4]

FDP_IFF.1.3/GP-ELF The TSF shall enforce the **none**[5].

FDP_IFF.1.4/GP-ELF The TSF shall explicitly authorize an information flow based on the following rules: **none**[6].

FDP_IFF.1.5/GP-ELF The TSF shall explicitly deny an information flow based on the following rules:

- **S.OPEN fails to verify the integrity and request verification of the authenticity for ELFs**
- **S.OPEN fails to verify the Card Life Cycle state**
- **S.OPEN fails to verify the SD privileges.**
- **S.SD fails to verify the security level applied to protect INSTALL or LOAD commands.**
- **S.SD fails to set the security level (integrity and/or confidentiality), to apply to the next incoming command and/or next outgoing response.**
- **S.SD fails to unwrap INSTALL or LOAD commands.**
- **The ELF AID is already registered within the card**[7]

Application Note:

- This SFR refines and replaces FDP_IFF.1/CM of [PP-JCS].
- APDUs belonging to the policy ELF Loading information flow control SFP are described in the following references:
  - For INSTALL, see [GPCS] section 11.5.
  - For LOAD, see [GPCS] section 11.6.
- The INSTALL and LOAD commands must only be issued within a Secure Channel Session; the levels of security for these commands depend on the security level defined in the EXTERNAL AUTHENTICATE command.
- The Minimum Security Level of INSTALL and LOAD is 'AUTHENTICATED' as defined in [GPCS] section 10.6.
- For more details about the rules to be applied to each role of INSTALL command, refer to [GPCS] sections 9.3 and 3.4.

FDP_ITC.2/GP-ELF Import of user data with security attributes

FDP_ITC.2.1/GP-ELF The TSF shall enforce the **ELF Loading information flow control SFP** when importing user data, controlled under the SFP, from outside of the TOE.

[2] [assignment: list of subjects and information controlled under the indicated SFP, and for each, the security attributes]
[3] [selection: SCP02, SCP03, SCP10, SCP11, SCP21, SCP22, SCP80, SCP81]
[4] [assignment: for each operation, the security attribute-based relationship that must hold between subject and information security attributes]
[5] [assignment: additional information flow control SFP rules]
[6] [assignment: rules, based on security attributes, that explicitly authorize information flows]
[7] [assignment: rules, based on security attributes, that explicitly deny information flows]

FDP_ITC.2.2/GP-ELF The TSF shall use the security attributes associated with the imported user data.

FDP_ITC.2.3/GP-ELF The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the user data received.

FDP_ITC.2.4/GP-ELF The TSF shall ensure that interpretation of the security attributes of the imported user data is as intended by the source of the user data.

FDP_ITC.2.5/GP-ELF The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TOE:

- **Referring to Java Card rules defined in [JCVM3] and [JCRE3]: ELF loading is allowed only if, for each dependent ELF, its AID attribute is equal to a resident ELF AID attribute, and the major (minor) Version attribute associated with the dependent ELF is less than or equal to the major (minor) Version attribute associated with the resident ELF.**
- **None**[8]

Application Note:

- This SFR corresponds to FDP_ITC.2/Installer of [PP-JCS].
- Java Card rules are defined in [JCVM3] sections 4.4 and 4.5 and [JCRE3] section 11.
- The TSF shall use the INSTALL data format and the LOAD data format when interpreting the user data from outside the TOE.

Data & Key Loading

FDP_IFC.2/GP-KL	Complete information flow control

FDP_IFC.2.1/GP-KL The TSF shall enforce the **Data & Key Loading information flow control SFP** on

- **Subjects: S.SD, S.CAD, S.OPEN, Application**
- **Information: GlobalPlatform APDU commands STORE DATA and PUT KEY, GlobalPlatform APIs for loading and storing data and keys**

and all operations that cause that information to flow to and from subjects covered by the SFP.

FDP_IFC.2.2/GP-KL The TSF shall ensure that all operations that cause any information in the TOE to flow to and from any subject in the TOE are covered by an information flow control SFP.

Application Note:

- GlobalPlatform's card content management APDU commands and API methods are described in [GPCS] Chapter 11 and Appendix A.1, respectively.
- The subject S.SD can be the ISD, an APSD, or the CASD.

FDP_IFF.1/GP-KL	Complete information flow control

FDP_IFF.1.1/GP-KL The TSF shall enforce the **Data & Key Loading information flow control SFP** based on the following types of subject and information security attributes:

- **Subjects: S.SD, S.OPEN**
- **GlobalPlatform APDU commands STORE DATA and PUT KEY, GlobalPlatform APIs for loading and storing data and keys**
- **Security attributes: card Life Cycle State, Application and SD Life Cycle states, Secure Channel Security Level, SD and Application privileges**[9].

[8] [assignment: additional importation control rules]

[9] [assignment: list of subjects and information controlled under the indicated SFP, and for each, the security attributes]

FDP_IFF.1.2/GP-KL The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold:

- **S.SD implements one or more Secure Channel Protocols, namely SCP02, SCP03, SCP11, SCP80, SCP81[10], each equipped with a complete Secure Channel Key Set.**
- **S.SD has all of the cryptographic keys required by its privileges (e.g. CLFDB, DAP, DM).**
- **An Application accepts a message only if it comes from the S.SD it belongs to.**
- **On receipt of a request to forward STORE DATA or PUT KEY commands to an Application, S.OPEN checks that the card Life Cycle State is not CARD_LOCKED or TERMINATED.**
- **On receipt of a request to forward STORE DATA or PUT KEY commands to an Application, the S.OPEN checks that the requesting S.SD has no restrictions for personalization.**
- **S.SD unwraps STORE DATA or PUT KEY according to the Current Security Level of the current Secure Channel Session and prior to the command forwarding to the targeted Application or SD.**
- **S.OPEN verifies that the targeted application implements a personalization interface[11]**

FDP_IFF.1.3/GP-KL The TSF shall enforce the **none[12]**.

FDP_IFF.1.4/GP-KL The TSF shall explicitly authorize an information flow based on the following rules: **none[13]**.

FDP_IFF.1.5/GP-KL The TSF shall explicitly deny an information flow based on the following rules:

- **S.OPEN fails to verify the Card Life Cycle, Application and SD Life Cycle states.**
- **S.OPEN fails to verify the privileges belonging to an SD or an Application.**
- **S.SD fails to unwrap STORE DATA or PUT KEY.**
- **S.SD fails to verify the security level applied to protect APDU commands.**
- **S.SD fails to set the security level (integrity and/or confidentiality), to apply to the next incoming command and/or next outgoing response.**
- **S.OPEN fails to verify that the targeted application implements a personalization interface.[14]**

Application Note:

- APDUs belonging to the Data & Key Loading information flow control SFP are described in the following references:
  - For PUT KEY, see [GPCS] section 11.8.
  - For STORE DATA, see [GPCS] section 11.11.
- The PUT KEY and STORE DATA commands must only be issued within a Secure Channel Session; the levels of security for these commands depend on the security level defined in the EXTERNAL AUTHENTICATE command.
- The Minimum Security Level of PUT KEY and STORE DATA is 'AUTHENTICATED' as defined in [GPCS] section 10.6.
- For more details about Key Access Conditions, Data and Key Management, refer to [GPCS] sections 7.5.2 and 7.6.

FDP_ITC.2/GP-KL Import of user data with security attributes

FDP_ITC.2.1/GP-KL The TSF shall enforce the **Data & Key Loading information flow control SFP** when importing user data, controlled under the SFP, from outside of the TOE.

[10] [selection: SCP02, SCP03, SCP10, SCP11, SCP21, SCP22, SCP80, SCP81]
[11] [assignment: for each operation, the security attribute-based relationship that must hold between subject and information security attributes]
[12] [assignment: additional information flow control SFP rules]
[13] [assignment: rules, based on security attributes, that explicitly authorize information flows]
[14] [assignment: rules, based on security attributes, that explicitly deny information flows]

FDP_ITC.2.2/GP-KL The TSF shall use the security attributes associated with the imported user data.

FDP_ITC.2.3/GP-KL The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the user data received.

FDP_ITC.2.4/GP-KL The TSF shall ensure that interpretation of the security attributes of the imported user data is as intended by the source of the user data.

FDP_ITC.2.5/GP-KL The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TOE:

- **The algorithms and key sizes of the imported keys shall be supported by the SE**
- **The Key Identifier (Key ID) of the imported keys shall be in an allowed range as specified in section 4 of [CIC]**[15]

Application Note:

- The algorithms and key sizes of the imported keys shall be supported by the Card as specified in [GPCS] Appendices B and C.
- PUT KEY and STORE DATA are described in [GPCS] sections 11.8 and 11.11.

Life Cycle Management

FMT_MTD.1/GP-LC	Management of TSF Data

FMT_MTD.1.1/GP-LC The TSF shall restrict the ability to **change_default, query**[16] the **TSF data listed in table 11** [17] to **the authorized identified roles mentioned in table 11** [18].

Operations (APDUs or APIs)	List of TSF Data: (Life Cycle State and Transitions)	Authorised Identified Roles
Query (GET STATUS)	Card Life Cycle State information	ISD on behalf of the Issuer
	Application or SSD Life Cycle State information	ISD on behalf of the Issuer, AP owning the corresponding SSD or Application
	Executable Load Files Life Cycle State information	ISD on behalf of the Issuer, AP owning the corresponding ELF
	Executable Load Files and Executable Modules Life Cycle State information	ISD on behalf of the Issuer, AP owning the corresponding ELF and Modules
Change_default (SET STATUS)	Card Life Cycle State information and transitions as defined in [GPCS]	ISD on behalf of the Issuer
	Application or SSD Life Cycle State information and transitions as defined in [GPCS]	AP owning the corresponding SSD or Application
	SD and its associated Applications Life Cycle State information	AP owning the corresponding SSD and its Applications

Table 11: Life Cycle Management Operations, Data, and Roles

[15] [assignment: additional importation control rules]
[16] [selection: change_default, query, modify, delete, clear, [assignment: other operations]]
[17] [assignment: list of TSF data]
[18] [assignment: the authorized identified roles]

Application Note: Refer to the following sections in [GPCS] for additional details about Life Cycle:
- Card Life Cycle states and transitions are described in [GPCS] section 5.1.
- The Executable Load File/ Executable Module Life Cycle is described in [GPCS] section 5.2.
- Application and Security Domain Life Cycle states and transitions are described in [GPCS] section 5.3.
- Authorised commands per Card Life Cycle state are detailed in [GPCS] Table 11-1.
- The GET STATUS APDU command used to query Life Cycle state information of an ISD, Executable Load File, Executable Module, Application, or SD is described in [GPCS] section 11.4.
- The SET STATUS APDU command used to change the Life Cycle state information of an ISD, Supplementary SD, or Application is described in [GPCS] section 11.10.
- The minimum security level for SET STATUS and GET STATUS is 'AUTHENTICATED' as defined in [GPCS] section 10.6.

Privileges Management

FMT_MTD.1/GP-PR	Management of TSF Data

FMT_MTD.1.1/GP-PR The TSF shall restrict the ability to **modify**[19] the **TSF data listed in table 12** [20] to **the authorized identified roles mentioned in table 12** [21].

Operations (APDUs or APIs)	List of TSF Data: Privileges	Authorised Identified Roles
Modify (INSTALL [for registry update])	Privileges of an Application or SSD	SD processing the command shall be an ancestor SD with the AM privilege, or an SD with DM privilege under an ancestor SD with AM privilege
	Privileges of ISD	Only ISD

Table 12: Privileges Management Operations, Data, and Roles

Application Note: The 'Privileges Management' requirements cover all Privileges Assignment, Management, and Transition as defined in [CIC] section 3.1.1 and [GPCS] section 6.6.

Secure Communication

The purpose of an SCP is to authenticate the on-card and off-card entities and to protect the data exchanged between them with regard to Authenticity, Integrity, and/or Confidentiality.

The Secure Communication requirements cover all the SCPs defined by GlobalPlatform which are supported by the TOE:
- The symmetric key Secure Channel Protocol '02' defined in [GPCS], using 3DES cryptography
- The symmetric key Secure Channel Protocol '03' defined in [Amd D] includes services similar to SCP02; however, it uses AES rather than DES cryptography.
- The asymmetric key Secure Channel Protocol '11' defined in [Amd F] offers authentication services using an ECC-based Public Key Infrastructure (PKI) and secure messaging protection of commands and responses based on SCP03.
- The Secure Channel Protocol '80' supports the Over-The-Air security scheme defined in [TS 102 225], [TS 102 226].

[19] [selection: change_default, query, modify, delete, clear, [assignment: other operations]]
[20] [assignment: list of TSF data]
[21] [assignment: the authorized identified roles]

- The Secure Channel Protocol '81' defined in [Amd B] supports an Over-The-Air security scheme based on the usage of both HTTP and Pre Shared Key TLS protocols.
- The Secure Channel Protocol '21' defined in [GP PF] Annex D enforces privacy requirements.

APDU commands belonging to SCPs are defined in the following references:
- SCP02: [GPCS] Annex E
- SCP03: [Amd D] section 7
- SCP11: [Amd F] section 6
- SCP80: [TS 102 225] and [TS 102 226]
- SCP81: [Amd B]

The following references give details about the rules to be applied to SCPs:
- Rules that apply to all Secure Channel Protocols as defined in [GPCS] Chapter 10.
- Rules for handling Security Levels in [GPCS] section 10.6
- SCP02 protocol rules as defined in [GPCS] section E.1.6
- SCP03 protocol rules as defined in [Amd D] section 5.6
- SCP11 protocol rules as defined in [Amd F] section 4.8
- SCP80 protocol rules as defined in [TS 102 225] and [TS 102 226]
- SCP81 protocol rules as defined in [Amd B] section 3

FCS_CKM.1/GP-SCP Cryptographic key generation

FCS_CKM.1.1/GP-SCP The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **as listed in table 13**[22] and specified cryptographic key sizes **as listed in table 13** [23] that meet the following: **the standards listed in table 13** [24].

SCP protocol	Cryptographic algorithm	Cryptographic key sizes	Standard
SCP02	TDES 2-keys	112 bits	[GPCS] section E.4.1
SCP03	AES	128, 192, 256 bits	[Amd D] section 6.2.1
SCP11	AES	128, 192, 256 bits	[Amd F] section 5.2
SCP81	TDES 3-keys	168 bits	[Amd B] section 3.3.2
SCP81	AES	128 bits	[Amd B] section 3.3.2

Table 13: Session key generation covering the supported SCPs

Application note: this SFR deals with the generation of the session keys which are used by the SCPs supported by the TOE.

FCS_COP.1/GP-SCP Cryptographic operation

FCS_COP.1.1/GP-SCP The TSF shall perform **the cryptographic operations listed in table below**[25] in accordance with a specified cryptographic algorithm **as listed in table 14**[26] and cryptographic key sizes **as listed in table 14**[27] that meet the following: **the standards listed in table 14**[28].

[22] [assignment: cryptographic key generation algorithm]
[23] [assignment: cryptographic key sizes]
[24] [assignment: list of standards]
[25] [assignment: list of cryptographic operations]
[26] [assignment: cryptographic algorithm]
[27] [assignment: cryptographic key sizes]
[28] [assignment: list of standards]

SCP Protocol	Operation	Algorithm	Key Sizes	Standards
SCP02	MAC Generation/ Verification	HMAC, CMAC using TDES	112 bits	FIPS 198
SCP02	Symmetric Encryption/ Decryption	TDES in CBC mode	112 bits	NIST 800 67 NIST 800 38A
SCP02	Key Derivation	HMAC-based KDF, CMAC-based KDF using TDES	112 bits	NIST 800 108 FIPS 198
SCP03, SCP11	Symmetric Encryption/ Decryption	AES in CBC mode	128, 192, or 256 bits	FIPS 197 NIST 800 38A
SCP03	MAC Generation/ Verification	CMAC AES	128, 192, or 256 bits	NIST 800 38B
SCP03	Key Derivation	CMAC-based KDF using AES	128, 192, or 256 bits	NIST 800 108 NIST 800 38B
SCP02, SCP03, SCP11	Hash Computing	SHA-256, SHA-384, SHA-512	-	ISO 10118 3 FIPS 180 4
SCP80	Secure communication channel with OTA Server	TDES or AES	TDES: 112 bits AES: 128, 192, or 256 bits	TS 102 225 TS 102 226
SCP81	Secure communication channel with the Remote Administration Server	TLS_PSK_WITH_3DES_EDE_CBC_SHA TLS_PSK_WITH_AES_128_CBC_SHA TLS_PSK_WITH_NULL_SHA TLS_PSK_WITH_AES_128_CBC_SHA256 TLS_PSK_WITH_NULL_SHA256		[Amd B] section 3.3.2
SCP21	Privacy-enabled Secure Channel (Prevention of privacy leakage)	PACE (Password Authentication Connection Establishment)		[419 212] part 1 section 9, [ICAO 9303]
SCP21	Privacy-enabled Secure Channel (Prevention of privacy leakage)	mEAC (modular Extended Access Control) which uses EAC V2		[419 212] part 1 section 8.8

Table 14: Cryptographic Operations covering the supported SCPs

Application note: SCP21 is not used in the scope of GlobalPlatform but in CSP scope only.

Trusted Framework

FTP_TRP.1/GP-TF	Trusted Path

FTP_TRP.1.1/GP-TF The TSF shall provide a communication path between itself and **the Target Application and the Receiving SD** that is logically distinct from other communication paths and provides assured identification of its end points and protection of the communicated data from **modification and disclosure**[29].

FTP_TRP.1.2/GP-TF The TSF shall permit **the Receiving SD with the Trusted Path privilege, the Trusted Framework, and the Target Application** to initiate communication via the trusted path.

FTP_TRP.1.3/GP-TF The TSF shall require the use of the trusted path for **Application personalization: the GlobalPlatform Trusted Framework for inter-application communication forwards the unwrapped command (STORE DATA) to the Target Application indicated by the Receiving SD through its GlobalPlatform Application interface**.

GlobalPlatform Card Management: Common SFRs

FMT_MSA.1/GP	Management of security attributes

FMT_MSA.1.1/GP The TSF shall enforce the **ELF Loading information flow control SFP and Data & Key Loading information flow control SFP** to restrict the ability to **perform the operations listed in tables 15 to 20 acting on**[30] the security attributes **mentioned in tables 15 to 20**[31] to **the authorized identified roles mentioned in tables 15 to 20**[32].

Operations (APDUs or APIs)	Security Attributes: Card Life Cycle State	Authorised Identified Roles with Privileges
DELETE Executable Load File	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD
DELETE Executable Load File and related Application(s)	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD
DELETE Application	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD
DELETE Key	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD, SD
INSTALL	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD
INSTALL [for personalisation]	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD, SD
LOAD	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD
PUT KEY	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD, SD

[29] [selection: modification, disclosure, [assignment: other types of integrity or confidentiality violation]]
[30] [selection: change_default, query, modify, delete, [assignment: other operations]]
[31] [assignment: list of security attributes]
[32] [assignment: the authorized identified roles]

Operations (APDUs or APIs)	**Security Attributes: Card Life Cycle State**	**Authorised Identified Roles with Privileges**
SELECT	OP_READY, INITIALIZED, SECURED, or CARD_LOCKED (If an SD does have the Final Application privilege)	ISD, AM SD, DM SD, SD with Final Application privilege
SET STATUS	OP_READY, INITIALIZED, SECURED, or CARD_LOCKED	ISD, AM SD, DM SD, SD
STORE DATA	OP_READY, INITIALIZED, or SECURED	ISD, AM SD, DM SD, SD
GET DATA	OP_READY, INITIALIZED, SECURED, CARD_LOCKED, or TERMINATED	ISD, AM SD, DM SD, SD
GET STATUS	OP_READY, INITIALIZED, SECURED, or CARD_LOCKED	ISD, AM SD, DM SD, SD

Table 15: GlobalPlatform Common Operations, Security Attributes, and Roles

Operations: SCP11 Commands	**Used by**	**Security Attributes: Card Life Cycle State**	**Security Attributes: Minimum Security Level**	**Authorised Identified Roles with Privileges**
GET DATA (ECKA Certificate)	SCP11a SCP11b SCP11c	OP_READY, INITIALIZED, SECURED, or CARD_LOCKED	None	ISD, AM SD, DM SD, SD
PERFORM SECURITY OPERATION	SCP11a SCP11c		None	
MUTUAL AUTHENTICATE	SCP11a SCP11c		AUTHENTICATED or ANY_AUTHENTICATED	
INTERNAL AUTHENTICATE	SCP11b		AUTHENTICATED or ANY_AUTHENTICATED	
STORE DATA (ECKA Certificate)	SCP11a SCP11b SCP11c		None	
STORE DATA (Whitelist)	SCP11a SCP11c		None	
VERIFY PIN	SCP11b		None	

Table 16: SCP11 Operations, Security Attributes, and Roles

Operations: SCP02 Commands	**Security Attributes: Card Life Cycle State**	**Security Attributes: Minimum Security Level**	**Authorised Identified Roles with Privileges**
INITIALIZE UPDATE	OP_READY, INITIALIZED, SECURED, or CARD_LOCKED	None	ISD, AM SD, DM SD, SD
EXTERNAL AUTHENTICATE		C-MAC	

Table 17: SCP02 Operations, Security Attributes, and Roles

Operations: SCP80 Command	**Security Attributes: Card Life Cycle State**	**Security Attributes: Minimum Security Level**	**Authorised Identified Roles with Privileges**
Remote File Management Commands SELECT, UPDATE BINARY, UPDATE RECORD, SEARCH RECORD, INCREASE, VERIFY PIN, CHANGE PIN, DISABLE PIN, ENABLE PIN, UNBLOCK PIN, DEACTIVATE FILE, ACTIVATE FILE, READ BINARY, READ RECORD, CREATE FILE, DELETE FILE, RESIZE FILE, SET DATA, RETRIEVE DATA	See [TS 102 225] and [TS 102 226]	See [TS 102 225] and [TS 102 226]	See [TS 102 225] and [TS 102 226]
Remote Applet Management Commands DELETE, SET STATUS, INSTALL, LOAD, PUT KEY, GET STATUS, GET DATA, STORE DATA	See [TS 102 225] and [TS 102 226]	See [TS 102 225] and [TS 102 226]	See [TS 102 225] and [TS 102 226]

Table 18: SCP80 Operations, Security Attributes, and Roles

Operations: SCP81 Command	**Security Attributes: Card Life Cycle State**	**Security Attributes: Minimum Security Level**	**Authorised Identified Roles with Privileges**
PUT KEY	OP_READY, INITIALIZED, SECURED	None	ISD, AM SD, DM SD, SD
STORE DATA	OP_READY, INITIALIZED, SECURED	None	ISD, AM SD, DM SD, SD
GET DATA	OP_READY, INITIALIZED, SECURED, CARD_LOCKED, or TERMINATED	None	ISD, AM SD, DM SD, SD

Table 19: SCP81 Operations, Security Attributes, and Roles

Operations: SCP21 Command	**Security Attributes: Card Life Cycle State**	**Security Attributes: Minimum Security Level**	**Authorised Identified Roles with Privileges**
PACE	Defined in [ICAO 9303] and [419 212] part 1 section 9		ISD, AM SD, DM SD,

Operations: SCP21 Command	Security Attributes: Card Life Cycle State	Security Attributes: Minimum Security Level	Authorised Identified Roles with Privileges
PACE + EAC V2	Defined in [419 212] part 1 sections 8.8 and 9		SD

Table 20: SCP21 Operations, Security Attributes, and Roles

Legend for tables 15 to 20:
ISD: Issuer Security Domain
AM SD: Security Domain with Authorized Management privilege
DM SD: Security Domain with Delegated Management privilege
SD: Other Security Domain

Application Note:
- This SFR refines and replaces FMT_MSA.1/CM of [PP-JCS]. It is extended to cover Data and Key loading Policy.
- The authorized identified roles could be off-card or on-card entities as defined in FMT_SMR.1/GP.

FMT_MSA.3/GP	Security attribute initialization

FMT_MSA.3.1/GP The TSF shall enforce the **ELF Loading information flow control SFP and Data & Key Loading information flow control SFP** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/GP The TSF shall allow the **None**[33] to specify alternative initial values to override the default values when an object or information is created.

Application Note:
- This SFR refines and replaces FMT_MSA.3/CM of [PP-JCS]. It is extended to cover the Data and Key loading Policy.
- The authorized identified roles could be off-card or on-card entities as defined in FMT_SMR.1/GP.

FMT_SMR.1/GP	Security roles

FMT_SMR.1.1/GP The TSF shall maintain the roles:
- **On-card: S.OPEN, S.SD (e.g. ISD, APSD, CASD), Application**
- **Off-card: Issuer, Users (e.g. VA, AP, CA) owning SDs**

FMT_SMR.1.2/GP The TSF shall be able to associate users with roles.

Application Note: this SFR refines and replaces FMT_SMR.1/Installer and FMT_SMR.1/CM of [PP-JCS], applied to roles involved in card content management operations.

FMT_SMF.1/GP	Specification of Management Functions

FMT_SMF.1.1/GP The TSF shall be capable of performing the following management functions **specified in [GPCS]:**
- **Card and Application Security Management as defined in [GPCS]: Life Cycle, Privileges, Application/SD Locking and Unlocking, Card Locking and Unlocking, Card Termination, Application Status interrogation, Card Status Interrogation, command dispatch, Operational Velocity Checking.**

[33] [assignment: authorized identified roles]

- **Management functions (Secure Channel Initiation/Operation/Termination) related to SCPs as defined in [GPCS].**

Application Note:
- This SFR refines and replaces FMT_SMF.1/CM of [PP-JCS].
- Management functions related to SCPs are defined in [GPCS] Chapter 10.

FPT_RCV.3/GP	Automated recovery without undue loss

FPT_RCV.3.1/GP When automated recovery from **none, see application note below**[34] is not possible, the TSF shall enter a maintenance mode where the ability to return to a secure state is provided.
FPT_RCV.3.2/GP For **detection of a potential loss of integrity during the transmission of an Executable Load File to the card, abortion of the installation process of an Executable Load File, or any fatal error occurred during the linking of an Executable Load File to the Executable Files already installed on the card**[35] the TSF shall ensure the return of the TOE to a secure state using automated procedures.

FPT_RCV.3.3/GP The functions provided by the TSF to recover from failure or service discontinuity shall ensure that the secure initial state is restored without exceeding **the loss of the Executable Load File being loaded or installed**[36] for loss of TSF data or objects under the control of the TSF.

FPT_RCV.3.4/GP The TSF shall provide the capability to determine the objects that were or were not capable of being recovered.

Application Note:
- This SFR refines and replaces FPT_RCV.3/Installer of [PP-JCS], applied to card content management operations
- There is no maintenance mode implemented within the TOE. Recovery is always enforced automatically as stated in FPT_RCV.3.2/GP

FPT_FLS.1/GP	Failure with preservation of secure state

FPT_FLS.1.1/GP The TSF shall preserve a secure state when the following types of failures occur:
- **S.OPEN fails to load/install an Executable Load File / Application instance.**
- **S.SD fails to load SD/Application data and keys.**
- **S.OPEN fails to verify/change the Card Life Cycle, Application and SD Life Cycle states.**
- **S.OPEN fails to verify the privileges belonging to an SD or an Application.**
- **S.SD fails to verify the security level applied to protect APDU commands.**
- **None**[37]

Application Note:
- This SFR extends FPT_FLS.1/Installer of [PP-JCS] to include the failures that may occur during the loading of SD/Application keys and data.
- Refer to [JCRE3] section 11.1.5 and [GPCS] sections 11.5, 11.6, 11.8, and 11.11 for additional details.

FPT_TDC.1/GP	Inter-TSF basic TSF data consistency

FPT_TDC.1.1/GP The TSF shall provide the capability to consistently interpret **ELFs, SD/Application data and keys, data used to implement a Secure Channel, None**[38] when shared between the TSF and another trusted IT product.

[34] [assignment: list of failures/service discontinuities during card content management operations]
[35] [assignment: list of failures/service discontinuities during card content management operations]
[36] [assignment: quantification]
[37] [assignment: list of additional types of failures]

FPT_TDC.1.2/GP The TSF shall use **the list of interpretation rules to be applied by the TSF when processing the INSTALL, LOAD, PUT KEY, and STORE DATA commands sent to the card, None**[39] when interpreting the TSF data from another trusted IT product.

Application Note: the list of interpretation rules to be applied by the TSF when processing the INSTALL, LOAD, PUT KEY, and STORE DATA commands sent to the card are defined in [GPCS] sections 11.5, 11.6, 11.8, and 11.11.

FTP_ITC.1/GP Inter-TSF trusted channel

FTP_ITC.1.1/GP The TSF shall provide a communication channel between itself and another trusted IT product that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from modification or disclosure.

FTP_ITC.1.2/GP The TSF shall permit **another trusted IT product** to initiate communication via the trusted channel.

FTP_ITC.1.3/GP The TSF shall initiate communication via the trusted channel for:

- **APDU commands sent to the card within a Secure Channel Session**
- **When loading/installing a new ELF on the card**
- **When transmitting and loading sensitive data to the card using STORE DATA or PUT KEY commands**
- **When deleting ELFs, Applications, or Keys**
- **None**[40]

Application Note: this SFR corresponds to FTP_ITC.1/CM of [PP-JCS], applied where APDU command and response integrity and/or confidentiality protection through a Secure Channel are required.

FCO_NRO.2/GP Enforced proof of origin

FCO_NRO.2.1/GP The TSF shall enforce the generation of evidence of origin for transmitted **Executable Load Files, SD/Application data and keys** [41] at all times.

Refinement: the TSF shall be able to generate an evidence of origin at all times for 'Executable Load Files, SD/Application data and keys' received from the off-card entity (originator of transmitted data) that communicates with the card.

FCO_NRO.2.2/GP The TSF shall be able to relate the **identity**[42] of the originator of the information, and the **Executable Load Files, SD/Application data and keys**[43] of the information to which the evidence applies.

Refinement: the TSF shall be able to load 'Executable Load Files, SD/Application data and keys' to the card with associated security attributes (the identity of the originator, the destination) such that the evidence of origin can be verified.

FCO_NRO.2.3/GP The TSF shall provide a capability to verify the evidence of origin of information to **the off card entity (recipient of the evidence of origin) who requested that verification** given **at the time the ELF, SD/Application data and keys are received**[44].

---

[38] [assignment: list of TSF data types]
[39] [assignment: list of interpretation rules to be applied by the TSF]
[40] [assignment: list of functions for which a trusted channel is required]
[41] [assignment: list of information types]
[42] [assignment: list of attributes]
[43] [assignment: list of information fields]
[44] [assignment: limitations on the evidence of origin]

Application Note:
- This SFR extends FCO_NRO.2/CM of [PP-JCS] to cover the SD/Application data and keys transmitted and loaded to the card via STORE DATA and PUT KEY commands.

FIA_UID.1/GP Timing of identification

FIA_UID.1.1/GP The TSF shall allow **SD selection, Application selection, initializing a Secure Channel with the card, requesting data that identifies the card or off-card entities**[45] on behalf of the user to be performed before the user is identified.

FIA_UID.1.2/GP The TSF shall require each user to be successfully identified before allowing any other TSF-mediated actions on behalf of that user.

Application Note:
- This SFR refines and replaces FIA_UID.1/CM of [PP-JCS].

FDP_UIT.1/GP Basic data exchange integrity

FDP_UIT.1.1/GP The TSF shall enforce the **ELF Loading information flow control SFP and Data & Key Loading information flow control SFP** to **receive**[46] user data in a manner protected from **modification, deletion, insertion, replay** errors.

FDP_UIT.1.2/GP The TSF shall be able to determine on receipt of user data, whether **modification, deletion, insertion, replay** has occurred.

Application Note:
- This SFR extends FDP_UIT.1/CM of [PP-JCS] to cover the integrity protection of SD/Application data and keys.
- This SFR applies where APDU command and response integrity protection is required (e.g. INSTALL, LOAD, STORE DATA and PUT KEY commands).

FDP_ROL.1/GP Basic rollback

FDP_ROL.1.1/GP The TSF shall enforce **ELF Loading information flow control SFP and Data & Key Loading information flow control SFP** to permit the rollback of the **installation, loading, or removal operation** on the **executable files, application instances, SD/Application data and keys**.

FDP_ROL.1.2/GP The TSF shall permit operations to be rolled back within the **boundary limit**:
- **Until the Executable File or application instance has been added to or removed from the applet's registry.**
- **Until SD/Application data or keys have been added to or removed from SD or Application.**

FDP_UCT.1/GP Basic data exchange confidentiality

FDP_UCT.1.1/GP The TSF shall enforce the **ELF Loading information flow control SFP and Data & Key Loading information flow control SFP** to **receive**[47] user data in a manner protected from unauthorized disclosure.

Application Note: this SFR applies where APDU command and response confidentiality protection is required. For example, the sensitive data (e.g. secret keys) shall always be transmitted as confidential data.

FPR_UNO.1/GP Unobservability

[45] [assignment: list of TSF-mediated actions]
[46] [selection: transmit, receive]
[47] [selection: transmit, receive]

FPR_UNO.1.1/GP The TSF shall ensure that **SDs and Applications** are unable to observe the operation: **keys or data import (PUT KEY or STORE DATA), encryption, decryption, signature generation and verification, none**[48] on **keys and data** by **the OPEN or any other SD or Application**.

FIA_UAU.1/GP	Timing of authentication

FIA_UAU.1.1/GP The TSF shall allow **the TSF mediated actions listed in FIA_UID.1/GP** on behalf of the user to be performed before the user is authenticated.

FIA_UAU.1.2/GP The TSF shall require each user to be successfully authenticated before allowing any other TSF-mediated actions on behalf of that user.

FIA_UAU.4/GP	Single-use authentication mechanisms

FIA_UAU.4.1/GP The TSF shall prevent reuse of authentication data related to **the authentication mechanism used to open a secure communication channel with the card**.

FIA_AFL.1/GP	Authentication failure handling

FIA_AFL.1.1/GP The TSF shall detect when **1**[49] unsuccessful authentication attempt occurs related to **the authentication of the origin of a card management operation command**.

FIA_AFL.1.2/GP When the defined number of unsuccessful authentication attempts has been **met or surpassed**, the TSF shall **close the Secure Channel**.

FMT_MTD.3/GP	Secure TSF Data

FMT_MTD.3.1/GP The TSF shall ensure that only secure values are accepted for **Life Cycle states, Security Levels and Privileges in the GlobalPlatform Registry**.

Package 'Ciphered Load File Data Block (CLFDB)' - Security Functional Requirements

FCS_COP.1/GP-CLFDB	Cryptographic operation

FCS_COP.1.1/GP-CLFDB The TSF shall perform **Decryption of Ciphered Load File Data Blocks** in accordance with a specified cryptographic algorithm **as mentioned in table 21**[50] and cryptographic key sizes **as mentioned in table 21**[51] that meet the following: **standards mentioned in table 21**[52].

Algorithm	Key sizes	Standards
TDES with CBC mode	112 bits	[ISO 9797 1]
AES with CBC mode with a null ICV	128, 192, or 256 bits	[FIPS 197]

[48] [assignment: list of operations]
[49] [selection: [assignment: positive integer number], an administrator configurable positive integer within [assignment: range of acceptable values]]
[50] [assignment: cryptographic algorithm]
[51] [assignment: cryptographic key sizes]
[52] [assignment: list of standards]

Table 21: Algorithms used to decrypt CLFDB

Application note: See [GPCS] section C.6.

Package 'Global Services (GS)' - Security Functional Requirements

FDP_ACC.1/GP-GS Subset access control

FDP_ACC.1.1/GP-GS The TSF shall enforce the **GlobalPlatform Services access control policy** on **the following list of subjects, objects and operations**:

- **Subject: S.OPEN, Applications with 'Global Service' privilege, other Applications.**
- **Objects:**
  - **Global Service Privilege**
  - **Service name**
  - **GlobalPlatform Registry**
  - **AID**
- **Operation controlled by the policy:**
  - **Registration of a Global Service with a unique service name**
  - **Deregistration of a Global Service with a unique service name**
  - **Access of a uniquely registered Global Service or a specific Global Services Application**

FDP_ACF.1/GP-GS Security attribute based access control

FDP_ACF.1.1/GP-GS The TSF shall enforce the **GlobalPlatform Services access control policy** to objects based on the following **Security Attributes:**

- **Global Service privilege: Assigned or Not assigned**
- **Service name: Recorded or Not recorded for an on-card entity (as provided in the INSTALL command)**
- **Service name: Registered or Not registered in the GlobalPlatform Registry**
- **AID: Associated or Not associated**

FDP_ACF.1.2/GP-GS The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

- **Registering/Deregistering Global Services:**
  - **S.OPEN is responsible for ensuring the uniqueness of each service name registered by Global Services Applications.**
  - **On receipt of unique service registration or deregistration request, S.OPEN checks that the requesting on-card entity has the 'Global Service' privilege.**
  - **On receipt of unique service registration request, S.OPEN checks that the requested service name is not registered in the GlobalPlatform Registry for another on-card entity.**
  - **On receipt of service deregistration request, S.OPEN checks that the requested service name is registered in GlobalPlatform Registry entry of the requesting on-card entity.**
- **Application Accessing rules to Global Services: On receipt of service access request,**
  - **If the request indicates a specific service name without any associated AID, S.OPEN checks that the requested service name matches exactly with (one of) the service name(s) uniquely registered, or belongs to the same service family uniquely registered.**

  - **If the request indicates a specific AID, S.OPEN checks that the on-card entity identified in the request has the 'Global Service' privilege, and that the requested service name matches exactly with (one of) the service name(s) recorded for that on-card entity, or belongs to (one of) the same service family(ies) recorded for that on-card entity.**
  - **S.OPEN identifies the corresponding Global Services Application.**
  - **S.OPEN obtains the GlobalPlatform Service interface of the corresponding Global Services Application and forwards it to the requesting on-card entity.**
- **None**[53]

FDP_ACF.1.3/GP-GS The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: **None**[54].

FDP_ACF.1.4/GP-GS The TSF shall explicitly deny access of subjects to objects based on the following additional rules: **None**[55].
Application Note: Global Services Applications are described in [GPCS] section 8.1.

FMT_MSA.1/GP-GS Management of security attributes

FMT_MSA.1.1/GP-GS The TSF shall enforce the **GlobalPlatform Services access control policy** to restrict the ability to **query, modify** [56] the security attributes **defined in FDP_ACF.1.1/GP-GS** to the **S.OPEN**.

FMT_MSA.3/GP-GS Security attribute initialization

FMT_MSA.3.1/GP-GS The TSF shall enforce the **GlobalPlatform Services access control policy** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/GP-GS The TSF shall allow the **S.OPEN** to specify alternative initial values to override the default values when an object or information is created.

FMT_SMR.1/GP-GS Security roles

FMT_SMR.1.1/GP-GS The TSF shall maintain the roles **S.OPEN, Global Services Application**.

FMT_SMR.1.2/GP-GS The TSF shall be able to associate users with roles.

FMT_SMF.1/GP-GS Specification of Management Functions

FMT_SMF.1.1/GP-GS The TSF shall be capable of performing the following management functions:
- **Management of Global Services Applications (Registering, Deregistering, Accessing)**
- **none**[57]

Application Note: Global Services Applications are described in [GPCS] section 8.1.

[53] [assignment: rules governing access among controlled subjects and controlled objects using controlled operations on controlled objects]
[54] [assignment: rules, based on security attributes, that explicitly authorize access of subjects to objects]
[55] [assignment: rules, based on security attributes, that explicitly deny access of subjects to objects]
[56] [selection: change_default, query, modify, delete, [assignment: other operations]]
[57] [assignment: list of management functions to be provided by the TSF]

Package 'Cardholder Verification Method (CVM)' - Security Functional Requirements

FIA_AFL.1/GP-CVM Authentication failure handling

FIA_AFL.1.1/GP-CVM The TSF shall detect when **an administrator configurable positive integer within the [1-255]**[58] unsuccessful authentication attempts occur related to **user authentication using CVM**.

FIA_AFL.1.2/GP-CVM When the defined number of unsuccessful authentication attempts has been **met**[59], the TSF shall **block the usage of the Global PIN**[60].

FPR_UNO.1/GP-CVM Unobservability

FPR_UNO.1.1/GP-CVM The TSF shall ensure that **all users and subjects**[61] are unable to observe the operation **comparison** on **Global PIN** by **S.OPEN**[62].

Package 'Delegated Management (DM)' - Security Functional Requirements

FCO_NRR.1/GP-RECEIPT Selective proof of receipt

FCO_NRR.1.1/GP-RECEIPT The TSF shall be able to generate evidence of receipt for received **card management operation requests** at the request of the **originator**.

FCO_NRR.1.2/GP-RECEIPT The TSF shall be able to relate the **Confirmation Data** of the recipient of the information, and the **parameters of the card management operation request** of the information to which the evidence applies.

FCO_NRR.1.3/GP-RECEIPT The TSF shall provide a capability to verify the evidence of receipt of information to **recipient** given **none**.

Application Note:
- The confirmation data are described in [GPCS] section 11.1.6.
- The parameters of the card management operation request are described in [GPCS] section C.5.

FCO_NRO.2/GP-TOKEN Enforced proof of origin

FCO_NRO.2.1/GP-TOKEN The TSF shall enforce the generation of evidence of origin for transmitted **'ELF with Token Verification', as mentioned in the refinement below**[63] at all times.

[58] [selection: [assignment: positive integer number], an administrator configurable positive integer within [assignment: range of acceptable values]]
[59] [selection: met, surpassed]
[60] [assignment: list of actions]
[61] [assignment: list of users and/or subjects]
[62] [assignment: list of protected users and/or subjects]
[63] [assignment: list of information types]

Refinement: The TSF shall be able to generate an evidence of origin at all times for 'ELF with Token Verification' received from the off-card entity (originator of transmitted data) that communicates with the card.

FCO_NRO.2.2/GP-TOKEN The TSF shall be able to relate the **token present in the card management operation request, as mentioned in the refinement below**[64] of the originator of the information, and the **'ELF with Token Verification', as mentioned in the refinement below**[65] of the information to which the evidence applies.

Refinement: the TSF shall be able to load 'ELF with Token Verification' to the card with associated security attributes (token present in the card management operation request) such that the authenticity of transmitted data can be verified.

FCO_NRO.2.3/GP-TOKEN The TSF shall provide a capability to verify the evidence of origin of information to **the off-card entity (recipient of the evidence of origin) requesting that verification** given **at the time the ELF with Token is received**.

Application Note: the parameters of the card management operation request are described in [GPCS] section C.4.

FCS_COP.1/GP-TOKEN Cryptographic operation

FCS_COP.1.1/GP-TOKEN The TSF shall perform **the verification of the Token signature attached to card management commands** in accordance with a specified cryptographic algorithm **as mentioned in table 22**[66] and cryptographic key sizes **as mentioned in table 22**[67] that meet the following: **standards mentioned in table 22**[68].

Algorithm	Key sizes	Recommended Standards
TDES	112 bits	[GPCS] section B.1.2.2, Annex C.4 'Tokens'
AES	128, 192, or 256 bits	[GPCS] section B.2.2, Annex C.4 'Tokens'
RSA	1024 or 2048 bits	[GPCS] section B.3.1.1 or B3.2.1, Annex C.4 'Tokens'
ECC	256, 384, or 512 bits	[GPCS] section B.4.3, Annex C.4 'Tokens'

Table 22: Algorithms Used to Verify the Token Signature

FCS_COP.1/GP-RECEIPT Cryptographic operation

FCS_COP.1.1/GP-RECEIPT The TSF shall perform **the generation of the Receipt signature attached to responses to card management commands** in accordance with a specified cryptographic algorithm **as mentioned in table 23**[69] and cryptographic key sizes **as mentioned in table 23**[70] that meet the following: **standards mentioned in table 23**[71].

[64] [assignment: list of attributes]
[65] [assignment: list of information fields]
[66] [assignment: cryptographic algorithm]
[67] [assignment: cryptographic key sizes]
[68] [assignment: list of standards]
[69] [assignment: cryptographic algorithm]
[70] [assignment: cryptographic key sizes]
[71] [assignment: list of standards]

Algorithm	Key sizes	Recommended Standards
TDES	112 bits	[GPCS] section B.1.2.2, Annex C.5 'Receipts'
AES	128, 192, or 256 bits	[GPCS] section B.2.2, Annex C.5 'Receipts'
RSA	1024 or 2048 bits	[GPCS] section B.3.1.1 or B3.2.1, Annex C.5 'Receipts'
ECC	256, 384, or 512 bits	[GPCS] section B.4.3, Annex C.5 'Receipts'

Table 23: Algorithms Used to Generate the Receipt Signature

Packages 'DAP Verification' & 'Mandated DAP Verification' - Security Functional Requirements

FCS_COP.1/GP-DAP_SHA Cryptographic operation

FCS_COP.1.1/GP-DAP_SHA The TSF shall perform **computation of a hash value for DAP Verification** in accordance with a specified cryptographic algorithm **SHA-1, SHA-256, SHA-384, or SHA-512**[72] and cryptographic key sizes **SHA-1, SHA-256, SHA-384, or SHA-512 hash lengths**[73] that meet the following: **[NIST 800 57]**[74].

Application Note: refer to the description in [GPCS] section C.3 for more details.

FCS_COP.1/GP-DAP_VER Cryptographic operation

FCS_COP.1.1/GP-DAP_VER The TSF shall perform **verification of the DAP signature attached to Load Files** in accordance with a specified cryptographic algorithm **as mentioned in table 24**[75] and cryptographic key sizes **as mentioned in table 24**[76] that meet the following: **standards mentioned in table 24**[77].

Algorithm	Key sizes	Recommended Standards
TDES	112 bits	[ISO/IEC 9797-1]
AES	128, 192, or 256 bits	[NIST 800 38B]
RSA	1024 or 2048 bits	[PKCS#1]
ECC	256, 384, or 512 bits	[ANSI X9.62]

Table 24: Algorithms Used to Verify the DAP Signature

Application Note: refer to the description in [GPCS] section C.3 for more details.

FCO_NRO.2/GP-DAP Enforced proof of origin

FCO_NRO.2.1/GP-DAP The TSF shall enforce the generation of evidence of origin for transmitted **'ELF with DAP', as mentioned in the refinement below**[78] at all times.

[72] [assignment: cryptographic algorithm]
[73] [assignment: cryptographic key sizes]
[74] [assignment: list of standards]
[75] [assignment: cryptographic algorithm]
[76] [assignment: cryptographic key sizes]
[77] [assignment: list of standards]
[78] [assignment: list of information types]

Refinement: the TSF shall be able to generate an evidence of origin at all times for 'ELF with DAP' received from the off-card entity (originator of transmitted data) that communicates with the card.

FCO_NRO.2.2/GP-DAP The TSF shall be able to relate the **Load File Data Block Signature, as mentioned in the refinement below**[79] of the originator of the information, and the **'ELF with DAP', as mentioned in the refinement below**[80] of the information to which the evidence applies.

Refinement: the TSF shall be able to load 'ELF with DAP' to the card with associated security attributes (Load File Data Block Signature) such that the integrity and authenticity of transmitted data can be verified.

FCO_NRO.2.3/GP-DAP The TSF shall provide a capability to verify the evidence of origin of information to **the off-card entity (recipient of the evidence of origin) who requested that verification** given **at the time the ELF with DAP is received**.

Application Note: this SFR addresses the DAP verification as defined in [GPCS] sections 9.2.1, 11.6.2.3, and C.3.

PP-Module Amendment A: 'Confidential Card Content Management (CCCM)' - Security Functional Requirements

FCS_CKM.1/GP-CCCM Cryptographic key generation

FCS_CKM.1.1/GP-CCCM The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **TDES or AES**[81] and specified cryptographic key sizes **112 bits for TDES, 128 or 256 bits for AES**[82] that meet the following: **[Amd A]**[83].

Application Note: this SFR addresses the on-card generation of RGK under the Pull Mode (see [Amd A] section 3.2.1). This key is used on-card and off-card to derive the three APSD Secure Channel keys.

[79] [assignment: list of attributes]
[80] [assignment: list of information fields]
[81] [assignment: cryptographic key generation algorithm]
[82] [assignment: cryptographic key sizes]
[83] [assignment: list of standards]

FCS_COP.1/GP-CCCM	Cryptographic operation

FCS_COP.1.1/GP-CCCM The TSF shall perform **the cryptographic operations listed in table 20**[84] in accordance with a specified cryptographic algorithm **as mentioned in table 25**[85] and cryptographic key sizes **as mentioned in table 25**[86] that meet the following: **standards mentioned in table 25**[87].

Personalisation Models	Operation	Algorithm	Length	Recommended Standards
Pull Model (Asymmetric and Symmetric Key Modes)	Derivation of the three APSD Secure Channel keys (K_{ENC}, K_{MAC}, and K_{DEK}) from the on-card generated key (RGK)	TDES or AES	112 bits for TDES 128 or 256 bits for AES	[GPCS] section B.1 for TDES [GPCS] section B.2 for AES
Pull Model (Asymmetric Key Mode)	Verification of the AP certificate by the CASD	RSA	1024 to 2048 bits	[GPCS] section B.3
Pull Model (Asymmetric Key Mode)	Encryption of the RGK by the AP Public Key	RSA	1024 to 2048 bits	[GPCS] section B.3
Pull Model (Asymmetric Key Mode)	Signature of the RGS with the CASD Private Key	RSA	1024 to 2048 bits	[GPCS] section B.3
Pull Model (Symmetric Key Mode)	Decryption of the AP Secret Encryption Key using the CASD Symmetric Encryption Key	TDES	112 bits	[GPCS] section B.1
Pull Model (Symmetric Key Mode)	Signature Verification of the AP Secret Encryption Key by the CASD Symmetric Signature Key	TDES	112 bits	[GPCS] section B.1
Pull Model (Symmetric Key Mode)	Encryption of the RGK by the AP Secret Encryption Key	TDES	112 bits	[GPCS] section B.1

[84] [assignment: list of cryptographic operations]
[85] [assignment: cryptographic algorithm]
[86] [assignment: cryptographic key sizes]
[87] [assignment: list of standards]

Personalisation Models	Operation	Algorithm	Length	Recommended Standards
Pull Model (Symmetric Key Mode)	Signature of the RGK with the CASD Signature Key	TDES	112 bits	[GPCS] section B.1
Push Model with AP certificate	Verification of the AP Certificate by the CASD using its public key	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model with AP certificate	Signature verification of the APSD keys by the APSD using the public key extracted from the AP certificate	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model with or without AP certificate	Decryption of the APSD keys using the CASD private key	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model without AP certificate	Decryption of the APSD keys using the temporary APSD Secure Channel keys	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model without AP certificate	Signature verification of the APSD keys by the temporary APSD Secure Channel keys	RSA	1024 to 2048 bits	[GPCS] section B.3
Key agreement Model	Key Agreement (Cofactor) One-Pass Diffie-Hellman, C(1e, 1s, ECC CDH) scheme	ECC	256, 384, 512, or 521 bits	NIST 800 56A and [GPCS] section B.4
Key agreement Model	Signature generation of the CASD certificate	ECDSA	256, 384, 512, or 521 bits	[GPCS] section B.4
All	Signature by the CASD of the client Application payload	ECDSA	256, 384, 512, or 521 bits	RFC 5758

Table 25: Cryptographic Operations Involved in Implementation of Personalization Models

Application Note: the personalization models may all be enabled concurrently, except for the symmetric and asymmetric variants of the Pull Mode which are mutually exclusive.

FDP_IFC.2/GP-CCCM Complete information flow control

FDP_IFC.2.1/GP-CCCM The TSF shall enforce the **Confidential Personalization of Secure Channel Keys information flow control SFP** on:

- **Subjects: S.SD, S.CAD, S.OPEN, Application**
- **Information: GlobalPlatform APDU commands STORE DATA and PUT KEY, GlobalPlatform APIs for Confidential Personalization (Personalization and Authority interfaces)**

and all operations that cause that information to flow to and from subjects covered by the SFP.

FDP_IFC.2.2/GP-CCCM The TSF shall ensure that all operations that cause any information in the TOE to flow to and from any subject in the TOE are covered by an information flow control SFP.

Application Note:

- Scenario #4 (Key Agreement Model without Secure Channel) is not supported by the TOE. Therefore, the APDU command 'INITIALIZE SECURITY' has been removed from the assignment made in [PP-GP].
- PUT KEY and STORE DATA commands are described in [GPCS] sections 11.8 and 11.11 respectively.
- APIs for confidential personalization are described in [Amd A] section 4.
- The subject S.SD can be the ISD, an APSD, or the CASD.

FDP_IFF.1/GP-CCCM	Complete information flow control

FDP_IFF.1.1/GP-CCCM The TSF shall enforce the **Confidential Personalization of Secure Channel Keys information flow control SFP** based on the following types of subject and information security attributes:

- **Security Attributes: Status of CASD (installed, personalized, associated with ISD)**
- **none**[88]

FDP_IFF.1.2/GP-CCCM The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold:

- **There is a single instance of CASD that is installed, personalised, and associated with ISD.**
- **The confidential personalisation of APSD is performed using one of the scenarios #1, #2A, #2B, #3, as defined in [Amd A].**
- **The confidential personalisation of APSD is performed by using the CASD cryptographic functions.**
- **none**[89]

FDP_IFF.1.3/GP-CCCM The TSF shall enforce the **none**[90].

FDP_IFF.1.4/GP-CCCM The TSF shall explicitly authorize an information flow based on the following rules: **none**[91].

FDP_IFF.1.5/GP-CCCM The TSF shall explicitly deny an information flow based on the following rules:

- **S.SD fails to unwrap STORE DATA or PUT KEY.**
- **S.SD fails to verify the security level applied to protect APDU commands.**
- **S.SD fails to set the security level (integrity and/or confidentiality), to apply to the next incoming command and/or next outgoing response.**
- **CASD is not installed.**
- **CASD is not personalized to enable the personalization of APSD.**
- **CASD is not associated with the ISD.**
- **none**[92]

Application Note: Personalization Models and scenarios are described in [Amd A] section 3.2.

- For the Pull Model (Scenario #1), see [Amd A] section 3.2.1.
- For the Push Model (Scenario #2), see [Amd A] section 3.2.2.
- For the Key Agreement Model (Scenario #3), see [Amd A] section 3.2.3.
- Scenario #4 (Key Agreement Model without Secure Channel) is not supported by the TOE. Therefore, references to this scenario have been removed from the assignments made in [PP-GP].

FMT_MSA.1/GP-CCCM	Management of security attributes

[88] [assignment: list of subjects and information controlled under the indicated SFP, and for each, the security attributes]
[89] [assignment: for each operation, the security attribute-based relationship that must hold between subject and information security attributes]
[90] [assignment: additional information flow control SFP rules]
[91] [assignment: rules, based on security attributes, that explicitly authorize information flows]
[92] [assignment: rules, based on security attributes, that explicitly deny information flows]

FMT_MSA.1.1/GP-CCCM The TSF shall enforce the **Confidential Personalization of Secure Channel Keys information flow control SFP** to restrict the ability to **modify and query during personalization (phase 6), only query during end-usage (phase 7)**[93] the security attributes **defined in FDP_IFF.1.1/GP-CCCM** to the **S.OPEN**[94].

FMT_MSA.3/GP-CCCM	Security attribute initialization

FMT_MSA.3.1/GP-CCCM The TSF shall enforce the **Confidential Personalization of Secure Channel Keys information flow control SFP** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/GP-CCCM The TSF shall allow the **none**[95] to specify alternative initial values to override the default values when an object or information is created.

FTP_ITC.1/GP-CCCM	Inter-TSF trusted channel

FTP_ITC.1.1/GP-CCCM The TSF shall provide a communication channel between itself and another trusted IT product that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from modification or disclosure.

FTP_ITC.1.2/GP-CCCM The TSF shall permit **another trusted IT product** to initiate communication via the trusted channel.

FTP_ITC.1.3/GP-CCCM The TSF shall initiate communication via the trusted channel for:

- **Confidential personalization of Secure Channel Keys (setup of initial keys and update of existing keys) as defined in [Amd A]**
- **Secure personalization of APSD by the CA through the CASD as defined in [Amd A]**
- **Confidential loading of applications by an AP as defined in [Amd A]**
- **none**[96]

Application note: Confidential personalization of Secure Channel Keys (setup of initial keys and update of existing keys) is defined in [Amd A] section 3.2 and [GPCS] sections 11.8 and 11.11.

PP-Module 'Amendment C: Contactless Services (CTL)' - Security Functional Requirements

FDP_ACC.1/GP-CTL	Subset access control

FDP_ACC.1.1/GP-CTL The TSF shall enforce the **CTL Registry access control policy** on **the following list of subjects, objects and operations:**

- **Subjects: CRS/OPEN, CREL Application(s), Applications**
- **Objects: Contactless Registry**
- **Operation controlled by the policy: APDU commands and CTL API methods**

Application Note:

- APDU commands are described in [Amd C] section 3.11.

[93] [selection: change_default, query, modify, delete, [assignment: other operations]]
[94] [assignment: the authorized identified roles]
[95] [assignment: the authorized identified roles]
[96] [assignment: list of functions for which a trusted channel is required]

- CTL API methods are described in [Amd C] Annex A.

FDP_ACF.1/GP-CTL	Security attribute based access control

FDP_ACF.1.1/GP-CTL The TSF shall enforce the **CTL Registry access control policy** to objects based on the following:

- **Security Attributes: Contactless Activation State (ACTIVATED, DEACTIVATED, NON_ACTIVATABLE), Contactless privilege, Communication Interface Availability (Enabled, Disabled), System Install parameter.**

FDP_ACF.1.2/GP-CTL The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

- **Rules to be applied on the registration of a CTL Application**
  - **If the TOE contains at least one application for contactless communication, then this application has to get the Contactless Activation Privilege. This rule is enforced by the CRS/OPEN.**
  - **An Application in the NON_ACTIVATABLE state is implicitly DEACTIVATED and cannot be ACTIVATED. Any attempt to activate an Application that is currently in the NON_ACTIVATABLE state shall fail.**
  - **No application shall be capable of transitioning itself into the ACTIVATED state, except the application having the Contactless Self-Activation Privilege.**
  - **Privacy-sensitive applications and non-privacy-sensitive applications cannot be activated and operated at the same time (Privacy Sensitive Applications are identified by a new System Install parameter).**
  - **When an Application transitions from the INSTALLED state to the SELECTABLE state, the CRS/OPEN may attempt to activate the Application. However, this attempt shall fail if the activation of the Application conflicts with other currently activated Applications, or if the Application is in the NON_ACTIVATABLE state.**
  - **When an Application is transitioned to the LOCKED state, it cannot be activated again until the Application gets unlocked.**

- **When a power loss occurs, and not all Applications have been notified of the most recent Registry modification, the following rule applies:**
  - **If no transaction was open at the time of the power loss, notifications for the most recent registry modification are issued again for all Applications upon the next card reset.**
  - **If a transaction was open at the time of the power loss, previous modifications to the Registry are rolled back and the issuance of the notifications is not restarted.**

- **none**[97].

FDP_ACF.1.3/GP-CTL The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: **none**[98].

FDP_ACF.1.4/GP-CTL The TSF shall explicitly deny access of subjects to objects based on the following additional rules: **none**[99].

FDP_ROL.1/GP-CTL	Basic rollback

[97] [assignment: rules governing access among controlled subjects and controlled objects using controlled operations on controlled objects]
[98] [assignment: rules, based on security attributes, that explicitly authorize access of subjects to objects]
[99] [assignment: rules, based on security attributes, that explicitly deny access of subjects to objects]

FDP_ROL.1.1/GP-CL The TSF shall enforce **CTL Registry access control policy** to permit the rollback of the **previous modifications** on the **Contactless registry**.

FDP_ROL.1.2/GP-CL The TSF shall permit operations to be rolled back within the **boundary limit: until the previous modifications to the Registry have been removed from the Registry.**

Application Note: Refer to [Amd C] section 3.10.1 for more details.

FMT_MSA.1/GP-CTL	Management of security attributes

FMT_MSA.1.1/GP-CTL The TSF shall enforce the **CTL Registry access control policy** to restrict the ability to **modify** the security attributes **defined in FDP_ACF.1.1/GP-CL** to the **CRS/OPEN.**

FMT_MSA.3/GP-CTL	Security attributes initialization

FMT_MSA.3.1/GP-CTL The TSF shall enforce the **CTL Registry access control policy** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/GP-CTL The TSF shall allow the **CRS/OPEN** to specify alternative initial values to override the default values when an object or information is created.

FMT_SMR.1/GP-CTL	Security roles

FMT_SMR.1.1/GP-CTL The TSF shall maintain the roles **CRS/OPEN and CREL Application(s)**.

FMT_SMR.1.2/GP-CTL The TSF shall be able to associate users with roles.

FMT_SMF.1/GP-CTL	Specification of Management Functions

FMT_SMF.1.1/GP-CTL The TSF shall be capable of performing the following management functions:

- **Management of access to contactless registry parameters,**
- **Management of contactless applications,**
- **Management of contactless protocols,**
- **Management of contactless communication interfaces,**
- **Management of contactless privileges,**
- **none**[100].

FTP_ITC.1/GP-CTL	Inter-TSF trusted channel

FTP_ITC.1.1/GP-CTL The TSF shall provide a communication channel between itself and another trusted IT product that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from modification or disclosure
.

FTP_ITC.1.2/GP-CTL The TSF shall permit **another trusted IT product** to initiate communication via the trusted channel.

FTP_ITC.1.3/GP-CTL The TSF shall initiate communication via the trusted channel for **STORE DATA command.**

PP-Module 'Amendment H: Executable Load File Upgrade (ELFU)' - Security Functional Requirements

[100] [assignment: list of management functions to be provided by the TSF]

FDP_ACC.1/GP-ELFU	Subset access control

FDP_ACC.1.1/GP-ELFU The TSF shall enforce the **ELF Upgrade Access Control Policy** on **the following list of subjects, objects and operations**:

- **Subjects: S.OPEN, ELF Provider, S.SD**
- **Objects: Application instance data, ELF, ELF Registry data, ELF session data**
- **Operation controlled by the policy: APDUs 'MANAGE ELF UPGRADE', INSTALL [for load] and LOAD, and Upgrade API methods.**

Application Note:

- The APDU 'MANAGE ELF UPGRADE' is defined in [Amd H] section 4.1.
- The INSTALL [for load], LOAD commands, and Upgrade API methods are defined in [Amd H] Annex A.

FDP_ACF.1/GP-ELFU	Security attribute based access control

FDP_ACF.1.1/GP-ELFU The TSF shall enforce the **ELF Upgrade Access Control Policy** to objects based on the following **Security Attributes: AIDs, ELF session status, ELF versions (old or new).**

FDP_ACF.1.2/GP-ELFU The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

- **Only a single ELF Upgrade Session is processed at a time. No new ELF Upgrade Session may be started until the previous one (if any) has been completed or aborted.**
- **The MANAGE ELF UPGRADE [start] command is rejected with an error and the ELF Upgrade Process is aborted if any of the conditions defined in [Amd H] are satisfied.**
- **S.OPEN allows an ELF upgrade session to be initiated if no other ELF upgrade session is running.**
- **S.OPEN allows an ELF upgrade session to be initiated if processing S.SD has authorized management privilege or delegate management privilege**[101]

FDP_ACF.1.3/GP-ELFU The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: **none**[102].

FDP_ACF.1.4/GP-ELFU The TSF shall explicitly deny access of subjects to objects based on the following additional rules: **none**[103].

Application Note:

- AIDs, ELF session status are given in [Amd H] Table 4-8.
- Rules to be applied when starting the Upgrade session are described in [Amd H] section 3.2.1.
- Rules to be applied during the Saving phase are described in [Amd H] section 3.2.2.
- Rules to be applied during the Loading phase are described in [Amd H] section 3.2.3.
- Rules to be applied during the Restore phase are described in [Amd H] section 3.2.4.
- Card Content Management Operations described in [Amd H] section 3.4 shall always be rejected during an ELF Upgrade Session.

FDP_ROL.1/GP-ELFU	Basic rollback

[101] [assignment: rules governing access among controlled subjects and controlled objects using controlled operations on controlled objects]
[102] [assignment: rules, based on security attributes, that explicitly authorize access of subjects to objects]
[103] [assignment: rules, based on security attributes, that explicitly deny access of subjects to objects]

FDP_ROL.1.1/GP-ELFU The TSF shall enforce **ELF Upgrade Access Control Policy** to permit the rollback of the **deletion** on the **Application instances and ELF(s).**

FDP_ROL.1.2/GP-ELFU The TSF shall permit operations to be rolled back within the **boundary limit**:

- **If the deletion of the application instances and ELF(s) (atomic and irreversible operation) was started and then interrupted and/or disturbed by for example unexpected power-down, it shall automatically restart and complete at next power-up.**
- **If the interruption occurred during the Deletion Sequence and the latter did not complete automatically (i.e. the irreversible deletion operation did not start already), the Deletion Sequence shall restart.**

FMT_MSA.1/GP-ELFU Management of security attributes

FMT_MSA.1.1/GP-ELFU The TSF shall enforce the **ELF Upgrade Access Control Policy** to restrict the ability to **set and maintain** the security attributes **defined in FDP_ACF.1.1/GP-ELFU** to the **S.OPEN**.

FMT_MSA.3/GP-ELFU Security attribute initialization

FMT_MSA.3.1/GP-ELFU The TSF shall enforce the **ELF Upgrade Access Control Policy** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/GP-ELFU The TSF shall allow the **S.OPEN** to specify alternative initial values to override the default values when an object or information is created.

FMT_SMF.1/GP-ELFU Specification of Management Functions

FMT_SMF.1.1/GP-ELFU The TSF shall be capable of performing the following management functions:

- **The Saving, Loading, Restore phases of the Executable Load File Process**
- **Management of the ELF upgrade session status**
- **Card management during the ELF upgrade session**
- **none**[104]

FPT_FLS.1/GP-ELFU Failure with preservation of secure state

FPT_FLS.1.1/GP-ELFU The TSF shall preserve a secure state when the following types of failures occur:

- **The required minimum amount of memory is not available at the time the command MANAGE ELF UPGRADE is received,**
- **A fatal error occurs using the new ELF version during the Restore Phase**
- **The ELF Upgrade Recovery Procedure fails,**
- **The installation of an Application instance fails,**
- **An interruption occurred during the Installation, Saving, Restore, or Consolidation Sequences,**
- **none**[105]**.**

[104] [assignment: list of management functions to be provided by the TSF]
[105] [assignment: list of types of failures in the TSF]

PP-Module 'OS Update' - Security Functional Requirements

FDP_ACC.1/OS-UPDATE Subset access control

FDP_ACC.1.1/OS-UPDATE The TSF shall enforce the **OS Update Access Control Policy** on **the following list of subjects, objects, and operations**:

- **Subjects: S.OS-DEVELOPER is the representative of the OS Developer within the TOE, being responsible for signature verification and decryption of the additional code, before Loading, Installation and Activation are authorized.**
- **Objects: additional code and associated cryptographic signature**
- **Operations: loading, installation, and activation of additional code**

FDP_ACF.1/OS-UPDATE Security attribute based access control

FDP_ACF.1.1/OS-UPDATE The TSF shall enforce the **OS Update Access Control Policy** to objects based on the following Security Attributes:

- **The additional code cryptographic signature verification status**
- **The Identification Data verification status (between the Initial TOE and the additional code)**

FDP_ACF.1.2/OS-UPDATE The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

- **The verification of the additional code cryptographic signature (using D.OS-UPDATE_SGNVER-KEY) by S.OS-DEVELOPER is successful.**
- **The decryption of the additional code prior installation (using D.OS-UPDATE_DEC-KEY) by S.OS-DEVELOPER is successful.**
- **The comparison between the identification data of both the Initial TOE and the additional code demonstrates that the OS Update operation can be performed.**
- **none**[106]

FDP_ACF.1.3/OS-UPDATE The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: **none**[107].

FDP_ACF.1.4/OS-UPDATE The TSF shall explicitly deny access of subjects to objects based on the following additional rules: **none**[108].

Application Note:

- Identification data verification is necessary to ensure that the received additional code is actually targeting the TOE and that its version is compatible with the TOE version.
- Confidentiality protection must be enforced when the additional code is transmitted to the TOE for loading (See OE.OS-UPDATE-ENCRYPTION). Confidentiality protection is achieved through direct encryption of the additional code.

FMT_MSA.3/OS-UPDATE Security attribute initialization

FMT_MSA.3.1/OS-UPDATE The TSF shall enforce the **OS Update Access Control Policy** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

[106] [assignment: rules governing access among controlled subjects and controlled objects using controlled operations on controlled objects]
[107] [assignment: rules, based on security attributes, that explicitly authorize access of subjects to objects]
[108] [assignment: rules, based on security attributes, that explicitly deny access of subjects to objects]

FMT_MSA.3.2/OS-UPDATE The TSF shall allow the **OS Developer** to specify alternative initial values to override the default values when an object or information is created.

Application Note: the additional code signature verification status must be set to “Fail” by default. This prevents installation of any additional code until the additional code signature is successfully verified by the TOE.

FMT_SMR.1/OS-UPDATE	Security roles

FMT_SMR.1.1/OS-UPDATE The TSF shall maintain the roles **OS Developer, Issuer**.

FMT_SMR.1.2/OS-UPDATE The TSF shall be able to associate users with roles.

FMT_SMF.1/OS-UPDATE	Specification of Management Functions

FMT_SMF.1.1/OS-UPDATE The TSF shall be capable of performing the following management functions: **activation of additional code**.

Application Note: once verified and installed, additional code needs to be activated to become effective.

FIA_ATD.1/OS-UPDATE	User attribute definition

FIA_ATD.1.1/OS-UPDATE The TSF shall maintain the following list of security attributes belonging to individual users: **additional code ID for each activated additional code**.

Refinement: "Individual users" stands for additional code.

FTP_TRP.1/OS-UPDATE	Trusted Path

FTP_TRP.1.1/OS-UPDATE The TSF shall provide a communication path between itself and **remote** that is logically distinct from other communication paths and provides assured identification of its end points and protection of the communicated data from **none**[109].

FTP_TRP.1.2/OS-UPDATE The TSF shall permit **remote users** to initiate communication via the trusted path.

FTP_TRP.1.3/OS-UPDATE The TSF shall require the use of the trusted path for **the transfer of the additional code to the TOE**.

Application Note: during the transmission of the additional code to the TOE for loading, the confidentiality is ensured through direct encryption of the additional code, hence the ‘none’ selection in FTP_TRP.1.1/OS-UPDATE.

FCS_COP.1/OS-UPDATE-DEC	Cryptographic operation

FCS_COP.1.1/OS-UPDATE-DEC The TSF shall perform **Decryption of the additional code prior installation** in accordance with a specified cryptographic algorithm **AES in CBC mode with null IV**[110] and cryptographic key sizes **128 bits**[111] that meet the following: **FIPS 197**[112].

[109] [selection: disclosure, none]

FCS_COP.1/OS-UPDATE-VER	Cryptographic operation

FCS_COP.1.1/OS-UPDATE-VER The TSF shall perform **digital signature verification of the additional code to be loaded** in accordance with a specified cryptographic algorithm **AES-CMAC**[113] and cryptographic key sizes **128 bits**[114] that meet the following: **FIPS 197 and SP800-38B**[115].

FPT_FLS.1/OS-UPDATE	Failure with preservation of secure state

FPT_FLS.1.1/OS-UPDATE The TSF shall preserve a secure state when the following types of failures occur: **interruption or incident which prevents the forming of the Updated TOE**.

Application Note:

- The OS Update operation must either be successful or fail securely. There are 3 steps in an OS Update operation:
  - step 1: loading
  - step 2: activation
  - step 3: update of TOE identification data

  Steps 2 and 3 are performed atomically, so that the TOE active code and identification data always remain consistent.
- If a failure (interruption or incident) occurs during step 1 (loading), then the TOE remains in its initial state (no update, neither of code nor of the TOE identification data).
- If a failure (interruption or incident) occurs during the atomic sequence step 2 / step 3 (activation / update of TOE identification data), then the enforced behavior depends on the nature of the update:
  - For java code updates, the TOE remains in its initial state and the OS Update operation is aborted.
  - For native code updates, the TOE does some retries to complete the atomic sequence step 2 / step 3 (activation / update of TOE identification data) until it is successful.
  - In any case, only two possible secure states are possible at any given time:
    - Either activation is not done and the TOE identification data is not updated (i.e. initial state)
    - Or the atomic sequence completes successfully, i.e. the OS update is activated and the TOE identification data is updated accordingly.

9.1.3 [PP-JCS] Protection Profile

This section states the security functional requirements for the Java Card System - Open configuration. For readability, requirements are arranged into groups. All the groups defined in the table below come from [PP-JCS].

Group	Name	Description
CoreG_LC	Core with Logical Channels	The CoreG_LC contains the requirements concerning the runtime environment of the Java Card System implementing logical channels. This includes the firewall policy and the requirements related to the Java Card API. Logical channels are a Java Card specification version 2.2 feature.

[110] [assignment: cryptographic algorithm]
[111] [assignment: cryptographic key sizes]
[112] [assignment: list of standards]
[113] [assignment: cryptographic algorithm]
[114] [assignment: cryptographic key sizes]
[115] [assignment: list of standards]

Group	Name	Description
ADELG	Applet deletion	The ADELG contains the security requirements for erasing installed applets from the card, a feature introduced in Java Card specification version 2.2.
ODELG	Object deletion	The ODELG contains the security requirements for the object deletion capability. This provides a safe memory recovering mechanism. This is a Java Card specification version 2.2 feature.

Subjects are active components of the TOE that (essentially) act on the behalf of users. The users of the TOE include people or institutions (like the applet developer, the card issuer, the verification authority), hardware (like the CAD where the card is inserted or the PCD) and software components (like the application packages installed on the card). Some of the users may just be aliases for other users. For instance, the verification authority in charge of the bytecode verification of the applications may be just an alias for the card issuer.

Subjects (prefixed with an "S") are described in the following table:

Subject	Description
S.ADEL	The applet deletion manager which also acts on behalf of the card issuer. It may be an applet ([JCRE3], §11), but its role asks anyway for a specific treatment from the security viewpoint.
S.APPLET	Any applet instance.
S.BCV	The bytecode verifier (BCV), which acts on behalf of the verification authority who is in charge of the bytecode verification of the CAP files.
S.CAD	The CAD represents off-card entity that communicates with the S.INSTALLER. If the TOE provides JCRMI functionality, CAD can request RMI services by issuing commands to the card.
S.INSTALLER	The installer is the on-card entity which acts on behalf of the card issuer. This subject is involved in the loading of CAP files and installation of applets.
S.JCRE	The runtime environment under which Java programs in a smart card are executed.
S.JCVM	The bytecode interpreter that enforces the firewall at runtime.
S.LOCAL	Operand stack of a JCVM frame, or local variable of a JCVM frame containing an object or an array of references.
S.MEMBER	Any object's field, static field or array position.
S.CAP_FILE	A CAP file may contain multiple Java language packages. A package is a namespace within the Java programming language that may contain classes and interfaces. A CAP file may contain packages that define either user library, or one or several applets. A COMPACT CAP file as specified in Java Card Specifications version 3.1 or CAP files compliant to previous versions of Java Card Specification, MUST contain only a single package representing a library or one or more applets.

Objects (prefixed with an "O") are described in the following table:

Object	Description
O.APPLET	Any installed applet, its code and data.
O.CODE_CAP_FILE	The code of a CAP file, including all linking information. On the Java Card platform, a CAP file is the installation unit.
O.JAVAOBJECT	Java class instance or array. It should be noticed that KEYS, PIN, arrays and applet instances are specific objects in the Java programming language.

Information (prefixed with an "I") is described in the following table:

Information	Description
I.APDU	Any APDU sent to or from the card through the communication channel.
I.DATA	JCVM Reference Data: objectref addresses of APDU buffer, JCRE-owned instances of APDU class and byte array for install method.

Security attributes linked to these subjects, objects and information are described in the following table with their values:

Security attribute	Description / Value
Active Applets	The set of the active applets' AIDs. An active applet is an applet that is selected on at least one of the logical channels.
Applet Selection Status	"Selected" or "Deselected".

Applet's version number	The version number of an applet indicated in the export file.
CAP File AID	The AID of a CAP file.
Context	CAP file AID or "Java Card RE".
Currently Active Context	CAP file AID or "Java Card RE".
Dependent package AID	Allows the retrieval of the package AID and Applet's version number ([JCVM3], §4.5.2).
LC Selection Status	Multiselectable, Non-multiselectable or "None".
LifeTime	CLEAR_ON_DESELECT or PERSISTENT (*).
Owner	The Owner of an object is either the applet instance that created the object or the CAP file (library) where it has been defined (these latter objects can only be arrays that initialize static fields of the CAP file). The owner of a remote object is the applet instance that created the object.
Package AID	The AID of each package indicated in the export file.
Registered Applets	The set of AID of the applet instances registered on the card.
Resident CAP files	The set of AIDs of the CAP files already loaded on the card.
Resident packages	The set of AIDs of the packages already loaded on the card.
Selected Applet Context	CAP file AID or "None".
Sharing	Standard, SIO, Array View, Java Card RE entry point or global array.
Static References	Static fields of a CAP file may contain references to objects. The Static References attribute records those references.

(*) Transient objects of type CLEAR_ON_RESET behave like persistent objects in that they can be accessed only when the Currently Active Context is the object's context.

Operations (prefixed with "OP") are described in the following table. Each operation has parameters given between brackets, among which there is the "accessed object", the first one, when applicable. Parameters may be seen as security attributes that are under the control of the subject performing the operation.

Operation	**Description**
OP.ARRAY_ACCESS (O.JAVAOBJECT, field)	Read/Write an array component.
OP.ARRAY_LENGTH (O.JAVAOBJECT, field)	Get length of an array component.
OP.ARRAY_T_ALOAD(O.JAVAOBJECT, field)	Read from an array component.
OP.ARRAY_T_ASTORE(O.JAVAOBJECT, field)	Write to an array component.
OP.ARRAY_AASTORE(O.JAVAOBJECT, field)	Store into reference array component.
OP.CREATE(Sharing, LifeTime) (*)	Creation of an object (new, makeTransient or createArrayView call).
OP.DELETE_APPLET(O.APPLET,...)	Delete an installed applet and its objects, either logically or physically.
OP.DELETE_CAP_FILE(O.CODE_CAP_FILE,...)	Delete a CAP file, either logically or physically.
OP.DELETE_CAP_FILE_APPLET(O.CODE_CAP_FILE,...)	Delete a CAP file and its installed applets, either logically or physically.
OP.INSTANCE_FIELD(O.JAVAOBJECT, field)	Read/Write a field of an instance of a class in the Java programming language.
OP.INVK_VIRTUAL(O.JAVAOBJECT, method, arg1,...)	Invoke a virtual method (either on a class instance or an array object).
OP.INVK_INTERFACE(O.JAVAOBJECT, method, arg1,...)	Invoke an interface method.
OP.JAVA(...)	Any access in the sense of [JCRE3], §6.2.8. It stands for one of the operations OP.ARRAY_ACCESS, OP.INSTANCE_FIELD, OP.INVK_VIRTUAL, OP.INVK_INTERFACE, OP.THROW, OP.TYPE_ACCESS, OP.ARRAY_LENGTH
OP.PUT(S1,S2,I)	Transfer a piece of information I from S1 to S2.
OP.THROW(O.JAVAOBJECT)	Throwing of an object (athrow, see [JCRE3], §6.2.8.7).
OP.TYPE_ACCESS (O.JAVAOBJECT, class)	Invoke checkcast or instance of on an object in order to access to classes (standard or shareable interfaces objects).

(*) For this operation, there is no accessed object. This rule enforces that shareable transient objects are not allowed. For instance, during the creation of an object, the JavaCardClass attribute's value is chosen by the creator.

CoreG_LC Security Functional Requirements

This group is focused on the main security policy of the Java Card System, known as the firewall.

Firewall Policy

FDP_ACC.2/FIREWALL Complete access control

FDP_ACC.2.1/FIREWALL The TSF shall enforce the **FIREWALL access control SFP** on **S.CAP_FILE, S.JCRE, S.JCVM, O.JAVAOBJECT** and all operations among subjects and objects covered by the SFP.

Refinement: the operations involved in the policy are: OP.CREATE, OP.INVK_INTERFACE, OP.INVK_VIRTUAL, OP.JAVA, OP.THROW, OP.TYPE_ACCESS, OP.ARRAY_LENGTH, OP.ARRAY_T_ALOAD, OP.ARRAY_T_ASTORE, OP.ARRAY_AASTORE.

FDP_ACC.2.2/FIREWALL The TSF shall ensure that all operations between any subject controlled by the TSF and any object controlled by the TSF are covered by an access control SFP.

Application note: It should be noticed that accessing array's components of a static array, and more generally fields and methods of static objects, is an access to the corresponding O.JAVAOBJECT.

FDP_ACF.1/FIREWALL Security attribute based access control

FDP_ACF.1.1/FIREWALL The TSF shall enforce the **FIREWALL access control SFP** to objects based on the following:

Subject / Object	Security attributes
S.CAP_FILE	LC Selection Status
S.JCVM	Active Applets, Currently Active Context
S.JCRE	Selected Applet Context
O.JAVAOBJECT	Sharing, Context, LifeTime

FDP_ACF.1.2/FIREWALL The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

- **R.JAVA.1 ([JCRE3], §6.2.8): S.CAP_FILE may freely perform OP.INVK_VIRTUAL, OP.INVK_INTERFACE, OP.THROW or OP.TYPE_ACCESS upon any O.JAVAOBJECT whose Sharing attribute has value "JCRE entry point" or "global array".**
- **R.JAVA.2 ([JCRE3], §6.2.8): S.CAP_FILE may freely perform OP.ARRAY_ACCESS, OP.INSTANCE_FIELD, OP.INVK_VIRTUAL, OP.INVK_INTERFACE or OP.THROW upon any O.JAVAOBJECT whose Sharing attribute has value "Standard" and whose Lifetime attribute has value "PERSISTENT" only if O.JAVAOBJECT's Context attribute has the same value as the active context.**
- **R.JAVA.3 ([JCRE3], §6.2.8.10): S.CAP_FILE may perform OP.TYPE_ACCESS upon an O.JAVAOBJECT with Context attribute different from the currently active context, whose Sharing attribute has value "SIO" only if O.JAVAOBJECT is being cast into (checkcast) or is being verified as being an instance of (instanceof) an interface that extends the Shareable interface.**
- **R.JAVA.4 ([JCRE3], §6.2.8.6): S.CAP_FILE may perform OP.INVK_INTERFACE upon an O.JAVAOBJECT with Context attribute different from the currently active context, whose Sharing attribute has the value "SIO", and whose Context attribute has the value**

"CAP File AID ", only if the invoked interface method extends the Shareable interface and one of the following conditions applies:

a) **The value of the attribute Selection Status of the CAP file whose AID is "CAP File AID" is "Multiselectable",**
b) **The value of the attribute Selection Status of the CAP file whose AID is "CAP File AID " is "Non-multiselectable", and either "CAP File AID" is the value of the currently selected applet or otherwise "CAP File AID" does not occur in the attribute Active Applets.**

- **R.JAVA.5: S.CAP_FILE may perform OP.CREATE upon O.JAVAOBJECT only if the value of the Sharing parameter is "Standard" or "SIO".**
- **R.JAVA.6 ([JCRE3], §6.2.8): S.CAP_FILE may freely perform OP.ARRAY_ACCESS or OP.ARRAY_LENGTH upon any O.JAVAOBJECT whose Sharing attribute has value "global array".**

FDP_ACF.1.3/FIREWALL The TSF shall explicitly authorize access of subjects to objects based on the following additional rules:

1) **The subject S.JCRE can freely perform OP.JAVA(") and OP.CREATE, with the exception given in FDP_ACF.1.4/FIREWALL, provided it is the Currently Active Context.**
2) **The only means that the subject S.JCVM shall provide for an application to execute native code is the invocation of a Java Card API method (through OP.INVK_INTERFACE or OP.INVK_VIRTUAL).**

FDP_ACF.1.4/FIREWALL The TSF shall explicitly deny access of subjects to objects based on the following additional rules:

1) **Any subject with OP.JAVA upon an O.JAVAOBJECT whose LifeTime attribute has value "CLEAR_ON_DESELECT" if O.JAVAOBJECT's Context attribute is not the same as the Selected Applet Context.**
2) **Any subject attempting to create an object by the means of OP.CREATE and a "CLEAR_ON_DESELECT" LifeTime parameter if the active context is not the same as the Selected Applet Context.**
3) **S.CAP_FILE performing OP.ARRAY_AASTORE of the reference of an O.JAVAOBJECT whose sharing attribute has value "global array" or "Temporary".**
4) **S.CAP_FILE performing OP.PUTFIELD or OP.PUTSTATIC of the reference of an O.JAVAOBJECT whose sharing attribute has value "global array" or "Temporary".**
5) **R.JAVA.7 ([JCRE3], §6.2.8.2): S.CAP_FILE performing OP.ARRAY_T_ASTORE into an array view without ATTR_WRITABLE_VIEW access attribute.**
6) **R.JAVA.8 ([JCRE3], §6.2.8.2):S.CAP_FILE performing OP.ARRAY_T_ALOAD into an array view without ATTR_READABLE_VIEW access attribute.**

Application note, FDP_ACF.1.4/FIREWALL:

The deletion of applets may render some O.JAVAOBJECT inaccessible, and the Java Card RE may be in charge of this aspect. This can be done, for instance, by ensuring that references to objects belonging to a deleted application are considered as a null reference. Such a mechanism is implementation-dependent.

In the case of an array type, fields are components of the array ([JVM], §2.14, §2.7.7), as well as the length; the only methods of an array object are those inherited from the Object class.

The Sharing attribute defines five categories of objects:

- Standard ones, whose both fields and methods are under the firewall policy,
- Shareable interface Objects (SIO), which provide a secure mechanism for inter-applet communication,
- JCRE entry points (Temporary or Permanent), who have freely accessible methods but protected fields,
- Global arrays, having both unprotected fields (including components; refer to JavaCardClass discussion above) and methods.
- Array Views, having fields/elements access controlled by access control attributes, ATTR_READABLE_VIEW and ATTR_WRITABLE_VIEW and methods.

When a new object is created, it is associated with the Currently Active Context. But the object is owned by the applet instance within the Currently Active Context when the object is instantiated ([JCRE3], §6.1.3). An object is owned by an applet instance, by the JCRE or by the library where it has been defined (these latter objects can only be arrays that initialize static fields of CAP files).

([JCRE3], Glossary) Selected Applet Context. The Java Card RE keeps track of the currently selected Java Card applet. Upon receiving a SELECT command with this applet's AID, the Java Card RE makes this applet the Selected Applet Context. The Java Card RE sends all APDU commands to the Selected Applet Context.

While the expression "Selected Applet Context" refers to a specific installed applet, the relevant aspect to the policy is the context (CAP file AID) of the selected applet. In this policy, the "Selected Applet Context" is the AID of the selected CAP file.

([JCRE3], §6.1.2.1) At any point in time, there is only one active context within the Java Card VM (this is called the Currently Active Context).

It should be noticed that the invocation of static methods (or access to a static field) is not considered by this policy, as there are no firewall rules. They have no effect on the active context as well and the "acting CAP File" is not the one to which the static method belongs to in this case.

It should be noticed that the Java Card platform, version 2.2.x and version 3.x.x Classic Edition, introduces the possibility for an applet instance to be selected on multiple logical channels at the same time, or accepting other applets belonging to the same CAP file being selected simultaneously. These applets are referred to as multiselectable applets. Applets that belong to a same CAP file are either all multiselectable or not ([JCVM3], §2.2.5). Therefore, the selection mode can be regarded as an attribute of CAP files. No selection mode is defined for a library CAP file.

An applet instance will be considered an active applet instance if it is currently selected in at least one logical channel. An applet instance is the currently selected applet instance only if it is processing the current command. There can only be one currently selected applet instance at a given time. ([JCRE3], §4).

FDP_IFC.1/JCVM Subset information flow control

FDP_IFC.1.1/JCVM The TSF shall enforce the **JCVM information flow control SFP** on **S.JCVM, S.LOCAL, S.MEMBER, I.DATA and OP.PUT(S1, S2, I)**.

Application note: it should be noticed that references of temporary Java Card RE entry points, which cannot be stored in class variables, instance variables or array components, are transferred from the internal memory of the Java Card RE (TSF data) to some stack through specific APIs (Java Card RE owned exceptions) or Java Card RE invoked methods (such as the process (APDU apdu)); these are causes of OP.PUT(S1,S2,I) operations as well.

FDP_IFF.1/JCVM Simple security attributes

FDP_IFF.1.1/JCVM The TSF shall enforce the **JCVM information flow control SFP** based on the following types of subject and information security attributes:

Subjects	Security attributes
S.JCVM	Currently Active Context

FDP_IFF.1.2/JCVM The TSF shall permit an information flow between a controlled subject and controlled information via a controlled operation if the following rules hold:

- **An operation OP.PUT (S1, S.MEMBER, I.DATA) is allowed if and only if the Currently Active Context is "Java Card RE";**
- **Other OP.PUT operations are allowed regardless of the Currently Active Context's value**.

FDP_IFF.1.3/JCVM The TSF shall enforce the **No additional rules**[116].

FDP_IFF.1.4/JCVM The TSF shall explicitly authorize an information flow based on the following rules: **No additional rules**[117].

FDP_IFF.1.5/JCVM The TSF shall explicitly deny an information flow based on the following rules: **No additional rules**[118].

Application note:

The storage of temporary Java Card RE-owned objects references is runtime-enforced ([JCRE3], §6.2.8.1-3).

It should be noticed that this policy essentially applies to the execution of bytecode. Native methods, the Java Card RE itself and possibly some API methods can be granted specific rights or limitations through the FDP_IFF.1.3/JCVM to FDP_IFF.1.5/JCVM elements. The way the Java Card virtual machine manages the transfer of values on the stack and local variables (returned values, uncaught exceptions) from and to internal registers is implementation-dependent. For instance, a returned reference, depending on the implementation of the stack frame, may transit through an internal register prior to being pushed on the stack of the invoker. The returned bytecode would cause more than one OP.PUT operation under this scheme.

FDP_RIP.1/OBJECTS Subset residual information protection

FDP_RIP.1.1/OBJECTS The TSF shall ensure that any previous information content of a resource is made unavailable upon the **allocation of the resource to** the following objects: **class instances and arrays**.

Application note: the semantics of the Java programming language requires for any object field and array position to be initialized with default values when the resource is allocated [JVM], §2.5.1.

FMT_MSA.1/JCRE Management of security attributes

FMT_MSA.1.1/JCRE The TSF shall enforce the **FIREWALL access control SFP** to restrict the ability to **modify** the security attributes **Selected Applet Context** to **the Java Card RE**.

Application note: the modification of the Selected Applet Context should be performed in accordance with the rules given in [JCRE3], §4 and [JCVM3], §3.4.

FMT_MSA.1/JCVM Management of security attributes

FMT_MSA.1.1/JCVM The TSF shall enforce the **FIREWALL access control SFP and the JCVM information flow control SFP** to restrict the ability to **modify** the security attributes **Currently Active Context and Active Applets** to **the Java Card VM (S.JCVM)**.

Application note: the modification of the Currently Active Context should be performed in accordance with the rules given in [JCRE3], §4 and [JCVM3], §3.4.

FMT_MSA.2/FIREWALL_JCVM Secure security attributes

[116] [assignment: additional information flow control SFP rules]
[117] [assignment: rules, based on security attributes, that explicitly authorize information flows]
[118] [assignment: rules, based on security attributes, that explicitly deny information flows]

FMT_MSA.2.1/FIREWALL_JCVM The TSF shall ensure that only secure values are accepted for **all the security attributes of subjects and objects defined in the FIREWALL access control SFP and the JCVM information flow control SFP**.

Application note: the following rules are given as examples only. For instance, the last two rules are motivated by the fact that the Java Card API defines only transient arrays factory methods. Future versions may allow the creation of transient objects belonging to arbitrary classes; such evolution will naturally change the range of "secure values" for this component.

- The Context attribute of an O.JAVAOBJECT must correspond to that of an installed applet or be "Java Card RE".
- An O.JAVAOBJECT whose Sharing attribute is a Java Card RE entry point or a global array necessarily has "Java Card RE" as the value for its Context security attribute.
- Any O.JAVAOBJECT whose Sharing attribute value is not "Standard" has a PERSISTENT-LifeTime attribute's value.
- Any O.JAVAOBJECT whose LifeTime attribute value is not PERSISTENT has an array type as JavaCardClass attribute's value.

FMT_MSA.3/FIREWALL Static attribute initialization

FMT_MSA.3.1/FIREWALL The TSF shall enforce the **FIREWALL access control SFP** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/FIREWALL **[Editorially Refined]** The TSF shall not allow **any role** to specify alternative initial values to override the default values when an object or information is created.

Application note, FMT_MSA.3.1/FIREWALL:

Objects' security attributes of the access control policy are created and initialized at the creation of the object or the subject. Afterwards, these attributes are no longer mutable (FMT_MSA.1/JCRE). At the creation of an object (OP.CREATE), the newly created object, assuming that the FIREWALL access control SFP permits the operation, gets its Lifetime and Sharing attributes from the parameters of the operation; on the contrary, its Context attribute has a default value, which is its creator's Context attribute and AID respectively ([JCRE3], §6.1.3). There is one default value for the Selected Applet Context that is the default applet identifier's Context, and one default value for the Currently Active Context that is "Java Card RE".
The knowledge of which reference corresponds to a temporary entry point object or a global array and which does not is solely available to the Java Card RE (and the Java Card virtual machine).

Application note, FMT_MSA.3.2/FIREWALL:

The intent is that none of the identified roles has privileges with regard to the default values of the security attributes. It should be noticed that creation of objects is an operation controlled by the FIREWALL access control SFP. The operation shall fail anyway if the created object would have had security attributes whose value violates FMT_MSA.2.1/FIREWALL_JCVM.

FMT_MSA.3/JCVM Static attribute initialization

FMT_MSA.3.1/JCVM The TSF shall enforce the **JCVM information flow control SFP** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/JCVM **[Editorially Refined]** The TSF shall not allow **any role** to specify alternative initial values to override the default values when an object or information is created.

FMT_SMF.1 Specification of Management Functions

FMT_SMF.1.1 The TSF shall be capable of performing the following management functions: **modify the Currently Active Context, the Selected Applet Context and the Active Applets**.

FMT_SMR.1 Security roles

FMT_SMR.1.1 The TSF shall maintain the roles**:**
- **Java Card RE (JCRE),**
- **Java Card VM (JCVM)**.

FMT_SMR.1.2 The TSF shall be able to associate users with roles.

Application Programming Interface

The following SFRs are related to the Java Card API.

The whole set of cryptographic algorithms is generally not implemented because of limited memory resources and/or limitations due to exportation. Therefore, the following requirements only apply to the implemented subset.

It should be noticed that the execution of the additional native code is not within the TSF. Nevertheless, access to API native methods from the Java Card System is controlled by TSF because there is no difference between native and interpreted methods in their interface or invocation mechanism.

FCS_CKM.1/TDES Cryptographic key generation

FCS_CKM.1.1/TDES The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **TDES Key generation**[119] and specified cryptographic key sizes **112 bits for TDES 2 keys, 168 bits for TDES 3 keys**[120] that meet the following: **none (random numbers generation)**[121].

Application note: the keys are generated and diversified in accordance with [JCAPI3] in class KeyBuilder (buildKey method).

FCS_CKM.1/AES Cryptographic key generation

FCS_CKM.1.1/AES The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **AES Key generation**[122] and specified cryptographic key sizes **128, 192 and 256 bits**[123] that meet the following: **none (random numbers generation)**[124].

Application note: the keys are generated and diversified in accordance with [JCAPI3] in class KeyBuilder (buildKey method).

FCS_CKM.1/RSA Cryptographic key generation

FCS_CKM.1.1/RSA The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **RSA Standard and RSA CRT Key Pair Generation**[125] and specified cryptographic key sizes **1024 to 3072 bits by steps of 32 bits**[126] that meet the following: **ISO 18032 (prime) and ETSI TS 102 176-1 V2.0.0 (2007-11)**[127].

---

[119] [assignment: cryptographic key generation algorithm]
[120] [assignment: cryptographic key sizes]
[121] [assignment: list of standards]
[122] [assignment: cryptographic key generation algorithm]
[123] [assignment: cryptographic key sizes]
[124] [assignment: list of standards]
[125] [assignment: cryptographic key generation algorithm]
[126] [assignment: cryptographic key sizes]
[127] [assignment: list of standards]

Application note: the keys are generated and diversified in accordance with [JCAPI3] in classes KeyBuilder (buildKey method) and KeyPair (genKeyPair method). [ISO/IEC 18032] is used for prime generation and [TS 102.176] for key derivation from primes.

FCS_CKM.1/ECDSA Cryptographic key generation

FCS_CKM.1.1/ECDSA The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **ECDSA Key Pair Generation**[128] and specified cryptographic key sizes **P ranging from 160 to 521 bits**[129] that meet the following: **see application note**[130].

Application note:

- The keys are generated and diversified in accordance with [JCAPI3] in classes KeyBuilder (buildKey method) and KeyPair (genKeyPair method), following [FIPS PUB 186-4] standard.
- The TOE implements elliptic curve cryptography over GF(p), supporting the following [JCAPI3] key types:

[JCAPI3] class	Supported parameters
javacard.security.KeyBuilder	TYPE_EC_FP_PRIVATE LENGTH_EC_FP_160 TYPE_EC_FP_PRIVATE LENGTH_EC_FP_192 TYPE_EC_FP_PRIVATE LENGTH_EC_FP_224 TYPE_EC_FP_PRIVATE LENGTH_EC_FP_256 TYPE_EC_FP_PRIVATE LENGTH_EC_FP_384 TYPE_EC_FP_PRIVATE LENGTH_EC_FP_521 TYPE_EC_FP_PRIVATE_TRANSIENT_RESET TYPE_EC_FP_PRIVATE_TRANSIENT_DESELECT
javacard.security.KeyPair	ALG_EC_FP LENGTH_EC_FP_160 ALG_EC_FP LENGTH_EC_FP_192 ALG_EC_FP LENGTH_EC_FP_224 ALG_EC_FP LENGTH_EC_FP_256 ALG_EC_FP LENGTH_EC_FP_384 ALG_EC_FP LENGTH_EC_FP_521
javacard.security.NamedParameterSpec	BRAINPOOLP192R1 BRAINPOOLP192T1 BRAINPOOLP320R1 BRAINPOOLP320T1 BRAINPOOLP384R1 BRAINPOOLP384T1 BRAINPOOLP512R1 BRAINPOOLP512T1 SECP192R1 SECP224R1 SECP256R1 SECP384R1 SECP521R1

FCS_CKM.1/HMAC Cryptographic key generation

FCS_CKM.1.1/HMAC The TSF shall generate cryptographic keys in accordance with a specified cryptographic key generation algorithm **HMAC Key generation**[131] and specified cryptographic key sizes **see application note**[132] that meet the following: **none (random numbers generation)**[133].

[128] [assignment: cryptographic key generation algorithm]
[129] [assignment: cryptographic key sizes]
[130] [assignment: list of standards]
[131] [assignment: cryptographic key generation algorithm]
[132] [assignment: cryptographic key sizes]
[133] [assignment: list of standards]

Application note

In accordance with [JCAPI3], the keys are generated and diversified in class KeyBuilder (buildKey method). The following [JCAPI3] parameters are supported:

[JCAPI3] class	Supported parameters
javacard.security.KeyBuilder	TYPE_HMAC_TRANSIENT_RESET TYPE_HMAC_TRANSIENT_DESELECT TYPE_HMAC LENGTH_HMAC_SHA_1_BLOCK_64 TYPE_HMAC LENGTH_HMAC_SHA_256_BLOCK_64 TYPE_HMAC LENGTH_HMAC_SHA_384_BLOCK_64 TYPE_HMAC LENGTH_HMAC_SHA_512_BLOCK_64

As mentioned in [JCAPI3] the key can be of any length, but it is strongly recommended that the key is not shorter than the byte length of the hash output used in the HMAC implementation. Keys with length greater than the hash block length are first hashed with the hash algorithm used for the HMAC implementation. As required, the implementation also supports an HMAC key length equal to the length of the supported hash algorithm block size.

FCS_CKM.6 Timing and event of cryptographic key destruction

FCS_CKM.6.1 The TSF shall destroy **list of keys in Table 26** when **no longer needed or requested by owner or owner is removed**.

Table 26: Keys names and mechanisms

Keys name	Mechanism
D.ISD_SCP02_STATIC_KEYS D.APSD_SCP02_STATIC_KEYS D.VASD_SCP02_STATIC_KEYS	SCP02
D.ISD_SCP02_SESSION_KEYS D.APSD_SCP02_SESSION_KEYS D.VASD_SCP02_SESSION_KEYS	SCP02
D.ISD_SCP03_STATIC_KEYS D.APSD_SCP03_STATIC_KEYS D.VASD_SCP03_STATIC_KEYS	SCP03
D.ISD_SCP03_SESSION_KEYS D.APSD_SCP03_SESSION_KEYS D.VASD_SCP03_SESSION_KEYS	SCP03
D.ISD_SCP80_STATIC_KEYS D.APSD_SCP80_STATIC_KEYS D.VASD_SCP80_STATIC_KEYS	SCP80
D.ISD_SCP81_STATIC_KEYS D.APSD_SCP81_STATIC_KEYS D.VASD_SCP81_STATIC_KEYS	SCP81
D.ISD_SCP81_SESSION_KEYS D.APSD_SCP81_SESSION_KEYS D.VASD_SCP81_SESSION_KEYS	SCP81
D.ISD_SCP11_STATIC_KEYS D.APSD_SCP11_STATIC_KEYS D.VASD_SCP11_STATIC_KEYS	SCP11
D.ISD_SCP11_SESSION_KEYS D.APSD_SCP11_SESSION_KEYS D.VASD_SCP11_SESSION_KEYS	SCP11
D.CLFDB-DK	Ciphered Load
D.CVM_PIN	PIN Verification
D.CVM_PIN_ENC_KEY	PIN Encryption
D.TOKEN-VERIFICATION-KEY	Token Verification
D.RECEIPT-GENERATION-KEY	Receipt Generation

D.APSD_DAP_KEYS D.CASD_DAP_KEYS	DAP Verification
D.CASD_SIGNATURE_KEY	Sign/Verify
D.CASD_ENCRYPTION_KEY	Encryption/Decryption
D.CASD_SIGNATURE_AUTHORITY_KEY	Signature Generation
D.CCCM_PULL_RKG_KEYS	Encryption
D.CCCM_PULL_KEYS	SCP02, SCP03, SCP80
D.CCCM_PUSH_KEYS	SCP02, SCP03, SCP80
D.CCCM_KA_KEYS	SCP02, SCP03, SCP80
D.OS-UPDATE_SGNVER-KEY	Signature Verification
D.OS-UPDATE_DEC-KEY	Decryption
D.APP_KEYS	JC API

FCS_CKM.6.2 The TSF shall destroy cryptographic keys and keying material specified by FCS_CKM.6.1 in accordance with a specified cryptographic key destruction method **see application note**[134] that meets the following: **[JCAPI3] standard**[135].

Application note: the keys are reset as specified in [JCAPI3] Key class, with the method *clearKey()*. Any access to a cleared key for ciphering or signing shall throw an exception.

Application note: this SFR replaces FCS_CKM.4 as required by [CC-2]. The modifications are addition of information and have no impact on the conformity with the Protection Profile.

FCS_COP.1/TDES_CIPHER Cryptographic operation

FCS_COP.1.1/TDES_CIPHER The TSF shall perform **encryption and decryption of applet instance's data**[136] in accordance with a specified cryptographic algorithm **Triple DES 2 Keys or Triple DES 3 Keys with cipher modes mentioned in the application note below**[137] and cryptographic key sizes **112 bits for TDES 2 Keys, 168 bits for TDES 3 Keys**[138] that meet the following: **FIPS PUB 46-3, FIPS PUB 81, ISO/IEC 9797-1, PKCS#5**[139].

Application note: the following TDES ciphers from [JCAPI3] are implemented:

Mode	Field name in [JCAPI3] Cipher class
CBC	ALG_DES_CBC_NOPAD
CBC	ALG_DES_CBC_ISO9797_M1
CBC	ALG_DES_CBC_ISO9797_M2
CBC	ALG_DES_CBC_PKCS5
ECB	ALG_DES_ECB_NOPAD
ECB	ALG_DES_ECB_ISO9797_M1
ECB	ALG_DES_ECB_ISO9797_M2
ECB	ALG_DES_ECB_PKCS5

FCS_COP.1/TDES_MAC Cryptographic operation

FCS_COP.1.1/TDES_MAC The TSF shall perform **MAC computation of applet instance's data**[140] in accordance with a specified cryptographic algorithm **MAC algorithms mentioned in the**

[134] [assignment: cryptographic key destruction method]
[135] [assignment: list of standards]
[136] [assignment: list of cryptographic operations]
[137] [assignment: cryptographic algorithm]
[138] [assignment: cryptographic key sizes]
[139] [assignment: list of standards]
[140] [assignment: list of cryptographic operations]

application note below[141] and cryptographic key sizes **112 bits for TDES 2 Keys, 168 bits for TDES 3 Keys**[142] that meet the following: **FIPS PUB 46-3, FIPS PUB 81, ISO/IEC 9797-1, PKCS#5**[143].

Application note: the following TDES MACs from [JCAPI3] are implemented:

MAC length	MAC algorithm	Field name in [JCAPI3] Signature class
4 bytes	ISO9797-1 MAC algorithm 3	ALG_DES_MAC4_ISO9797_1_M1_ALG3
4 bytes	ISO9797-1 MAC algorithm 3	ALG_DES_MAC4_ISO9797_1_M2_ALG3
4 bytes	3DES in outer CBC mode	ALG_DES_MAC4_ISO9797_M1
4 bytes	3DES in outer CBC mode	ALG_DES_MAC4_ISO9797_M2
4 bytes	3DES in outer CBC mode	SIG_CIPHER_DES_MAC4
4 bytes	3DES in outer CBC mode	ALG_DES_MAC4_PKCS5
4 bytes	3DES in outer CBC mode	ALG_DES_MAC4_NOPAD
8 bytes	ISO9797-1 MAC algorithm 3	ALG_DES_MAC8_ISO9797_1_M1_ALG3
8 bytes	ISO9797-1 MAC algorithm 3	ALG_DES_MAC8_ISO9797_1_M2_ALG3
8 bytes	3DES in outer CBC mode	ALG_DES_MAC8_ISO9797_M1
8 bytes	3DES in outer CBC mode	ALG_DES_MAC8_ISO9797_M2
8 bytes	3DES in outer CBC mode	SIG_CIPHER_DES_MAC8
8 bytes	3DES in outer CBC mode	ALG_DES_MAC8_PKCS5
8 bytes	3DES in outer CBC mode	ALG_DES_MAC8_NOPAD

FCS_COP.1/AES_CIPHER Cryptographic operation

FCS_COP.1.1/AES_CIPHER The TSF shall perform **encryption and decryption of applet instance's data**[144] in accordance with a specified cryptographic algorithm **AES with cipher modes mentioned in the application note below**[145] and cryptographic key sizes **128, 192 and 256 bits**[146] that meet the following: **FIPS PUB 197, NIST SP800-38A, NIST SP800-38D, ISO/IEC 9797-1, PKCS#5, RFC3610** [147].

Application note: the following AES ciphers from [JCAPI3] are implemented:

Mode	Field name in [JCAPI3] Cipher class
CBC	ALG_AES_BLOCK_128_CBC_NOPAD
CBC	ALG_AES_CBC_ISO9797_M1
CBC	ALG_AES_CBC_ISO9797_M2
CBC	ALG_AES_CBC_PKCS5
ECB	ALG_AES_BLOCK_128_ECB_NOPAD
ECB	ALG_AES_ECB_ISO9797_M1
ECB	ALG_AES_ECB_ISO9797_M2
ECB	ALG_AES_ECB_PKCS5
CTR	ALG_AES_CTR
Mode	**Field name in [JCAPI3] AEADCipher class**
Counter with CBC-MAC	ALG_AES_CCM
Counter with CBC-MAC	CIPHER_AES_CCM
Galois/Counter Mode (GCM)	ALG_AES_GCM
Galois/Counter Mode (GCM)	CIPHER_AES_GCM

FCS_COP.1/AES_MAC Cryptographic operation

[141] [assignment: cryptographic algorithm]
[142] [assignment: cryptographic key sizes]
[143] [assignment: list of standards]
[144] [assignment: list of cryptographic operations]
[145] [assignment: cryptographic algorithm]
[146] [assignment: cryptographic key sizes]
[147] [assignment: list of standards]

FCS_COP.1.1/AES_MAC The TSF shall perform **MAC computation of applet instance's data**[148] in accordance with a specified cryptographic algorithm **MAC algorithms mentioned in the application note below**[149] and cryptographic key sizes **128, 192 and 256 bits**[150] that meet the following: **FIPS PUB 197, NIST SP800-38B**[151].
Application note: the following AES MACs from [JCAPI3] are implemented:

MAC length	MAC algorithm	Field name in [JCAPI3] Signature class
16 bytes	AES in CBC mode, block size 128 bits	ALG_AES_MAC_128_NOPAD
16 bytes	AES in CBC mode, block size 128 bits	SIG_CIPHER_AES_MAC128
16 bytes	AES in CBC mode, block size 128 bits	SIG_CIPHER_AES_CMAC128
16 bytes	AES in CBC mode, block size 128 bits	ALG_AES_CMAC_128

FCS_COP.1/RSA_SIGN Cryptographic operation

FCS_COP.1.1/RSA_SIGN The TSF shall perform **signature generation and signature verification of applet instance's data**[152] in accordance with a specified cryptographic algorithm **RSA Standard and RSA CRT with hash algorithms and padding schemes mentioned in the application note below**[153] and cryptographic key sizes **1024 to 2048 bits by steps of 32 bits, and 3072 bits**[154] that meet the following: **PKCS#1, PKCS#1-PSS (IEEE 1363-2000), ISO/IEC 9796-2 and RFC2409**[155].

Application note: the following RSA signatures from [JCAPI3] are implemented:

Hash algorithm	Padding scheme	Field name in [JCAPI3] Signature class
SHA224	PKCS#1	ALG_RSA_SHA_224_PKCS1
SHA224	PKCS#1-PSS scheme (IEEE 1363-2000)	ALG_RSA_SHA_224_PKCS1_PSS
SHA256	PKCS#1	ALG_RSA_SHA_256_PKCS1
SHA256	PKCS#1-PSS scheme (IEEE 1363-2000)	ALG_RSA_SHA_256_PKCS1_PSS
SHA384	PKCS#1	ALG_RSA_SHA_384_PKCS1
SHA384	PKCS#1-PSS scheme (IEEE 1363-2000)	ALG_RSA_SHA_384_PKCS1_PSS
SHA512	PKCS#1	ALG_RSA_SHA_512_PKCS1
SHA512	PKCS#1-PSS scheme (IEEE 1363-2000)	ALG_RSA_SHA_512_PKCS1_PSS
SHA1	ISO 9796-2	ALG_RSA_SHA_ISO9796
SHA1	ISO 9796-2	ALG_RSA_SHA_ISO9796_MR
SHA1	PKCS#1	ALG_RSA_SHA_PKCS1
SHA1	PKCS#1-PSS scheme (IEEE 1363-2000)	ALG_RSA_SHA_PKCS1_PSS
SHA1	RFC2409	ALG_RSA_SHA_RFC2409
-	-	SIG_CIPHER_RSA

FCS_COP.1/RSA_CIPHER Cryptographic operation

FCS_COP.1.1/RSA_CIPHER The TSF shall perform **encryption and decryption of applet instance's data**[156] in accordance with a specified cryptographic algorithm **RSA Standard and RSA CRT as mentioned in the application note below**[157] and cryptographic key sizes **1024 to 2048 bits**

[148] [assignment: list of cryptographic operations]
[149] [assignment: cryptographic algorithm]
[150] [assignment: cryptographic key sizes]
[151] [assignment: list of standards]
[152] [assignment: list of cryptographic operations]
[153] [assignment: cryptographic algorithm]
[154] [assignment: cryptographic key sizes]
[155] [assignment: list of standards]
[156] [assignment: list of cryptographic operations]
[157] [assignment: cryptographic algorithm]

by steps of 32 bits, and 3072 bits[158] that meet the following: **PKCS#1, PKCS#1-OAEP scheme (IEEE 1363-2000)**[159].

Application note: the following RSA ciphers from [JCAPI3] are implemented:

[JCAPI3] class	Implemented algorithms
Cipher	ALG_RSA_NOPAD
	ALG_RSA_PKCS1
	ALG_RSA_PKCS1_OAEP PAD_PKCS1_OAEP PAD_PKCS1_OAEP_SHA224 PAD_PKCS1_OAEP_SHA256 PAD_PKCS1_OAEP_SHA3_224 PAD_PKCS1_OAEP_SHA3_256 PAD_PKCS1_OAEP_SHA3_384 PAD_PKCS1_OAEP_SHA3_512 PAD_PKCS1_OAEP_SHA384 PAD_PKCS1_OAEP_SHA512 PAD_PKCS1_PSS

FCS_COP.1/ECDSA_SIGN Cryptographic operation

FCS_COP.1.1/ECDSA_SIGN The TSF shall perform **signature generation and signature verification of applet instance's data**[160] in accordance with a specified cryptographic algorithm **ECDSA as mentioned in the application note below**[161] and cryptographic key sizes **P ranging from 160 to 521 bits**[162] that meet the following: **FIPS PUB 186-4**[163].

Application note: the following ECDSA signatures from [JCAPI3] are implemented:

Hash algorithm	Field name in [JCAPI3] Signature class
SHA1	ALG_ECDSA_SHA
SHA224	ALG_ECDSA_SHA_224
SHA256	ALG_ECDSA_SHA_256
SHA384	ALG_ECDSA_SHA_384
SHA512	ALG_ECDSA_SHA_512
-	SIG_CIPHER_ECDSA
-	SIG_CIPHER_ECDSA_PLAIN

FCS_COP.1/ECDH Cryptographic operation

FCS_COP.1.1/ECDH The TSF shall perform **Secret Key Agreement**[164] in accordance with a specified cryptographic algorithm **Elliptic Curve Diffie-Hellman (ECDH)**[165] and cryptographic key sizes **P ranging from 256 to 521 bits**[166] that meet the following: **IEEE P1363**[167].

[158] [assignment: cryptographic key sizes]
[159] [assignment: list of standards]
[160] [assignment: list of cryptographic operations]
[161] [assignment: cryptographic algorithm]
[162] [assignment: cryptographic key sizes]
[163] [assignment: list of standards]
[164] [assignment: list of cryptographic operations]
[165] [assignment: cryptographic algorithm]
[166] [assignment: cryptographic key sizes]
[167] [assignment: list of standards]

Application note: the secret keys are derived using the KeyAgreement class (generateSecret method) of javacard.security. The following [JCAPI3] fields are supported:

Field name in [JCAPI3] KeyAgreement class
ALG_EC_SVDP_DH_KDF
ALG_EC_SVDP_DH_PLAIN
ALG_EC_SVDP_DH_PLAIN_XY
ALG_EC_SVDP_DHC_KDF
ALG_EC_SVDP_DHC_PLAIN

Application note: The parameters for ECDH key agreement operations are: BRAINPOOLP320R1, BRAINPOOLP320T1, BRAINPOOLP384R1, BRAINPOOLP384T1, BRAINPOOLP512R1, BRAINPOOLP512T1, SECP256R1, SECP384R1, SECP521R1.

FCS_COP.1/DH Cryptographic operation

FCS_COP.1.1/DH The TSF shall perform **Key Exchange**[168] in accordance with a specified cryptographic algorithm **Diffie-Hellman (DH)**[169] and cryptographic key sizes **RSA key sizes from 1024 to 2048 bits by steps of 32 bits**[170] that meet the following: **NIST SP 800-56Ar2 chapter 5.7.1.1**[171].

FCS_COP.1/Hash Cryptographic operation

FCS_COP.1.1/Hash The TSF shall perform **computation of a hash value for applet instance's data**[172] in accordance with a specified cryptographic algorithm **see application note**[173] and cryptographic key sizes **None**[174] that meet the following: **see application note**[175].

Application note: the following hash algorithms from [JCAPI3] are implemented:

Hash algorithm	Field name in [JCAPI3] MessageDigest class	Related Standard
SHA1	ALG_SHA	FIPS 180-4
SHA-224	ALG_SHA_224	FIPS 180-4
SHA-256	ALG_SHA_256	FIPS 180-4
SHA-384	ALG_SHA_384	FIPS 180-4
SHA-512	ALG_SHA_512	FIPS 180-4
SHA3-224	ALG_SHA3_224	FIPS 202
SHA3-256	ALG_SHA3_256	FIPS 202
SHA3-384	ALG_SHA3_384	FIPS 202
SHA3-512	ALG_SHA3_512	FIPS 202

FCS_COP.1/HMAC Cryptographic operation

FCS_COP.1.1/HMAC The TSF shall perform **computation of a HMAC value for applet instance's data**[176] in accordance with a specified cryptographic algorithm **HMAC with hash algorithms**

[168] [assignment: list of cryptographic operations]
[169] [assignment: cryptographic algorithm]
[170] [assignment: cryptographic key sizes]
[171] [assignment: list of standards]
[172] [assignment: list of cryptographic operations]
[173] [assignment: cryptographic algorithm]
[174] [assignment: cryptographic key sizes]
[175] [assignment: list of standards]
[176] [assignment: list of cryptographic operations]

mentioned in the application note below[177] and cryptographic key sizes **see application note**[178] that meet the following: **rfc2104**[179].

Application note: the following HMAC algorithms from [JCAPI3] are implemented:

Hash algorithm used in HMAC computation	Field name in [JCAPI3] Signature class
SHA1	ALG_HMAC_SHA1
SHA256	ALG_HMAC_SHA_256
SHA384	ALG_HMAC_SHA_384
SHA512	ALG_HMAC_SHA_512
-	SIG_CIPHER_HMAC

As mentioned in [JCAPI3] the key can be of any length, but it is strongly recommended that the key is not shorter than the byte length of the hash output used in the HMAC implementation. Keys with length greater than the hash block length are first hashed with the hash algorithm used for the HMAC implementation. As required, the implementation also supports an HMAC key length equal to the length of the supported hash algorithm block size.

FCS_COP.1/CRC Cryptographic operation

FCS_COP.1.1/CRC The TSF shall perform **Computation of checksum of applet instance's data**[180] in accordance with a specified cryptographic algorithm **CRC16 or CRC32**[181] and cryptographic key sizes **none**[182] that meet the following: **ISO/IEC 3309**[183].

Application note: the related algorithms in [JCAPI3] are ALG_ISO3309_CRC16 and ALG_ISO3309_CRC32 (class Checksum of javacard.security).

FCS_RNG.1 Random Number Generation

FCS_RNG.1.1 The TSF shall provide a **hybrid deterministic**[184] random number generator that implements **DRG.4 [AIS 20/31]**:

- **(DRG.4.1) "The internal state of the RNG shall use PTRNG of class PTG.2 as random source".**
- **(DRG.4.2) "The RNG provides forward secrecy".**
- **(DRG.4.3) "The RNG provides backward secrecy even if the current internal state is known".**
- **(DRG.4.4) "The RNG provides enhanced forward secrecy after calling the JAVA API "ALG_KEYGENERATION" or "ALG_TRNG"".**
- **(DRG.4.5) "The internal state of the RNG is seeded by an PTRNG of class PTG.2"**[185].

FCS_RNG.1.2 The TSF shall provide **[numbers of 16 bytes]**[186] that meet:

- **(DRG.4.6) "The RNG generates output for which 2^{35} strings of bit length 128 are mutually different with probability greater than or equal to $1 - \frac{1}{2^{58}}$ ".**

[177] [assignment: cryptographic algorithm]
[178] [assignment: cryptographic key sizes]
[179] [assignment: list of standards]
[180] [assignment: list of cryptographic operations]
[181] [assignment: cryptographic algorithm]
[182] [assignment: cryptographic key sizes]
[183] [assignment: list of standards]
[184] [selection: physical, non-physical true, deterministic, hybrid, hybrid deterministic]
[185] [assignment: list of security capabilities]
[186] [selection: bits, octets of bits, numbers [assignment: format of the numbers]]

- **(DRG.4.7) "Statistical tests suites cannot practically distinguish the random numbers from output sequences of an ideal RNG. The random numbers must pass test procedure A [AIS 20/31] chapter 2.4.4.1"**[187].

Application Note: The text of the SFR has been modified in [CC-2]. The modifications have no impact on the conformity with the Protection Profile.

FDP_RIP.1/ABORT	Subset residual information protection

FDP_RIP.1.1/ABORT The TSF shall ensure that any previous information content of a resource is made unavailable upon the **deallocation of the resource from** the following objects: **any reference to an object instance created during an aborted transaction**.

Application note: the events that provoke the de-allocation of a transient object are described in [JCRE3], §5.1.

FDP_RIP.1/APDU	Subset residual information protection

FDP_RIP.1.1/APDU The TSF shall ensure that any previous information content of a resource is made unavailable upon the **allocation of the resource to** the following objects: **the APDU buffer**.

Application note: the allocation of a resource to the APDU buffer is typically performed as the result of a call to the process() method of an applet.

FDP_RIP.1/GlobalArray	Subset residual information protection

FDP_RIP.1.1/GlobalArray (refined) The TSF shall ensure that any previous information content of a resource is made unavailable upon **deallocation of the resource from** *the applet as a result of returning from the process method to* the following objects: **a user Global Array**.

Application note: An array resource is allocated when a call to the API method JCSystem.makeGlobalArray is performed. The Global Array is created as a transient JCRE Entry Point Object ensuring that reference to it cannot be retained by any application. On return from the method which called JCSystem.makeGlobalArray, the array is no longer available to any applet and is deleted and the memory in use by the array is cleared and reclaimed in the next object deletion cycle.

FDP_RIP.1/bArray	Subset residual information protection

FDP_RIP.1.1/bArray The TSF shall ensure that any previous information content of a resource is made unavailable upon the **deallocation of the resource from** the following objects: **the bArray object**.

Application note: a resource is allocated to the bArray object when a call to an applet's install() method is performed. There is no conflict with FDP_ROL.1 here because of the bounds on the rollback mechanism (FDP_ROL.1.2/FIREWALL): the scope of the rollback does not extend outside the execution of the install() method, and the de-allocation occurs precisely right after the return of it.

FDP_RIP.1/KEYS	Subset residual information protection

FDP_RIP.1.1/KEYS The TSF shall ensure that any previous information content of a resource is made unavailable upon the **deallocation of the resource from** the following objects: **the cryptographic buffer (D.CRYPTO)**.

[187] [assignment: a defined quality metric]

Application note: the javacard.security & javacardx.crypto packages do provide secure interfaces to the cryptographic buffer in a transparent way. See javacard.security.KeyBuilder and Key interface of [JCAPI3].

FDP_RIP.1/TRANSIENT	Subset residual information protection

FDP_RIP.1.1/TRANSIENT The TSF shall ensure that any previous information content of a resource is made unavailable upon the **deallocation of the resource from** the following objects: **any transient object**.

Application note:

- The events that provoke the de-allocation of any transient object are described in [JCRE3], §5.1.
- The clearing of CLEAR_ON_DESELECT objects is not necessarily performed when the owner of the objects is deselected. In the presence of multiselectable applet instances, CLEAR_ON_DESELECT memory segments may be attached to applets that are active in different logical channels. Multiselectable applet instances within a same CAP file must share the transient memory segment if they are concurrently active ([JCRE3], §4.3).

FDP_ROL.1/FIREWALL	Basic rollback

FDP_ROL.1.1/FIREWALL The TSF shall enforce **the FIREWALL access control SFP and the JCVM information flow control SFP** to permit the rollback of the **operations OP.JAVA and OP.CREATE** on the **object O.JAVAOBJECT**.

FDP_ROL.1.2/FIREWALL The TSF shall permit operations to be rolled back within the **scope of a select(), deselect(), process(), install() or uninstall() call, notwithstanding the restrictions given in [JCRE3], §7.7, within the bounds of the Commit Capacity ([JCRE3], §7.8), and those described in [JCAPI3]**.

Application note: transactions are a service offered by the APIs to applets. It is also used by some APIs to guarantee the atomicity of some operation. This mechanism is either implemented in Java Card platform or relies on the transaction mechanism offered by the underlying platform. Some operations of the API are not conditionally updated, as documented in [JCAPI3] (see for instance, PIN-blocking, PIN-checking, update of Transient objects).

Card Security Management

FAU_ARP.1	Security alarms

FAU_ARP.1.1 The TSF shall take **one of the following actions: throw an exception, lock the card session, reinitialize the Java Card System and its data,** upon detection of a potential security violation.

Refinement: the "potential security violation" stands for one of the following events:

- CAP file inconsistency,
- typing error in the operands of a bytecode,
- applet life cycle inconsistency,
- card tearing (unexpected removal of the Card out of the CAD) and power failure,
- abort of a transaction in an unexpected context, (see abortTransaction(), [JCAPI3] and ([JCRE3], §7.6.2)
- violation of the Firewall or JCVM SFPs,
- unavailability of resources,
- array overflow,
- **GlobalPlatform card state inconsistency**[188]

[188] [assignment: list of other runtime errors]

Application note: in FAU_ARP.1.1, the [assignment: list of other actions] is set to 'none', meaning that no other actions are defined in this SFR component.

FDP_SDI.2/DATA Stored data integrity monitoring and action

FDP_SDI.2.1/DATA The TSF shall monitor user data stored in containers controlled by the TSF for **integrity errors**[189] on all objects, based on the following attributes: **integrity check data**[190].

FDP_SDI.2.2 Upon detection of a data integrity error, the TSF shall **mute the card and decrease the global fault detection counter. Once the global fault detection counter reaches 0, the card is put in degraded mode.**[191]

Application note: the following data persistently stored by TOE have an integrity check data security attribute:

- Key (i.e. objects instance of classes implemented the interface Key)
- PIN (objects instance of class OwnerPin)
- Package
- GlobalPlatform card state (OP_READY, SECURED, CARD_LOCKED, TERMINATE)

FPR_UNO.1 Unobservability

FPR_UNO.1.1 The TSF shall ensure that **any user**[192] are unable to observe the operation **read, write, cryptographic operations**[193] on **PIN, Key**[194] by **any other user or subject**[195].

FPT_FLS.1/JCS Failure with preservation of secure state

FPT_FLS.1.1/JCS The TSF shall preserve a secure state when the following types of failures occur: **those associated to the potential security violations described in FAU_ARP.1**.

Application note: the Java Card RE Context is the Current context when the Java Card VM begins running after a card reset ([JCRE3], §6.2.3) or after a proximity card (PICC) activation sequence ([JCRE3]). Behavior of the TOE on power loss and reset is described in [JCRE3], §3.6 and §7.1. Behavior of the TOE on RF signal loss is described in [JCRE3], §3.6.1.

FPT_TDC.1 Inter-TSF basic TSF data consistency

FPT_TDC.1.1 The TSF shall provide the capability to consistently interpret **the CAP files, the bytecode and its data arguments** when shared between the TSF and another trusted IT product.

FPT_TDC.1.2 The TSF shall use

- **the rules defined in [JCVM3] specification,**
- **the API tokens defined in the export files of reference implementation,**
- **none**[196]

When interpreting the TSF data from another trusted IT product.

Application note: concerning the interpretation of data between the TOE and the underlying Java Card platform, it is assumed that the TOE is developed consistently with the SCP functions, including memory management, I/O functions and cryptographic functions.

---

189 [assignment: integrity errors]
190 [assignment: user data attributes]
191 [assignment: action to be taken]
192 [assignment: list of users and/or subjects]
193 [assignment: list of operations]
194 [assignment: list of objects]
195 [assignment: list of protected users and/or subjects]
196 [assignment: list of interpretation rules to be applied by the TSF]

AID management

FIA_ATD.1/AID	User attribute definition

FIA_ATD.1.1/AID The TSF shall maintain the following list of security attributes belonging to individual users:

- **CAP File AID,**
- **Package AID,**
- **Applet's version number,**
- **Registered applet AID,**
- **Applet Selection Status**.

Refinement: "Individual users" stand for applets.

FIA_UID.2/AID	User identification before any action

FIA_UID.2.1/AID The TSF shall require each user to be successfully identified before allowing any other TSF-mediated actions on behalf of that user.

Application note:

- By users here it must be understood the ones associated to the CAP files (or applets) that act as subjects of policies. In the Java Card System, every action is always performed by an identified user interpreted here as the currently selected applet or the CAP file that is the subject's owner. Means of identification are provided during the loading procedure of the CAP file and the registration of applet instances.
- The role Java Card RE defined in FMT_SMR.1 is attached to an IT security function rather than to a "user" of the CC terminology. The Java Card RE does not "identify" itself to the TOE, but it is part of it.

FIA_USB.1/AID	User-subject binding

FIA_USB.1.1/AID The TSF shall associate the following user security attributes with subjects acting on the behalf of that user: **CAP file AID**.

FIA_USB.1.2/AID The TSF shall enforce the following rules on the initial association of user security attributes with subjects acting on the behalf of users: **CAP file AID are defined with associated value during loading and with context identifier**[197].

FIA_USB.1.3/AID The TSF shall enforce the following rules governing changes to the user security attributes associated with subjects acting on the behalf of users: **None**[198].

Application note: the user is the applet and the subject is the S.CAP_FILE. The subject security attribute "Context" shall hold the user security attribute "CAP file AID".

FMT_MTD.1/JCRE	Management of TSF data

FMT_MTD.1.1/JCRE The TSF shall restrict the ability to **modify** the **list of registered applets' AIDs** to **the JCRE**.

Application note:

- The installer and the Java Card RE manage other TSF data such as the applet life cycle or CAP files, but this management is implementation specific. Objects in the Java programming language may also try to query AIDs of installed applets through the lookupAID(...) API method.

[197] [assignment: rules for the initial association of attributes]
[198] [assignment: rules for the changing of attributes]

- The installer, applet deletion manager or even the card manager may be granted the right to modify the list of registered applets' AIDs in specific implementations (possibly needed for installation and deletion; see #.DELETION and #.INSTALL).

FMT_MTD.3/JCRE Secure TSF data

FMT_MTD.3.1/JCRE The TSF shall ensure that only secure values are accepted for **the registered applets' AIDs**.

ADELG Security Functional Requirements

This group consists of the SFRs related to the deletion of applets and/or CAP files, enforcing the applet deletion manager (ADEL) policy on security aspects outside the runtime. Deletion is a critical operation and therefore requires specific treatment.

Application note: patch deletion is an extension of applet/package deletion defined in GlobalPlatform as a patch is managed as a JavaCard Package and registered with specific attributes handled with GemActivate.

FDP_ACC.2/ADEL Complete access control

FDP_ACC.2.1/ADEL The TSF shall enforce the **ADEL access control SFP** on **S.ADEL, S.JCRE, S.JCVM, O.JAVAOBJECT, O.APPLET and O.CODE_CAP_FILE** and all operations among subjects and objects covered by the SFP.

Refinement: the operations involved in the policy are: OP.DELETE_APPLET, OP.DELETE_CAP_FILE, and OP.DELETE_CAP_FILE_APPLET.

FDP_ACC.2.2/ADEL The TSF shall ensure that all operations between any subject controlled by the TSF and any object controlled by the TSF are covered by an access control SFP.

FDP_ACF.1/ADEL Security attribute based access control

FDP_ACF.1.1/ADEL The TSF shall enforce the **ADEL access control SFP** to objects based on the following:

Subject / Object	Attributes
S.JCVM	Active Applets
S.JCRE	Selected Applet Context, Registered Applets, Resident CAP files
O.CODE_CAP_FILE	CAP file AID, AIDs of packages within a CAP file, Dependent package AID, Static References
O.APPLET	Applet Selection Status
O.JAVAOBJECT	Owner, Remote

FDP_ACF.1.2/ADEL The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

- **In the context of this policy, an object O is reachable if and only one of the following conditions hold:**
  1) **the owner of O is a registered applet instance A (O is reachable from A),**
  2) **a static field of a resident package P contains a reference to O (O is reachable from P),**
  3) **there exists a valid remote reference to O (O is remote reachable),**
  4) **there exists an object O' that is reachable according to either (1) or (2) or (3) above and O' contains a reference to O (the reachability status of O is that of O').**
- **The following access control rules determine when an operation among controlled subjects and objects is allowed by the policy:**
  - **R.JAVA.14 ([JCRE3], §11.3.4.2, Applet Instance Deletion): S.ADEL may perform OP.DELETE_APPLET upon an O.APPLET only if,**
    1) **S.ADEL is currently selected,**
    2) **there is no instance in the context of O.APPLET that is active in any logical channel and**

3) **there is no O.JAVAOBJECT owned by O.APPLET such that either O.JAVAOBJECT is reachable from an applet instance distinct from O.APPLET, or O.JAVAOBJECT is reachable from a package P, or ([JCRE3], §8.5) O.JAVAOBJECT is remote reachable.**

- **R.JAVA.15 ([JCRE3], §11.3.4.2.1, Multiple Applet Instance Deletion): S.ADEL may perform OP.DELETE_APPLET upon several O.APPLET only if,**
  1) **S.ADEL is currently selected,**
  2) **there is no instance of any of the O.APPLET being deleted that is active in any logical channel and**
  3) **there is no O.JAVAOBJECT owned by any of the O.APPLET being deleted such that either O.JAVAOBJECT is reachable from an applet instance distinct from any of those O.APPLET, or O.JAVAOBJECT is reachable from a CAP file P, or ([JCRE3], §8.5) O.JAVAOBJECT is remote reachable.**
- **R.JAVA.16 ([JCRE3], §11.3.4.3, Applet/Library CAP file Deletion): S.ADEL may perform OP.DELETE_CAP_FILE upon an O.CODE_CAP_FILE only if,**
  1) **S.ADEL is currently selected,**
  2) **no reachable O.JAVAOBJECT, from a CAP file distinct from O.CODE_CAP_FILE that is an instance of a class that belongs to O.CODE_CAP_FILE, exists on the card and**
  3) **there is no resident package on the card that depends on O.CODE_CAP_FILE.**
- **R.JAVA.17 ([JCRE3], §11.3.4.4, Applet CAP file and Contained Instances Deletion): S.ADEL may perform OP.DELETE_CAP_FILE_APPLET upon an O.CODE_CAP_FILE only if,**
  1) **S.ADEL is currently selected,**
  2) **no reachable O.JAVAOBJECT, from a CAP file distinct from O.CODE_CAP_FILE, which is an instance of a class that belongs to O.CODE_CAP_FILE exists on the card,**
  3) **there is no CAP file loaded on the card that depends on O.CODE_CAP_FILE, and**
  4) **for every O.APPLET of those being deleted it holds that: (i) there is no instance in the context of O.APPLET that is active in any logical channel and (ii) there is no O.JAVAOBJECT owned by O.APPLET such that either O.JAVAOBJECT is reachable from an applet instance not being deleted, or O.JAVAOBJECT is reachable from a CAP file not being deleted, or ([JCRE3], §8.5) O.JAVAOBJECT is remote reachable.**

FDP_ACF.1.3/ADEL The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: **none**.

FDP_ACF.1.4/ADEL The TSF shall explicitly deny access of subjects to objects based on the following additional rules: **any subject but S.ADEL to O.CODE_PKG or O.APPLET for the purpose of deleting them from the card**.

Application note, FDP_ACF.1.2/ADEL:

- This policy introduces the notion of reachability, which provides a general means to describe objects that are referenced from a certain applet instance or CAP file.
- S.ADEL calls the "uninstall" method of the applet instance to be deleted, if implemented by the applet, to inform it of the deletion request. The order in which these calls and the dependencies checks are performed are out of the scope of this security target.

FDP_RIP.1/ADEL Subset residual information protection

FDP_RIP.1.1/ADEL The TSF shall ensure that any previous information content of a resource is made unavailable upon the **deallocation of the resource from** the following objects: **applet instances and/or CAP files when one of the deletion operations in FDP_ACC.2.1/ADEL is performed on them**.

Application note: deleted freed resources (both code and data) may be reused, depending on the way they were deleted (logically or physically). Requirements on de-allocation during applet/CAP file deletion are described in [JCRE3], §11.3.4.1, §11.3.4.2 and §11.3.4.3.

FMT_MSA.1/ADEL Management of security attributes

FMT_MSA.1.1/ADEL The TSF shall enforce the **ADEL access control SFP** to restrict the ability to **modify** the security attributes **Registered Applets and Resident CAP files** to **the Java Card RE**.

Application note: patch deletion is an extension of applet/package deletion defined in GlobalPlatform as a patch is managed as a JavaCard Package and registered with specific attributes.

FMT_MSA.3/ADEL Static attribute initialization

FMT_MSA.3.1/ADEL The TSF shall enforce the **ADEL access control SFP** to provide **restrictive** default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/ADEL The TSF shall allow the **following role(s): none,** to specify alternative initial values to override the default values when an object or information is created.

Application note: patch deletion is an extension of applet/package deletion defined in GlobalPlatform as a patch is managed as a JavaCard Package and registered with specific attributes.

FMT_SMF.1/ADEL Specification of Management Functions

FMT_SMF.1.1/ADEL The TSF shall be capable of performing the following management functions: **modify the list of registered applets' AIDs and the Resident CAP files**.

FMT_SMR.1/ADEL Security roles

FMT_SMR.1.1/ADEL The TSF shall maintain the roles**: applet deletion manager**.

FMT_SMR.1.2/ADEL The TSF shall be able to associate users with roles.

FPT_FLS.1/ADEL Failure with preservation of secure state

FPT_FLS.1.1/ADEL The TSF shall preserve a secure state when the following types of failures occur: **the applet deletion manager fails to delete a CAP file/applet as described in [JCRE3], §11.3.4**.
Application note:

- The TOE may provide additional feedback information to the card manager in case of a potential security violation (see FAU_ARP.1).
- The CAP file/applet instance deletion must be atomic. The "secure state" referred to in the requirement must comply with Java Card specification ([JCRE3], §11.3.4.)

Application note: patch deletion is an extension of applet/package deletion defined in GP as a patch is managed as a JavaCard Package and registered with specific attributes.

ODELG Security Functional Requirements

The following requirements concern the object deletion mechanism. This mechanism is triggered by the applet that owns the deleted objects by invoking a specific API method.

FDP_RIP.1/ODEL Subset residual information protection

FDP_RIP.1.1/ODEL The TSF shall ensure that any previous information content of a resource is made unavailable upon the **deallocation of the resource from** the following objects: **the objects owned by the context of an applet instance which triggered the execution of the method javacard.framework.JCSystem.requestObjectDeletion()**.

Application note:

- Freed data resources resulting from the invocation of the method javacard.framework.JCSystem.requestObjectDeletion() may be reused. Requirements on de-allocation after the invocation of the method are described in [JCAPI3].

- There is no conflict with FDP_ROL.1 here because of the bounds on the rollback mechanism: the execution of requestObjectDeletion() is not in the scope of the rollback because it must be performed in between APDU command processing, and therefore no transaction can be in progress.

FPT_FLS.1/ODEL	Failure with preservation of secure state

FPT_FLS.1.1/ODEL The TSF shall preserve a secure state when the following types of failures occur: **the object deletion functions fail to delete all the unreferenced objects owned by the applet that requested the execution of the method**.

Application note: the TOE may provide additional feedback information to the card manager in case of potential security violation (see FAU_ARP.1).

SCP Security Functional Requirements

This section states the security functional requirements for the Smart Card Platform.

Operating System

This section presents those requirements of the Smart Card Platform group that concern the Operating System. Due to the enlargement of the evaluation scope, the requirements related to OS are now assigned to the TOE and no more to the environment. Other internal security mechanisms are not addressed by SFR but ADV_ARC activities.

FPT_RCV.3/OS	Automated recovery without undue loss

FPT_RCV.3.1/OS When automated recovery from **none, see application note below**[199] is not possible, the TSF shall enter a maintenance mode where the ability to return to a secure state is provided.

FPT_RCV.3.2/OS For **execution access to a memory zone reserved for TSF data, writing access to a memory zone reserved for TSF's code, and any segmentation fault performed by a Java Card applet**[200] the TSF shall ensure the return of the TOE to a secure state using automated procedures.

FPT_RCV.3.3/OS The functions provided by the TSF to recover from failure or service discontinuity shall ensure that the secure initial state is restored without exceeding

- **the contents of Java Card static fields, instance fields, and array positions that fall under the scope of an open transaction;**
- **the Java Card objects that were allocated into the scope of an open transaction;**
- **the contents of Java Card transient objects;**
- **any possible Executable Load File being loaded when the failure occurred**[201]

for loss of TSF data or objects under the control of the TSF.

FPT_RCV.3.4/OS The TSF shall provide the capability to determine the objects that were or were not capable of being recovered.

Application note: there is no maintenance mode implemented within the TOE. Recovery is always enforced automatically as stated in FPT_RCV.3.2/OS.

FPT_RCV.4/OS	Function recovery

[199] [assignment: list of failures/service discontinuities during card content management operations]
[200] [assignment: list of failures/service discontinuities during card content management operations]
[201] [assignment: quantification]

FPT_RCV.4.1/OS The TSF shall ensure that **reading from and writing to static and objects' fields interrupted by power loss**[202] have the property that the function either completes successfully, or for the indicated failure scenarios, recovers to a consistent and secure state.

Security Functional Requirements from 'Sensitive Array' package

Package SensitiveArrays defines mechanism for creating and handling integrity-sensitive array objects.

FDP_SDI.2/ARRAY Stored data integrity monitoring and action

FDP_SDI.2.1/ARRAY The TSF shall monitor user data stored in containers controlled by the TSF for **integrity errors** on all objects, based on the following attributes: **user data stored in arrays created by the makeIntegritySensitiveArray() method of the javacard.framework.SensitiveArrays class**.

FDP_SDI.2.2/ARRAY Upon detection of a data integrity error, the TSF shall **throw an exception**.

Security Functional Requirements from 'Sensitive Result' package

Package SensitiveResult defines mechanism for asserting results of sensitive functions.

FDP_SDI.2/RESULT Stored data integrity monitoring and action

FDP_SDI.2.1/RESULT The TSF shall monitor user data stored in containers controlled by the TSF for **integrity errors** on all objects, based on the following attributes: **sensitive API result stored in the javacardx.security.SensitiveResult class**.

FDP_SDI.2.2/RESULT Upon detection of a data integrity error, the TSF shall **throw an exception**.

Refinement: in addition of throwing an exception, the TSF will mute the card further if redundancy checking of data integrity detects an error.

Security Functional Requirements from 'Monotonic counters' package

Package MonotonicCounter defines mechanism for creating a counter that can only be increased.

FDP_SDI.2/MONOTONIC_COUNTER Stored data integrity monitoring and action

FDP_SDI.2.1/MONOTONIC_COUNTER The TSF shall monitor user data stored in containers controlled by the TSF for **integrity errors** on all objects, based on the following attributes: **stored user data i.e. the counter value in the MonotonicCounter object.**

FDP_SDI.2.2/ MONOTONIC_COUNTER Upon detection of a data integrity error, the TSF shall **throw an exception**.

Application Note: This requirement applies to MonotonicCounter objects created by the getInstance() method of the javacardx.security.util.MonotonicCounter class.

Security Functional Requirements from 'Cryptographic Certificate Management' package

Package Cryptographic Certificate Management defines mechanism for secure management of public key certificates.

FDP_SDI.2/CRT_MNGT Stored data integrity monitoring and action

[202] [assignment: list of functions and failure scenarios]

FDP_SDI.2.1/CRT_MNGT The TSF shall monitor user data stored in containers controlled by the TSF for **integrity errors** on all objects, based on the following attributes: **cryptographic certificate.**

FDP_SDI.2.2/ CRT_MNGT Upon detection of a data integrity error, the TSF shall **throw an exception**.

Application Note: This requirement applies to Certificate objects as the javacardx.security.cert package is supported.

FCS_COP.1/CRT_MNGT Cryptographic operation

FCS_COP.1.1/CRT_MNGT The TSF shall perform **verification of X.509 Certificate**[203] in accordance with a specified cryptographic algorithm **as mentioned in the application note below**[204] and cryptographic key sizes **as mentioned in the application note below**[205] that meet the following: **standards mentioned in the application note below**[206].

Application note: X.509 certificates are verified according to the following table listing the algorithms, key sizes and related standards:

Cryptographic algorithm	Cryptographic key sizes	Standard
ALG_TYPE_EC_FP_PUBLIC	LENGTH_EC_FP_160 LENGTH_EC_FP_192 LENGTH_EC_FP_224 LENGTH_EC_FP_256 LENGTH_EC_FP_384 LENGTH_EC_FP_521	IEEE P1363
ALG_TYPE_RSA_PUBLIC	LENGTH_RSA_1024 LENGTH_RSA_1280 LENGTH_RSA_1536 LENGTH_RSA_1984 LENGTH_RSA_2048 LENGTH_RSA_3072	NIST SP800-56Ar2

Security Functional Requirements from 'Key Derivation Functions' package

Package Key Derivation defines classes implementing cryptographic derivation functions.

FCS_CKM.5/KDF Cryptographic Key Derivation

FCS_CKM.5.1/KDF The TSF shall derive cryptographic keys **Keys generated according to the Key Derivation Functions mentioned in the application note below**[207] from **Key Derivation Buffer**[208] in accordance with a specified key derivation algorithm **as mentioned in the application note below**[209] and specified cryptographic key sizes **as mentioned in the application note below**[210] that meet the following: **standards listed in the application note below**[211].

Application note: the following table lists the available key derivation options for FCS_CKM.5/KDF:

Key Derivation Function	Cryptographic algorithms as defined in [JCAPI310]	Key sizes as defined in [JCAPI310]	Standards

[203] [assignment: verification of X.509 Certificate]
[204] [assignment: cryptographic algorithm]
[205] [assignment: cryptographic key sizes]
[206] [assignment: list of standards]
[207] [assignment: key type]
[208] [assignment: input parameters]
[209] [assignment: key derivation algorithm]
[210] [assignment: list of key sizes]
[211] [assignment: list of standards]

ALG_KDF_COUNTER_MODE	Signature.ALG_HMAC_SHA1 Signature.ALG_HMAC_SHA_256 Signature.ALG_AES_CMAC_128	LENGTH_SHA LENGTH_SHA_256 LENGTH_AES_128	NIST SP800-108
ALG_PRF_TLS12	Signature.ALG_HMAC_SHA1 Signature.ALG_HMAC_SHA_256	LENGTH_SHA LENGTH_SHA_256	IETF RFC 5246
ALG_KDF_ICAO_MRTD	MessageDigest.ALG_SHA MessageDigest.ALG_SHA_256	LENGTH_SHA LENGTH_SHA_256	ICAO MRTD Doc 9303
ALG_KDF_ANSI_X9_63	MessageDigest.ALG_SHA_224 MessageDigest.ALG_SHA_256 MessageDigest.ALG_SHA_384 MessageDigest.ALG_SHA_512 MessageDigest.ALG_SHA3_224 MessageDigest.ALG_SHA3_256 MessageDigest.ALG_SHA3_384 MessageDigest.ALG_SHA3_512	LENGTH_SHA_224 LENGTH_SHA_256 LENGTH_SHA_384 LENGTH_SHA_512 LENGTH_SHA3_224 LENGTH_SHA3_256 LENGTH_SHA3_384 LENGTH_SHA3_512	ANSI X9.63
ALG_KDF_HKDF	Signature.ALG_HMAC_SHA1 Signature.ALG_HMAC_SHA_256	LENGTH_SHA LENGTH_SHA_256	IETF RFC 5869

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile.

Security Functional Requirements from 'System Time' package

Package System Time defines mechanism for handling system time, suitable for timestamps or for estimating intervals between events.

FPT_STM.1/SYS_TIME

FPT_STM.1.1/SYS_TIME The TSF shall be able to provide reliable time stamps.

Application note: This requirement applies as optional javacardx.framework.time package is supported.

9.1.4 Typographical conventions for [PP-CSP]

The following conventions are used in the definitions of the SFRs from [PP-CSP]:

The **refinement** operation is used to add detail to a requirement, and thus further restricts a requirement. Refinement of security requirements is (i) denoted by the word "refinement" in **bold** text and the added/changed words are in bold text, or (ii) directly included in the requirement text as **bold** text. In cases where words from a CC requirement component were deleted, these words are ~~crossed out~~.

The **selection** operation is used to select one or more options provided by the CC in stating a requirement. Selections that have been made by the ST authors are denoted as *italic* text and the original text of the PP component is given by a footnote. Selections filled in by the ST author appear in square brackets with an indication that a selection is to be made, [selection:], and are *italicized*.

The **assignment** operation is used to assign a specific value to an unspecified parameter, such as the length of a password. Assignments that have been made by the ST authors are denoted by showing as *italic* text and the original text of the PP component is given by a footnote. Assignments filled in by the ST author appear in square brackets with an indication that an assignment is to be made [assignment:], and are *italicized*.

The **iteration** operation is used when a component is repeated with varying operations. Iteration is denoted by showing a slash "/" and the iteration indicator after the component identifier.

9.1.5 [PP-CSP] Protection Profile

The TOE provides cryptographic security services for encryption and decryption of user data, entity authentication of external entities and to external entities, authentication prove and verification of user data, trusted channel and random number generation.

The TOE enforces the Cryptographic Operation SFP for protection of theses cryptographic services which subjects, objects, and operations are defined in the SFRs FDP_ACC.1/Oper and FDP_ACF/Oper.

The TOE provides hybrid encryption and decryption combined with data integrity mechanisms for the cipher text as cryptographic security service of the TOE. The encryption FCS_COP.1/HEM combines the generation of a data encryption key and message authentication code (MAC) key, the asymmetric encryption of the data encryption key with an asymmetric key encryption key, cf. FCS_CKM.1/ECKA-EG, FCS_CKM.1/RSA, and the symmetric encryption of the data with the data encryption key and data integrity mechanism with MAC calculation for the cipher text. The receiver reconstructs the data encryption key and the MAC key, cf. FCS_CKM.5/ECKA-EG, calculates the MAC for the cipher text and compares it with the received MAC. If the integrity of the cipher text is determined than the receiver decrypts the cipher text with the data decryption key, cf. FCS_COP.1/HDM.

In general, authentication is the provision of assurance of the claimed identity of an entity. The TOE authenticates human users by password, cf. FIA_UAU.5.1 clause 1. But a human user may authenticate themselves to a token and the token authenticates to the TOE. Cryptographic authentication mechanisms allow an entity to prove its identity or the origin of its data to a verifying entity by demonstrating its knowledge of a secret. The entity authentication is required by FIA_UAU.5.1 clauses (2) to (6). The chapter 8.3 describes SFR for the authentication of the TOE to external entities required by the SFR FIA_API.1. This authentication may include attestation of the TOE as genuine TOE sample, cf. 9.1.5.4. The authentication may be mutual as required for trusted channels in chapter 9.1.5.5

Protocols may use symmetric cryptographic algorithms, where the proving and the verifying entity using the same secret key, may demonstrate that the proving entity belongs to a group of entities sharing this key, e.g. sender and receiver (cf. FTP_ITC.1, FCS_COP.1/TCM). In case of asymmetric entity authentication mechanisms the proving entity uses a private key and the verifying entity uses the corresponding public key closely linked to the claimed identity often by means of a certificate. The same cryptographic mechanisms for digital signature generation algorithm (FCS_COP.1/CDS-*) and signature verification algorithm (cf. FCS_COP.1/VDS-*) may be used for entity authentication, data authentication and non-repudiation depending on the security attributes of the cryptographic keys e.g. encoded in the certificate (cf. FPT_ISA.1/Cert).

Trusted channel requires mutual authentication of endpoints with key exchange of key agreement, protection of confidentiality by means of encryption and cryptographic data integrity protection.

The TSF provides security management for user and TSF data including cryptographic keys. The key management comprises administration and use of generation, derivation, registration, certification, deregistration, distribution, installation, storage, archiving, revocation and destruction of keying material in accordance with a security policy. The key management of the TOE supports the generation, derivation, export, import, storage and destruction of cryptographic keys. The cryptographic keys are managed together with their security attributes.

The TOE enforces the Key Management SFP to protect the cryptographic keys (as data objects fo TSF data) and the key management services (as operation, cf. to SFR of the FMT class) provided for Administrators, Crypto-Officers, Key Owners and (as subjects). Note the cryptographic keys will be used for cryptographic operations under Cryptographic Operation SFP as well.

The subjects, objects and operations of the Update SFP are defined in the SFR FDP_ACC.1/UCP and FDP_ACF.1/UCP.

The SFR for cryptographic mechanisms based on elliptic curves refer to the following table for selection of curves, key sizes and standards.

Elliptic curve	Key size	Standard
brainpoolP256r1	*256 bits*	*RFC5639 [RFC5639], TR-03111, section 4.1.3 [TR-03111]*
brainpoolP384r1,	*384 bits*	*RFC5639 [RFC5639], TR-03111, section 4.1.3 [TR-03111]*
brainpoolP512r1	*512 bits*	*RFC5639 [RFC5639], TR-03111, section 4.1.3 [TR-03111]]*
Curve P-256	*256 bits*	*FIPS PUB 186-4 B.4 and D.1.2.3 [FIPS PUB 186-4]*
Curve P-384	*384 bits*	*FIPS PUB 186-4 B.4 and D.1.2.4 [FIPS PUB 186-4]*
Curve P-521	*521 bits*	*FIPS PUB 186-4 B.4 and D.1.2.5 [FIPS PUB 186-4]*

***Table 27*: Elliptic curves, key sizes and standards**

For Diffie-Hellman key exchange refer to the following groups

Name	IANA no.	Specified in
256-bit random ECP group	19	[RFC5903]
384-bit random ECP group	20	[RFC5903]
521-bit random ECP group	21	[RFC5903]
brainpoolP256r1	28	[RFC6954]
brainpoolP384r1	29	[RFC6954]
brainpoolP512r1	30	[RFC6954]

***Table 28*: Recommended groups for the Diffie-Hellman key exchange**

9.1.5.1 Key Management

Management of security attributes

FDP_ACC.1/KM Subset access control – Cryptographic operation

Hierarchical to: No other components.

Dependencies: FDP_ACF.1 Security attribute based access control

FDP_ACC.1.1/KM The TSF shall enforce the *Key Management SFP* on

(1) *subjects: [selection: Administrator][212], Key Owner;*
(2) *objects: operational cryptographic keys*;
(3) *operations: key generation, key derivation, key import, key export, key*

[212] [assignment: subjects: [selection: Administrator, Crypto-Officer]]

destruction.

FMT_MSA.1/KM Management of security attributes – Key security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

FMT_SMR.1/CSP Security roles

FMT_SMF.1/CSP Specification of Management Functions

FMT_MSA.1.1/KM The TSF shall enforce the *Key Management SFP and Cryptographic Operation SFP* to restrict the ability to

(1) change_default the security attributes Identity of the key, Key entity of the key, Key type, Key usage type, Key access control attributes, Key validity time period to *[selection: Administrator]*[213],

(2) modify or delete the security attributes Identity of the key, Key entity, Key type, Key usage type, Key validity time period of an existing key to none,

(3) modify independent on key usage the security attributes Key usage counter of an existing key to none.

(4) modify the security attributes Key access control attribute of an existing key to [selection: Administrator]*[214]*,

(5) query the security attributes Key type, Key usage type, Key access control attributes, Key validity time period and Key usage counter of an identified key to [selection: Key Owner]*[215]*.

Application note: The refinements repeats parts of the SFR component in order to avoid iteration of the component.

FMT_MSA.3/KM Static attribute initialization – Key management

Hierarchical to: No other components.

Dependencies: FMT_MSA.1 Management of security attributes

FMT_SMR.1/CSP Security roles

FMT_MSA.3.1/KM The TSF shall enforce the *Key Management SFP, Cryptographic Operation SFP and Update SFP* to provide *restrictive* default values for security attributes that are used to enforce the SFP.

FMT_MSA.3.2/KM The TSF shall allow the [selection: *Administrator*][216] to specify alternative initial values to override the default values when a **cryptographic key** ~~object or information~~ is created.

[213] [*selection: Administrator, Crypto-Officer*]
[214] [*selection: Administrator, Crypto-Officer*]
[215] [selection: Administrator, Crypto-Officer, Key Owner]
[216] [selection: *Administrator, Crypto-Officer*]

FMT_MTD.1/KM Management of TSF data – Key management

Hierarchical to: No other components.

Dependencies: FMT_SMR.1/CSP Security roles

FMT_SMF.1/CSP Specification of Management Functions

FMT_MTD.1.1/KM The TSF shall restrict the ability to

(1) *create according to FCS_CKM.1* the *cryptographic keys* to [selection: *Administrator, Key Owner*][217],

***(2) import according to FPT_TCT.1/CK, FPT_TIT.1/CK and FPT_ISA.1/CK* the *cryptographic keys* to *[selection: Administrator]*[218],**

(3) export according to FPT_TCT.1/CK, FPT_TIT.1/CK and FPT_ESA.1/CK* the *cryptographic keys* to *[selection: Administrator, Key Owner]*[219] *if security attribute of the key allows export,

***(4) delete according to FCS_CKM.6/CSP* the *cryptographic keys* to *[selection: Administrator, Key Owner]*[220].**

Application note: The bullets (2) to (4) are refinements to avoid an iteration of component and therefore printed in bold

Hash based functions

FCS_COP.1/Hash-CSP Cryptographic operation – Hash

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/Hash-CSP The TSF shall perform *hash generation* in accordance with a specified cryptographic algorithm *SHA-256, SHA-384, SHA-512* and cryptographic key sizes *none* that meet the following: *FIPS 180-4 [FIPS PUB 180-4]*.

Application note: The hash function is a cryptographic primitive used for HMAC, cf. FCS_COP.1/HMAC-CSP, digital signature creation, cf. FCS_COP.1/CDS-*, digital signature verification, cf. FCS_COP.1/VDS-*, and key derivation, cf. FCS_CKM.5.

Management of Certificates

FMT_MTD.1/RK Management of TSF data – Root key

Hierarchical to: No other components.

[217] [selection: *Administrator, Crypto-Officer, Key Owner*]
[218] *[selection: Administrator, Crypto-Officer]*
[219] *[selection: Administrator, Crypto-Officer, Key Owner]*
[220] *[selection: Administrator, Crypto-Officer, Key Owner]*

Dependencies: FMT_SMR.1/CSP Security roles

FMT_SMF.1/CSP Specification of Management Functions FMT_MTD.1.1/RK The TSF shall restrict the ability to

(1) *create, modify, clear and delete* the *root key pair* to [selection: *Administrator*][221].

(2) **import and delete a known as authentic public key of a certification authority in a PKI to [selection: Administrator][222]**

Application note: The root key is defined here with respect to the key hierarchy known to the TOE. In case of clause (1), i. e. may be a key pair of a TOE internal key hierarchy. In clause (2) it may be a root public key of a PKI or a public key of another certification authority in a PKI known as authentic certificate signing key. The PKI may be used for user authentication, key management and signature-verification. The second bullet is a refinement to avoid an iteration of component and therefore printed in bold.

FPT_TIT.1/Cert TSF data integrity transfer protection – Certificates

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

[FMT_MTD.1 Management of TSF data or

FMT_MTD.3 Secure TSF data]

FPT_TIT.1.1/Cert The TSF shall enforce the *Key Management SFP* to *receive* **certificate** ~~TSF data~~ in a manner protected from *modification and insertion* errors.

FPT_TIT.1.2/Cert The TSF shall be able to determine on receipt of **certificate** ~~TSF data~~, whether *modification and insertion* has occurred.

FPT_ISA.1/Cert Import of TSF data with security attributes - Certificates

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

[FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]

[FMT_MSA.1 Management of security attributes, or

FMT_MSA.4 Security attribute value inheritance]

FPT_TDC.1 Inter-TSF basic TSF data consistency

FPT_ISA.1.1/Cert The TSF shall enforce the *Key management SFP* when importing **certificates** ~~TSF data~~, controlled under the SFP, from outside of the TOE.

FPT_ISA.1.2/Cert The TSF shall use the security attributes associated with the imported **certificate** ~~TSF data~~.

[221] [selection: *Administrator, Crypto-Officer*]
[222] [selection: Administrator, Crypto-Officer]

FPT_ISA.1.3/Cert The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the **certificates** ~~TSF data~~ received.

FPT_ISA.1.4/Cert The TSF shall ensure that interpretation of the security attributes of the imported **certificates** ~~TSF data~~ is as intended by the source of the **certificates** ~~TSF data~~.

FPT_ISA.1.5/Cert The TSF shall enforce the following rules when importing **certificates** ~~TSF data~~ controlled under the SFP from outside the TOE:

(1) *The TSF imports the TSF data in certificates only after successful verification of the validity of the certificate in the certificate chain until known as authentic certificate according to FMT_MTD.1/RK.*

(2) *The validity verification of the certificate shall include*

(a) *the verification of the digital signature of the certificate issuer except for root certificates,*

(b) *the security attributes in the certificate pass the interpretation according to FPT_TDC.1*.

FPT_TDC.1/Cert Inter-TSF basic TSF data consistency - Certificate

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TDC.1.1/Cert The TSF shall provide the capability to consistently interpret *security attributes of cryptographic keys in the certificate and identity of the certificate issuer* when shared between the TSF and another trusted IT product.

FPT_TDC.1.2/Cert The TSF shall use **the following rules**:

(1) *the TOE reports about conflicts between the Key identity of stored cryptographic keys and cryptographic keys to be imported,*

(2) *the TOE does not change the security attributes Key identity, Key entity, Key type, Key usage type and Key validity time period of public key being imported from the certificate,*

(3) *the identity of the certificate issuer shall meet the identity of the signer of the certificate w*hen interpreting **the certificate from a trust center** ~~TSF data from another trusted IT product~~**.**

Application note: The security attributes assigned to certificate holder and cryptographic key in the certificate are used as TSF data of the TOE. The certificate is imported from trust center directory service or any other source but verified by the TSF (i.e. if verified successfully the source is the trusted IT product trust center directory server).

Key generation, agreement and destruction

Key generation (cf. FCS_CKM.1/ECC, FCS_CKM.1/RSA) is a randomized process which uses random secrets (cf. FCS_RNG.1/CSP), applies key generation algorithms and defines security attributes depending on the intended use of the keys and which has the property that it is computationally infeasible to deduce the output without prior knowledge of the secret input. *Key derivation* (cf. FCS_CKM.5/ECC) is a deterministic process by which one or more keys are calculated from a pre-

shared key or shared secret or other information. It allows repeating the key generation if the same input is provided. *Key agreement* (cf. FCS_CKM.5/ECDHE) is a key-establishment procedure process for establishing a shared secret key between entities in such a way that neither of them can predetermine the value of that key independently of the other party's contribution. Key agreement allows each participant to enforce the cryptographic quality of the agreed key. The component FCS_CKM.1 was refined for key agreement because it normally uses random bits as input. Hybrid cryptosystems (FCS_CKM.1/ECKA-EG, FCS_CKM.1/AES_RSA) are a combination of a public key cryptosystem with an efficient symmetric key cryptosystem.

The user may need to specify the type of key, the cryptographic key generation algorithm, the security attributes and other necessary parameters.

FCS_RNG.1/CSP Random number generation

Hierarchical to: No other components.

Dependencies: No dependencies.

FCS_RNG.1.1/CSP The TSF shall provide a [selection: *hybrid deterministic*[223]**]** random number generator that implements [assignment: *DRG.4 [AIS 20/31]:*

- *(DRG.4.1) "The internal state of the RNG shall use PTRNG of class PTG.2 as random source".*
- *(DRG.4.2) "The RNG provides forward secrecy".*
- *(DRG.4.3) "The RNG provides backward secrecy even if the current internal state is known".*
- *(DRG.4.4) "The RNG provides enhanced forward secrecy after calling the JAVA API "ALG_KEYGENERATION" or "ALG_TRNG"".*
- *(DRG.4.5) "The internal state of the RNG is seeded by an PTRNG of class PTG.2"*[224]*.*

FCS_RNG.1.2/CSP The TSF shall provide [selection: *numbers of 16 bytes*][225] that meet [assignment:

- *(DRG.4.6) "The RNG generates output for which 2^{35} strings of bit length 128 are mutually different with probability greater than or equal to* $1 - \frac{1}{2^{58}}$ *".*
- *(DRG.4.7) "Statistical tests suites cannot practically distinguish the random numbers from output sequences of an ideal RNG. The random numbers must pass test procedure A [AIS 20/31] chapter 2.4.4.1"*[226]*.*

Application note: The random bit generation shall be used for key generation and key agreement according to all instantiations of FCS_CKM.1, challenges in cryptographic protocols and cryptographic operations using random values according to FCS_COP.1/HEM and FCS_COP.1/TCE. The TOE provides the random number generation as security service for the user.

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile.

FCS_CKM.1/AES-CSP Cryptographic key generation – AES key

Hierarchical to: No other components.

[223] [selection: physical, non-physical true, deterministic, hybrid, hybrid deterministic]
[224] [assignment: list of security capabilities]
[225] [selection*: bits, octets of bits, numbers* [assignment: *format of the numbers*]]
[226] [assignment: a defined quality metric]

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/AES-CSP The TSF shall generate cryptographic **AES** keys in accordance with a specified cryptographic key generation algorithm *AES* and specified cryptographic key sizes *128 bits, [*selection: *256 bits]*[227] that meet the following: *ISO 18033-3 [ISO/IEC 18033-3]*.

Application note: The cryptographic key may be used with FCS_COP.1/ED, e. g. for internal purposes.

FCS_CKM.5/AES Cryptographic key derivation – AES key derivation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.5.1/AES The TSF shall derive cryptographic keys [assignment: *AES key*][228] from [assignment: *Key derivation buffer*][229] in accordance with a specified key derivation algorithm [assignment: *AES key generation using bit string derived from input parameters with KDF]* [230] and specified cryptographic key sizes [assignment: *128 bits]*[231] that meet the following: [assignment: *NIST SP800- 56C [NIST-SP800-56C]]*[232].

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile as the performed operations are compatible to the ones performed in the PP.

FCS_CKM.1/ECC Cryptographic key generation – Elliptic curve key pair ECC

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/ECC The TSF shall generate cryptographic **elliptic curve** keys ~~s~~ ***pair*** in accordance with a specified cryptographic key generation algorithm *ECC key pair generation with [selection: all elliptic curves in the* Table 27*]*[233] and specified cryptographic key sizes *[selection: all key size in the* Table 27*]*[234] that meet the following: *[selection: all standards in the* Table 27*]*[235].

---

[227] [selection: *256 bits, no other key size]*
[228] [assignment: *key type*]
[229] [assignment: *input parameters*]
[230] *[assignment: key derivation algorithm]*
[231] [*assignment: list of key sizes]*
[232] *[assignment: list of standards]*
[233] *[selection: elliptic curves in the table]*
[234] *[selection: key size in the table]*
[235] *[selection: standards in the table]*

Application note: The elliptic key pair generation uses a random bit string as input for the ECC key generation algorithm. The keys generation according to FCS_CKM.1/ECC and key derivation according to FCS_CKM.5/ECC are intended for different key management use cases but the keys itself may be used for same cryptographic operations.

FCS_CKM.5/ECC Cryptographic key derivation – ECC key pair derivation

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.5.1/ECC The TSF shall derive cryptographic keys [assignment: *elliptic curve keys pair]*[236] from [assignment: *Key derivation buffer*][237] in accordance with a specified key derivation algorithm [assignment: *ECC key pair generation with all elliptic curves in* Table 27 *using bit string derived from input parameters with KDF]*[238] and specified cryptographic key sizes *[selection: all key size in the* Table 27*]*[239] that meet the following: *[assignment: all standards in the* Table 27*]*[240]*, [TR-03111]*.

Application note: The elliptic key pair derivation applies a key derivation function (KDF), e.g. from *[TR-03111] (Section 4.3.3.)* to the input parameter. It uses the output string of KDF instead of the random bit string as input for the ECC key generation algorithm (*[TR-03111], Section 4.1.1, Algorithms 1 or 2)*. The input parameters shall include a secret of the length at least of the key size to ensure the confidentiality of the private key. The input parameters may include public known values or even values provided by external entities.

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile as the performed operations are compatible to the ones performed in the PP.

FCS_CKM.1/RSA-CSP Cryptographic key generation – RSA key pair

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/RSA-CSP The TSF shall generate cryptographic **RSA** key **pair** in accordance with a specified cryptographic key generation algorithm *RSA* and specified cryptographic key sizes [assignment: *2048 and 3072 bits*] that meet the following: *PKCS #1 v2.2 [PKCS#1]*.

Application note: The cryptographic key sizes assigned in FCS_CKM.1/RSA-CSP must be at least 2000 bits. Cryptographic key sizes of at least 3000 bits are recommended. The FCS_CKM.1/RSA-CSP assigns given security attributes *Key identity* and *Key entity.* The security attribute *Key usage type* is DS-RSA for the private signature-creation key and public signature-verification key, RSA_ENC for public RSA encryption key and private RSA decryption key.

[236] [assignment: *key type*]
[237] [assignment: *input parameters*]
[238] *[assignment: key derivation algorithm]*
[239] *[assignment: list of key sizes]*
[240] *[assignment: list of standards]*

FCS_CKM.5/ECDHE Cryptographic key derivation – Elliptic Curve Diffie-Hellman ephemeral key agreement

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.5.1/ECDHE The TSF shall derive cryptographic keys [assignment: *ephemeral keys for data encryption and MAC with AES-128*][241] from [assignment: *an agreed shared secret]*[242] in accordance with a specified key derivation algorithm [*Elliptic Curve Diffie-Hellman ephemeral key agreement with all elliptic curves in* Table 27 *and all DH group in* Table 28 *with a key derivation from the shared secret key derivation function X.963]*[243] and specified cryptographic key sizes [assignment: *128 bits]*[244] that meet the following: [assignment: *TR-03111 [TR-03111]]*[245].

Application note: The input parameters for key derivation is an agreed shared secret established by means of Elliptic Curve Diffie-Hellman. The Table 27 lists elliptic curves and Table 28 lists the Diffie-Hellman Groups for agreement of the shared secret. The SHA-1 shall be supported for generation of 128 bits AES keys. The SHA-256 shall be selected and used to generate 256 bits AES keys.

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile as the performed operations are compatible to the ones performed in the PP.

FCS_CKM.1/ECKA-EG Cryptographic key generation – ECKA-EG key generation with ECC encryption

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/ECKA-EG The TSF shall generate **an ephemeral** cryptographic **elliptic curve** key **pair for ECKGA- EG***[TR-03111], sender role*) in accordance with a specified cryptographic key generation algorithm *ECC key pair generation with [all: elliptic curves in the* Table 27*]*[246] and specified cryptographic key sizes *[all key size in the* Table 27*]*[247] that meet the following: *[all: standards in the* Table 27*]*[248].

FCS_CKM.5/ECKA-EG Cryptographic key derivation – ECKA-EG key derivation

Hierarchical to: No other components.

[241] *[assignment: key type*]
[242] *[assignment: input parameters]*
[243] *[assignment: key derivation algorithm]*
[244] *[assignment: list of key sizes]*
[245] *[assignment: list of standards]*
[246] *[selection: elliptic curves in the table]*
[247] *[selection: key size in the table]*
[248] *[selection: standards in the table]*

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.5.1/ECKA-EG The TSF shall derive cryptographic keys [assignment: *data encryption and MAC* keys *for AES-128]*[249] from [assignment: *a private and a public ECC key]*[250] in accordance with a specified key derivation algorithm [assignment: *ECKGA-EG[TR-03111] with all elliptic curves in* Table 27 *and X9.63 Key Derivation Function]*[251] and specified cryptographic key sizes *[128 bits]*[252] that meet the following: [*TR- 03111[TR-03111], chapter 4.3.2.2]*[253].

Application note: FCS_CKM.5/ECKA-EG is used by both the sender (encryption) and the recipient (decryption) to compute a secret point SAB on an elliptic curve and the derived shared secret ZAB. The shared secret is then used as input to the key derivation function to derive two symmetric keys, the encryption key and the MAC key which are used to encrypt or decrypt the message according to FCS_COP.1/HEM or FCS_COP.1/HDM, respectively. Sender and recipient use however different inputs to FCS_CKM.5/ECKA-EG. The sender first generates an ephemeral ECC key pair according to FCS_CKM.1/ECKA-EG and uses the generated ephemeral private key and the static public key of the recipient as input. The recipient first extracts the ephemeral public key from the encrypted message and uses the ephemeral public key and the static private key (cf. FCS_CKM.1/ECC for key generation) as input. The selection of elliptic curve, the ECC key size and length of the shared secret shall correspond to the selection of the AES key size, e. g. brainpoolP256r1 and 256 bits seed, ECC key and AES keys. FCS_CKM.1/ECKA-EG and FCS_CKM.5/ECKA-EG do not provide self-contained security services for the user but are necessary steps for FCS_COP.1/HEM and FCS_COP.1/HDM (refer to the next section 9.1.5.3).

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile as the performed operations are compatible to the ones performed in the PP.

FCS_CKM.1/AES_RSA Cryptographic key generation – Key generation and RSA encryption

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/AES_RSA The TSF shall generate **and encrypt seed, derive** cryptographic keys **from seed for data encryption and MAC with AES-128**, [**selection**: ***none other***][254] in accordance with a specified cryptographic key generation algorithm *X9.63 Key Derivation Function[ANSI-X9.63] and RSA EME-OAEP[PKCS#1]* and specified cryptographic **symmetric** key sizes *128 bits [selection: none other]*[255] that meet the following: *ISO/IEC18033-3 [ISO/IEC 18033-3], PKCS #1 v2.2 [PKCS#1].*

Application note: The asymmetric cryptographic key sizes used in FCS_CKM.1/AES_RSA must be at least 2000 bits. Cryptographic key sizes of at least 3000 bits are recommended. FCS_CKM.1/AES_RSA and FCS_CKM.5/AES_RSA do not provide self-contained security services

[249] *[assignment: key type]*
[250] *[assignment: input parameters]*
[251] *[assignment: key derivation algorithm]*
[252] *[assignment: list of keys sizes]*
[253] *[assignment: list of standards]*
[254] [selection: *AES-256, none other*]
[255] *[selection:256 bits, none other]*

for the user but they are only necessary steps for FCS_COP.1/HEM respective FCS_COP.1/HDM (refer to the next section 9.1.5.3).

FCS_CKM.5/AES_RSA Cryptographic key derivation – RSA key derivation and decryption

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.5.1/AES_RSA The TSF shall derive cryptographic keys [*assignment: data encryption* key *and MAC* key *for AES-128]*[256] from [*assignment: decrypted RSA encrypted seed]*[257] in accordance with a specified key derivation algorithm [*assignment*: *RSA EME-OAEP[PKCS#1] and X9.63[ANSI-X9.63] Key Derivation Function]*[258] and specified cryptographic key sizes [assignment: *128 bits]*[259] that meet the following: [assignment: *ISO/IEC 14888-2 [ISO/IEC 14888-2]]*[260].

Application note: The text of the SFR has been modified in [CC-2] regarding the definition as Extended component in the PP. The modifications have no impact on the conformity with the Protection Profile as the performed operations are compatible to the ones performed in the PP.

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6.1/CSP The TSF shall destroy [assignment: see Table 29] when [assignment: no longer needed or requested by owner or owner is removed].

Table 29: Keys names and mechanisms (CSP)

Keys name	Mechanism
D.CSP_PROTOCOL_CA_ST_ECDH_KA_PVT	CA2, PACE_CAM
D.CSP_PROTOCOL_CA_ST_DH_KA_PVT	CA2, PACE_CAM
D.CSP_PROTOCOL_PACE_EPH_ECDH_KA_PVT	PACE
D.CSP_PROTOCOL_PACE_EPH_ECDH_KA_PUB	PACE
D.CSP_PROTOCOL_PACE_EPH_DH_KA_PVT	PACE

[256] *[assignment: key type]*
[257] *[assignment: input parameters]*
[258] *[assignment: key derivation algorithm]*
[259] *[assignment: list of key sizes]*
[260] [assignment: *list of standards*]

D.CSP_PROTOCOL_PACE_EPH_DH_KA_PUB	PACE
D.CSP_PROTOCOL_PACE_ST_AES	PACE
D.CSP_PROTOCOL_PACE_ST_DES	PACE
D.CSP_PROTOCOL_PACE_SS_AES_ENC	PACE, PACE_CAM, CA2
D.CSP_PROTOCOL_PACE_SS_AES_MAC	PACE, PACE_CAM, CA2
D.CSP_PROTOCOL_PACE_SS_DES_ENC	PACE, PACE_CAM, CA2
D.CSP_PROTOCOL_PACE_SS_DES_MAC	PACE, PACE_CAM, CA2

FCS_CKM.6.2/CSP The TSF shall destroy cryptographic keys and keying material specified by FCS_CKM.6.1in accordance with a specified cryptographic key destruction method [assignment: *clear key destruction method*][261] that meets the following: [assignment: *[JCAPI3] standard*][262].

Refinement: The destruction of cryptographic keys shall ensure that any previous information content of the resource about the key is made unavailable upon the deallocation of the resource.

Application note: this SFR replaces FCS_CKM.4 as required by [CC-2]. The modifications are addition of information and have no impact on the conformity with the Protection Profile.

Key import and export

FCS_COP.1/KW Cryptographic operation – Key wrap

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/KW The TSF shall perform *key wrap* in accordance with a specified cryptographic algorithm *AES-Keywrap [selection: KW]*[263] and cryptographic key sizes **of the *key encryption key*** *128 bits [selection: none other]*[264] that meet the following: *NIST SP800-38F [NIST-SP800-38F]*.

[261] [assignment: *cryptographic key destruction method*]
[262] [assignment: *list of standards*]
[263] *[selection: KW, KWP]*
[264] *[selection:256 bits, none other]*

Application note: The selection of the length of the key encryption key shall be equal or greater than the security bits of the wrapped key for its cryptographic algorithm.

FCS_COP.1/KU Cryptographic operation – Key unwrap

Hierarchical to:	No other components.
Dependencies:	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6/CSP Timing and event of cryptographic key destruction
FCS_COP.1.1/KU	The TSF shall perform *key unwrap* in accordance with a specified cryptographic algorithm *AES-Keywrap [selection: KW]*[265] and cryptographic key sizes **of the *key encryption key*** *128 bits [selection: none other]*[266] that meet the following: *NIST SP800-38F [NIST-SP800-38F]*.

FPT_TCT.1/CK TSF data confidentiality transfer protection – Cryptographic keys

Hierarchical to:	No other components.
Dependencies:	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]
FPT_TCT.1.1/CK	The TSF shall enforce the *Key Management SFP* by providing the ability to *transmit and receive* **cryptographic key** ~~TSF data~~ in a manner protected from unauthorized disclosure **according to FCS_COP.1/KW and FCS_COP.1/KU**.

FPT_TIT.1/CK TSF data integrity transfer protection – Cryptographic keys

Hierarchical to:	No other components.
Dependencies:	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]
FPT_TIT.1.1/CK	The TSF shall enforce the *Key Management SFP* to *transmit and receive* **cryptographic keys** ~~TSF data~~ in a manner protected from *modification and insertion* errors **according to FCS_COP.1/KW**.
FPT_TIT.1.2/CK	The TSF shall be able to determine on receipt of **cryptographic keys** ~~TSF data~~, whether *modification and insertion* has occurred **according to FCS_COP.1/KU**.

FPT_ISA.1/CK Import of TSF data with security attributes – Cryptographic keys

[265] *[selection: KW, KWP]*
[266] *[selection:256 bits, none other]*

Hierarchical to:	No other components.
Dependencies:	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data] [FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance] FPT_TDC.1 Inter-TSF basic TSF data consistency
FPT_ISA.1.1/CK	The TSF shall enforce the *Key Management SFP* when importing **cryptographic key** ~~TSF data~~, controlled under the SFP, from outside of the TOE.
FPT_ISA.1.2/CK	The TSF shall use the security attributes associated with the imported **cryptographic key** ~~TSF data~~.
FPT_ISA.1.3/CK	The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the **cryptographic key** ~~TSF data~~ received.
FPT_ISA.1.4/CK	The TSF shall ensure that interpretation of the security attributes of the imported **cryptographic key** ~~TSF data~~ is as intended by the source of the **cryptographic key** ~~TSF data~~.
FPT_ISA.1.5/CK	The TSF shall enforce the following rules when importing **cryptographic key** ~~TSF data~~ controlled under the SFP from outside the TOE: (1) *The TSF imports the TSF data in certificates only after successful verification of the validity of the certificate including verification of digital signature of the issuer and validity time period.* (2) *[assignment: NO additional importation control rules]*[267].

Application note: The operational environment is obligated to use trust center services for secure key management, cf. OE.SecManag.

FPT_TDC.1/CK Inter-TSF basic TSF data consistency – Key import

Hierarchical to:	No other components.
Dependencies:	No dependencies.
FPT_TDC.1.1/CK	The TSF shall provide the capability to consistently interpret *security attributes of* the *imported cryptographic keys* when shared between the TSF and another trusted IT product.
FPT_TDC.1.2/CK	The TSF shall use **the following rules**: (1) *the TOE reports about conflicts between the Key identity of stored cryptographic keys and cryptographic keys to be imported,* (2) *the TOE does not change the security attributes Key identity, Key type, Key usage type and Key validity time period of the key being imported* when interpreting **the imported key data object** ~~TSF data from another trusted IT product~~**.**

[267] *[assignment: additional importation control rules]*

FPT_ESA.1/CK Export of TSF data with security attributes – Cryptographic keys

Hierarchical to:	No other components.
Dependencies:	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data] [FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance] FPT_TDC.1 Inter-TSF basic TSF data consistency
FPT_ESA.1.1/CK	The TSF shall enforce the *Key Management SFP* when exporting **cryptographic key** ~~TSF data~~, controlled under the SFP(s), outside of the TOE.
FPT_ESA.1.2/CK	The TSF shall export the **cryptographic key** ~~TSF data~~ with the **cryptographic key's** ~~TSF data~~ associated security attributes.
FPT_ESA.1.3/CK	The TSF shall ensure that the security attributes, when exported outside the TOE, are unambiguously associated with the exported **cryptographic key** ~~TSF data~~.
FPT_ESA.1.4/CK	The TSF shall enforce the following rules when **cryptographic key** ~~TSF data~~ is exported from the TOE: [assignment: *Export of keys and Public key according to [CSP-SPEC] by Administrator or Key Owner only*][268].

Application note: There are no fixed rules for presentation of security attributes defined. The element FPT_ESA.1.4/CK must define rules expected in FPT_TDC.1 Inter-TSF basic TSF data consistency if inter-TSF key exchange is intended.

9.1.5.2 Data encryption

FCS_COP.1/ED Cryptographic operation – Data encryption and decryption

Hierarchical to:	No other components.
Dependencies:	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6/CSP Timing and event of cryptographic key destruction
FCS_COP.1.1/ED	The TSF shall perform *data encryption and decryption* in accordance with a specified cryptographic algorithm *symmetric data encryption according to AES-128 and [selection: AES-256]*[269] *in CBC and [selection: CRT, OFB, CFB*[270] *mode]* and cryptographic key size *128 bits, [*selection: *256 bits]*[271]that meet the following: *NIST-SP800-38A[NIST-SP800-38A], ISO 18033-3 [ISO/IEC 18033-3], ISO 10116[ISO/IEC 10116].*

[268] [assignment: *additional exportation control rules*]
[269] *[selection: AES-256, no other algorithm]*
[270] *[selection: CRT, OFB, CFB, no other]*
[271] *[*selection: *256 bits, no other key size]*

Application note: Data encryption and decryption should be combined with data integrity mechanisms in Encrypt-then-MAC order, i. e. the MAC is calculated for the ciphertext and verified before decryption. The modes of operation should combine encryption with data integrity mechanisms to authenticated encryption, e. g. the Cipher Block Chaining Mode (CBC, cf. NIST SP800-38A) should be combined with CMAC (cf. FCS_COP.1/MAC) or HMAC (cf. FCS_COP.1/HMAC-CSP). For combination of symmetric encryption, decryption and data integrity mechanisms by means of CCM or GCM refer to the next section 9.1.5.3.

9.1.5.3 Hybrid encryption with MAC for user data

FCS_COP.1/HEM Cryptographic operation – Hybrid data encryption and MAC calculation

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or
FDP_ITC.2 Import of user data with security attributes, or
FCS_CKM.1 Cryptographic key generation,
or FCS_CKM.5 Cryptographic key derivation]
FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/HEM The TSF shall perform *hybrid data encryption and MAC calculation* in accordance with a specified cryptographic algorithm *asymmetric key encryption according to [selection: FCS_CKM.1/AES_RSA, FCS_CKM.5/ECDHE][272], symmetric data encryption according to AES-128, [selection: AES-256][273][FIPS197] in [selection: CBC[NIST-SP800-38A]][274] mode with [selection: CMAC[NIST-SP800-38B], GMAC[NIST-SP800-38D], HMAC[RFC2104]][275]calculation* and cryptographic **symmetric** key sizes *128 bits,* [selection: *256 bits]*[276] that meet the following: *the referenced standards above according to the chosen selection*.

Application note: Hybrid data encryption and MAC calculation is a self-contained security services of the TOE. The generation and encryption of the seed, derivation of encryption and MAC keys as well as the AES encryption and MAC calculation are only a steps of this service. The hybrid encryption is combined with MAC as data integrity mechanisms for the cipher text, i. e. encrypt-then-MAC creation for CMAC.

FCS_COP.1/HDM Cryptographic operation – Hybrid data decryption and MAC verification

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or
FDP_ITC.2 Import of user data with security attributes, or
FCS_CKM.1 Cryptographic key generation,
or FCS_CKM.5 Cryptographic key derivation]
FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/HDM The TSF shall perform *hybrid MAC verification and data decryption* in accordance with a specified cryptographic algorithm *asymmetric key decryption*

[272] *[selection: FCS_CKM.1/ECKA-EG, FCS_CKM.1/AES_RSA, FCS_CKM.5/ECDHE]*
[273] *[selection: AES-256, none other]*
[274] *[selection: CBC[NIST-SP800-38A], CCM[NIST-SP800-38C], GCM[NIST-SP800-38D]]*
[275] *[selection: CMAC[NIST-SP800-38B], GMAC[NIST-SP800-38D], HMAC[RFC2104]]*
[276] [selection: *256 bits, no other key size]*

according to [selection: FCS_CKM.5/ECDHE][277], verification of [selection: CMAC [NIST-SP800-38B], GCM[NIST-SP800-38D], HMAC[RFC2104]][278] and symmetric data decryption according to AES with [selection: AES-128, AES-256] [FIPS197][279] in mode [selection: CBC[NIST-SP800-38A], CCM[NIST-SP800-38C], GMAC[NIST-SP800-38D]]][280] and cryptographic **symmetric** key sizes *128 bits, [selection: 256 bits][281]* that meet the following: *the referenced standards above according to the chosen selection*.

Application note: Hybrid data decryption and MAC verification is a self-contained security services of the TOE. The decryption of the seed and derivation of the encryption key and MAC keys as well as the AES decryption and MAC verification are only a step of this service. The used symmetric key shall meet the AES CMAC or GMAC and the AES algorithm for decryption of the cipher text for MAC, e. g. verification-then- decrypt for CMAC.

9.1.5.4 Data integrity mechanisms

Cryptographic data integrity mechanisms comprise 2 types of mechanisms – symmetric message authentication code mechanisms and asymmetric digital signature mechanisms. A message authentication code mechanism comprises the generation of a MAC for original message, the verification of a given pair of message and MAC and symmetric key management. The MAC may be applied to plaintext without encryption but if combined with encryption it should be applied to ciphertexts in Encrypt-then-MAC order.

FCS_COP.1/MAC Cryptographic operation – MAC using AES

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/MAC The TSF shall perform *MAC generation and verification* in accordance with a specified cryptographic algorithm *AES-128 and [selection: AES-256][282] [FIPS197] CMAC [NIST-SP800-38B] and [selection: GMAC [NIST-SP800-38D][283]* and cryptographic key sizes *128 bits, [selection: 256 bits[284]]* that meet the following: *the referenced standards above according to the chosen selection*.

Application note: The MAC may be applied to plaintext and cipher text. The AES-128 CMAC is mandatory. The selection of AES-256 and the key sizes shall correspond to each other.

FCS_COP.1/HMAC-CSP Cryptographic operation – HMAC

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

[277] *[selection: FCS_CKM.5/ECDHE, FCS_CKM.5/ECKA-EG, FCS_CKM.5/AES_RSA]*
[278] *[selection: CMAC[NIST-SP800-38B], GCM[NIST-SP800-38D], HMAC[RFC2104]]*
[279] *[selection: AES-128, AES-256][FIPS197]*
[280] *[selection: CBC[NIST-SP800-38A], CCM[NIST-SP800-38C], GMAC[NIST-SP800-38D]]*
[281] *[selection: 256 bits, no other key size]*
[282] *[selection: AES-256, none other]*
[283] *[selection: GMAC[NIST-SP800-38D], no other]*
[284] *[selection: 256 bits, no other key size]*

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/HMAC-CSP The TSF shall perform *HMAC generation and verification* in accordance with a specified cryptographic algorithm *HMAC-SHA256 and [selection: HMAC-SHA-1, HMAC-SHA384]*[285] and cryptographic key sizes [assignment: *128, 192 and 256 bits*][286] that meet the following: *RFC2104 [RFC2104] , ISO 9797-2 [ISO/IEC 9797-2]*.

Application note: The cryptographic key is a random bit string generated by. FCS_RNG.1/CSP or a referenced internal secret. The cryptographic key sizes assigned in FCS_COP.1/HMAC-CSP must be at least 128 bits.

FCS_COP.1/CDS-ECDSA Cryptographic operation – Creation of digital signatures ECDSA

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/CDS-ECDSA The TSF shall perform *signature-creation* in accordance with a specified cryptographic algorithm *ECDSA with [selection: all elliptic curves in the Table 27]*[287] and cryptographic key sizes *[selection: all key size in the Table 27]*[288] that meet the following: *[selection: all standards in the Table 27]*[289].

Application note: The selection of elliptic curve and cryptographic key sizes shall correspond to each other, e. g. elliptic curve *brainpoolP256r1* and key size *256 bits*.

FCS_COP.1/VDS-ECDSA Cryptographic operation – Verification of digital signatures ECDSA

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/VDS-ECDSA The TSF shall perform *signature-verification* in accordance with a specified cryptographic algorithm *ECDSA with [selection: all elliptic curves in the Table 27]*[290] and cryptographic key sizes *[selection: all key size in the Table 27]*[291] that meet the following: *[selection: all standards in the Table 27]*[292].

[285] *[selection: HMAC-SHA-1, HMAC-SHA384, no other]*
[286] [assignment: *cryptographic key sizes*]
[287] *[selection: elliptic curves in the table]*
[288] *[selection: key size in the table]*
[289] *[selection: standards in the table]*
[290] *[selection: elliptic curves in the table]*

FCS_COP.1/CDS-RSA Cryptographic operation – Creation of digital signatures RSA

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or
FDP_ITC.2 Import of user data with security attributes, or
FCS_CKM.1 Cryptographic key generation,
or FCS_CKM.5 Cryptographic key derivation]
FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/CDS-RSA The TSF shall perform *signature-creation* in accordance with a specified cryptographic algorithm *RSA and EMSA-PSS* and cryptographic key sizes [assignment: *2048, 3072 bits*][293] that meet the following: *ISO/IEC 14888-2 [ISO/IEC 14888-2], PKCS #1, v2.2 [PKCS#1]*.

Application note: The cryptographic key sizes assigned in FCS_CKM.1/RSA-CSP must be at least 2000 bits. Cryptographic key sizes of at least 3000 bits are recommended.

FCS_COP.1/VDS-RSA Cryptographic operation – Verification of digital signatures RSA

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or
FDP_ITC.2 Import of user data with security attributes, or
FCS_CKM.1 Cryptographic key generation,
or FCS_CKM.5 Cryptographic key derivation]
FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/VDS-RSA The TSF shall perform *signature-verification* in accordance with a specified cryptographic algorithm *RSA and EMSA-PSS* and cryptographic key sizes [assignment: *2048 and 3072 bits*][294] that meet the following: *ISO/IEC 14888-2 [ISO/IEC 14888-2], PKCS #1, v2.2 [PKCS#1]*.

Application note: The cryptographic key sizes assigned in FCS_CKM.1/RSA-CSP must be at least 2000 bits. Cryptographic key sizes of at least 3000 bits are recommended.

FDP_DAU.2/Sig Data Authentication with Identity of Guarantor - Signature

Hierarchical to: FDP_DAU.1 Basic Data Authentication Dependencies: FIA_UID.1 Timing of identification

FDP_DAU.2.1/Sig The TSF shall provide a capability to generate evidence that can be used as a guarantee of the validity of *user data* **imported according to FDP_ITC.2/UD by means of [selection: *FCS_COP.1/CDS-RSA, FCS_COP.1/CDS-ECDSA*][295] and keys holding the security attributes Key identity assigned to the guarantor and Key usage type "Signature service"**.

---

[291] *[selection: key size in the table]*
[292] *[selection: standards in the table]*
[293] [assignment: *cryptographic key sizes*]
[294] [assignment: *cryptographic key sizes*]
[295] [selection: *FCS_COP.1/CDS-RSA, FCS_COP.1/CDS-ECDSA*]

FDP_DAU.2.2/Sig The TSF shall provide *external entities* with the ability to verify evidence of the validity of the indicated information and the identity of the user that generated the evidence.

Application note: The TSF according to FDP_DAU.2/Sig is intended for a signature service for user data. The user data source shall select the security attributes *Key entity* of the guarantor and *Key usage type* "*Signature service*" of the cryptographic key for the signature service in the security attributes provided with the user data. The user data source subject shall meet the *Key access control attributes* for the signature-creation operation. The verification of the evidence requires a certificate showing the identity of the key entity as user generated the evidence and the key usage type as digital signature.

9.1.5.5 Authentication and attestation of the TOE, trusted channel

FIA_API.1/PACE Authentication Proof of Identity – PACE authentication to Application component

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_API.1.1/PACE The TSF shall provide a [assignment: *PACE in ICC role]*[296] to prove the identity of [assignment: *the TOE]*[297] by including the following properties [assignment: *knowledge of password*][298] to an external entity **and establishing a trusted channel according to FTP_ITC.1 case 1 or 2.**

Application note: The text of the SFR has been modified in [CC-2]. The modifications have no impact on the conformity with the Protection Profile as they only add information and the same refinement as in the [PP-CSP] has been performed.

FIA_API.1/CA Authentication Proof of Identity – Chip authentication to user

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_API.1.1/CA The TSF shall provide a [assignment: *Chip Authentication Version 2 according to [TR-03110] section 3.4]*[299] to prove the identity of [assignment: *the TOE]*[300] by including the following properties [assignment: *knowledge of chip token (TIC)*][301] to an external entity **and establishing a trusted channel according to FTP_ITC.1 case 3.**

FDP_DAU.2/Att Data Authentication with Identity of Guarantor – Attestation

Hierarchical to: FDP_DAU.1 Basic Data Authentication

Dependencies: FIA_UID.1 Timing of identification

FDP_DAU.2.1/Att The TSF shall provide a capability to generate evidence that can be used as a guarantee of the validity of *attestation data* **by means of *[AES-128 cryptographic authentication mechanism]*[302] and keys holding the security attributes Key identity assigned to the TOE sample and Key usage type "Attestation".**

---

[296] [assignment: *authentication mechanisms*]
[297] [assignment: *entity*]
[298] [assignment: *list of properties*]
[299] [assignment: *authentication mechanisms*]
[300] [assignment: *entity*]
[301] [assignment: *list of properties*]
[302] *[assignment: other cryptographic authentication mechanism]*]

FDP_DAU.2.2/Att The TSF shall provide *external entities* with the ability to verify evidence of the validity of the indicated information and the identity of the user that generated the evidence.

Application note: The attestation data shall represent the TOE sample as genuine sample of the certified product. The attestation data may include the identifier of the certified product, the serial number of the device or a group of product samples as certified product, the hash value of the TSF implementation and some TSF data as result of self-test, or other data. It may be generated internally or may include internally generated and externally provided data. The assigned cryptographic mechanisms shall be appropriate for attestation meeting OSP.SecCryM, e. g. digital signature, a group signature or a direct anonymous attestation mechanism as used for Trusted Platform Modules ***[TPMLib,Part 1]*** or FIDO U2F Authenticators ***[FIDO-ECDAA]***.

FTP_ITC.1 Inter-TSF trusted channel
Hierarchical to: No other components.
Dependencies: No dependencies.

FTP_ITC.1.1 The TSF shall provide a communication channel between TSF and another trusted IT product that is ~~logically distinct from other communication channels~~ **[selection: *logically separated from other communication channels*]**[303] and provides assured identification of its end points **[selection**: ***Authentication of TOE and remote entity according to the case in Table 30***]304 and protection of the channel data from modification or disclosure **[assignment: *according to the case in Table 30*]**[305] **as required by [selection: *cryptographic operation according to the case in Table 30]***[306]***.**

FTP_ITC.1.2 The TSF shall permit *the remote trusted IT product* **determined according to FMT_MOF.1.1 clause (3)** to initiate communication via the trusted channel.

FTP_ITC.1.3 The TSF shall initiate communication via the trusted channel for *communication with entities defined according to FMT_MOF.1 clause (4)***.**

Case	Authentication of TOE and remote entity	Key agreement	Protection of communication data	Cryptographic operation
1	FIA_API.1/PACE, FIA_UAU.5.1 (2)	FCS_CKM.1/PACE	modification	FCS_COP.1/TCM
2	FIA_API.1/PACE, FIA_UAU.5.1 (2)	FCS_CKM.1/PACE	modification	FCS_COP.1/TCM
			disclosure	FCS_COP.1/TCE
3	FIA_API.1/CA, FIA_UAU.5.1 (4) or (5), and (6)	FCS_CKM.1/TCAP	modification	FCS_COP.1/TCM
			disclosure	FCS_COP.1/TCE

***Table 30*: Operation in SFR for trusted channel**

[303] *[selection: logically separated from other communication channels, using physical separated ports]*
[304] *[selection: Authentication of TOE and remote entity according to the case in table]*
[305] *[assignment: according to the case in table]*
[306] *[selection: cryptographic operation according to the case in table]*

FCS_CKM.1/PACE Cryptographic key generation – Key agreement for trusted channel PACE

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/PACE The TSF shall generate cryptographic keys ***for MAC with for FCS_COP.1/TCM and if selected encryption keys for FCS_COP.1/TCE*** in accordance with a specified cryptographic key ~~generation~~ **agreement** algorithm *PACE with [selection: d elliptic curves in Table 27][307] and Generic Mapping in ICC role* and specified cryptographic key sizes *[selection: 128 bits, 192 bits and 256 bits][308]* that meet the following: *ICAO Doc9303*, *Part 11, section 4.4 [ICAO Doc9303]*.

Application note: PACE is used to authenticate the TOE and the application component, or TOE and human user using a terminal. It establishes a trusted channel with MAC integrity protection and if selected encryption.

FCS_CKM.1/TCAP Cryptographic key generation – Key agreement by Terminal and Chip authentication protocols

Hierarchical to: No other components.

Dependencies: [FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation]

[FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/TCAP The TSF shall generate cryptographic keys **for *encryption according to FCS_COP.1/TCE and MAC according to FCS_COP.1/TCM*** in accordance with a specified cryptographic key ~~generation~~ **agreement** algorithms *Terminal Authentication version 2 and Chip Authentication Version 2* and specified cryptographic key sizes *[selection: 128 bits, 192 bits and 256 bits][309]* that meet the following: *BSI TR-03110 [TR-03110], section 3.3 and 3.4*.

Application note: The terminal authentication protocol version 2 is used for authentication of the Application component according to FIA_UAU.5 and is a prerequisite for Chip Authentication Version 2. It is linked to SCP21 in Table 14.

FCS_COP.1/TCE Cryptographic operation - Encryption for trusted channel

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

[307] *[selection: elliptic curves in table]*
[308] *[selection: 128 bits, 192 bits, 256 bits]*
[309] *[selection: 128 bits, 192 bits, 256 bits]*

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/TCE The TSF shall perform *encryption and decryption* in accordance with a specified cryptographic algorithm *AES in [selection: CBC[NIST-SP800-38A][310] mode* and cryptographic key sizes [*selection: 128 bits, 192 bits and 256 bits]*[311] that meet the following: *[FIPS197]*.

FCS_COP.1/TCM Cryptographic operation - MAC for trusted channel

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/TCM The TSF shall perform *MAC calculation and MAC verification* in accordance with a specified cryptographic algorithm *AES [selection: CMAC[NIST-SP800-38B]*[312] and cryptographic key sizes *[selection: 128 bits, 192 bits and 256 bits]*[313] that meet the following: *[FIPS197]*.

9.1.5.6 User identification and authentication

FIA_ATD.1 User attribute definition – Identity based authentication

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_ATD.1.1 The TSF shall maintain the following list of security attributes belonging to individual users:

(1) *Identity*,

(2) *Authentication reference data,*

(3) *Role*.

FMT_MTD.1/RAD Management of TSF data – Authentication reference data

Hierarchical to: No other components.

Dependencies: FMT_SMR.1/CSP Security roles

FMT_SMF.1/CSP Specification of Management Functions

FMT_MTD.1.1/RAD The TSF shall restrict the ability to

(1) *create* the *initial Authentication reference data of all authorized users* to *[selection: Administrator]*[314],

(2) delete the Authentication reference data of an authorized user to

[310] *[selection: CBC[NIST-SP800-38A], CCM[NIST-SP800-38C], GCM[NIST-SP800-38D]]*
[311] [*selection: 128 bits, 192 bits, 256 bits]*
[312] *AES [selection: CMAC[NIST-SP800-38B], GMAC[NIST- SP800-38D]]*
[313] *[selection: 128 bits, 192 bits, 256 bits]*
[314] *[selection: Administrator, User Administrator]*

[selection: Administrator][315],

***(3) modify* the *Authentication reference data* to *the corresponding authorized user*.**

(4) create the permanently stored session key of trusted channel as Authentication reference data to [selection: Administrator][316]

(5) define* the *time in range [assignment: no time frame as this feature is not supported by the TOE][317] after which the user security attribute Role is reset according to FMT_SAE.1 to [selection: Administrator][318],

(6) define the value [selection: Unauthenticated user][319] to which the security attribute Role shall be reset according to FMT_SAE.1to [selection: Administrator][320].

Application note: The Administrator is responsible for user management. The Administrator install and revoke a user as known authorized user of the TSF as defined in clause (1). The Administrator may define additional authentication reference data as described in clause (3), i. e. the trusted channel combines initial authentication of communication endpoints (cf. FIA_UAU.5.1 clause (3) and (4)) with agreement of session keys used for authentication of exchanged messages (cf. FIA_UAU.5.1 clause (5)). The session keys may be permanently stored for the trusted communication with the known authorized entity. The user manages its own authentication reference data to prevent impersonation based of known authentication data (e.g. as addressed by FMT_MTD.3). The bullets (2) to (6) are refinements in order to avoid an iteration of component and therefore printed in bold.

Clause (5) is trivially met since not supported by the product.

FMT_MTD.3 Secure TSF data

Hierarchical to: No other components.

Dependencies: FMT_MTD.1 Management of TSF data

FMT_MTD.3.1 The TSF shall ensure that only secure values are accepted for *passwords* **by enforcing change of initial passwords after first successful authentication of the user to different operational password**.

FIA_AFL.1 Authentication failure handling

Hierarchical to: No other components.

Dependencies: FIA_UAU.1 Timing of authentication

FIA_AFL.1.1 The TSF shall detect when [selection: *an ~~administrator~~* ***[selection: Administrator]][321]*** *configurable positive integer within [assignment: the range*

315 *[selection: Administrator, User Administrator]*
316 *[selection: Administrator, User Administrator]*
317 *[assignment: time frame]*
318 *[selection: Administrator, User Administrator]*
319 *[selection: Unidentified user, Unauthenticated user]*
320 *[selection: Administrator, User Administrator]*
321 [selection: [assignment: *positive integer number*], *an [selection: Administrator, User Administrator]*

1-127,][322] unsuccessful authentication attempts occur related to [assignment: *Open secure channel, password/PIN authentication*][323].

FIA_AFL.1.2 When the defined number of unsuccessful authentication attempts has been [selection: *met*][324], the TSF shall [assignment: *return error status and authentication will fail]*[325].

FIA_USB.1 User-subject binding

Hierarchical to: No other components.

Dependencies: FIA_ATD.1 User attribute definition

FIA_USB.1.1 The TSF shall associate the following user security attributes with subjects acting on the behalf of that user:

(1) *Identity*,

(2) *Role*.

FIA_USB.1.2 The TSF shall enforce the following rules on the initial association of user security attributes with subjects acting on the behalf of users: *the initial role of the user is Unidentified user*.

FIA_USB.1.3 The TSF shall enforce the following rules governing changes to the user security attributes associated with subjects acting on the behalf of users:

(1) *after successful identification of the user the attribute Role of the subject shall be changed from Unidentified user to Unauthenticated user;*

(2) *after successful authentication of the user for a selected role the attribute Role of the subject shall be changed from Unauthenticated User to that role;*

(3) *after successful re-authentication of the user for a selected role the attribute Role of the subject shall be changed to that role*.

FMT_SAE.1 Time-limited authorization

Hierarchical to: No other components.

Dependencies: FMT_SMR.1/CSP Security roles

FPT_STM.1 Reliable time stamps

FMT_SAE.1.1 The TSF shall restrict the capability to specify an expiration time for *Role* to *[selection: Administrator]*[326].

FMT_SAE.1.2 For each of these security attributes, the TSF shall be able to *reset the Role to the value assigned according to FMT_MTD.1/RAD, clause (6)* after the expiration time for the indicated security attribute has passed.

Application note: The TSF shall implement means to handle expiration time for the roles whithin a session (i.e. between power-up and power-down of the TOE) which may not necessarily meet the

[322] *[assignment: range of acceptable values]*]
[323] [assignment: *list of authentication events*]
[324] [selection:*met, surpassed*]
[325] [assignment: *list of actions*]
[326] *[selection: Administrator, User Administrator]*

requirements for a reliable time stamp as required by FPT_STM.1. If the security target require FPT_STM.1 (e.g. if the PP-module "Time Stamp and Audit" claimed) this time stamp shall be used to meet FMT_SAE.1.

FMT_SAE.1.1 is trivially met since not supported by the product.

FIA_UID.1 Timing of identification

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_UID.1.1 The TSF shall allow

(1) *self-test according to FPT_TST.1*,

(2) *identification of the TOE to the user*,

(3) *[assignment: No other TSF-mediated actions]*[75]

on behalf of the user to be performed before the user is identified.

FIA_UID.1.2 The TSF shall require each user to be successfully identified before allowing any other TSF-mediated actions on behalf of that user **the Unauthenticated User**.

FIA_UAU.1 Timing of authentication

Hierarchical to: No other components.

Dependencies: FIA_UID.1 Timing of identification

FIA_UAU.1.1 The TSF shall allow

(1) *self-test according to FPT_TST.1*,
(2) *authentication of the TOE to the user*,

(3) *identification of the user to the TOE and selection of [selection: a role]*[327] *for authentication,*

(4) *[assignment: no other TSF mediated actions]*[328]

on behalf of the user to be performed before the user is authenticated.

FIA_UAU.1.2 The TSF shall require each user to be successfully authenticated before allowing any other TSF-mediated actions on behalf of that user.

Application note: Clause (2) and (3) in FIA_UAU.1.1 allows mutual identification for mutual authentication, eg. by exchange of certificates.

FIA_UAU.5 Multiple authentication mechanisms

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_UAU.5.1 The TSF shall provide

(1) *password authentication*,

(2) *PACE with Generic Mapping with TOE in ICC and user in PCD context with establishment of trusted channel according to FTP_ITC.1,*

327 *[selection: a role, a set of role]*
328 *[assignment: list of other TSF mediated actions]*

(3) *certificate based Terminal Authentication Version 2 according to section 3.3 in [TR-03110] with the TOE in ICC and user in PCD context,*

(4) *Terminal Authentication Version 2 with the TOE in ICC context and user in PCD context modified by omitting the verification of the certificate chain,*

(5) *Chip Authentication Version 2 with establishment of trusted channel according to FTP_ITC.1,*

(6) *message authentication by MAC verification of received messages*

to support user authentication.

FIA_UAU.5.2 The TSF shall authenticate any user's claimed identity according to the **rules**

(1) *password authentication shall be used for authentication of human users if enabled according to FMT_MOF.1.1, clause (1)*,

(2) *PACE shall be used for authentication of human users using terminals with establishment of trusted channel according to FTP_ITC.1,*

(3) *PACE may be used for authentication of IT entities with establishment of trusted channel according to FTP_ITC.1,*

(4) *certificate based Terminal Authentication Version 2 may be used for authentication of users which certificate imported as TSF data,*

(5) *simplified version of Terminal Authentication Version 2 may be used for authentication of identified users associated with known user's public key,*

(6) *message authentication by MAC verification of received messages shall be used after initial* authentication of remote entity according to clauses (2) or (3) for trusted channel according to FTP_ITC.1,

(7) *[assignment: No additional rules][329].*

FIA_UAU.6 Re-authenticating Hierarchical to:

Hierarchical to: No other components.

Dependencies: No dependencies.

FIA_UAU.6.1 The TSF shall re-authenticate the user under the conditions

(1) *changing to a role not selected for the current valid authentication session,*

(2) *power on or reset,*

(3) *every message received from entities after establishing trusted channel according to FIA_UAU.5.1, clause (2), (3) or (6),*

(4) *[Trusted channel termination, Trusted channel disconnection][330]*,

9.1.5.7 Access control

FDP_ITC.2/UD Import of user data with security attributes – User data

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]

[FTP_ITC.1 Inter-TSF trusted channel, or FTP_TRP.1 Trusted path]

[329] *[assignment: additional rules]*

[330] *[assignment: list of other conditions under which re-authentication is required]*

FPT_TDC.1 Inter-TSF basic TSF data consistency

FDP_ITC.2.1/UD	The TSF shall enforce the *Cryptographic Operation SFP* when importing user data, controlled under the SFP, from outside of the TOE.
FDP_ITC.2.2/UD	The TSF shall use the security attributes associated with the imported user data.
FDP_ITC.2.3/UD	The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the user data received.
FDP_ITC.2.4/UD	The TSF shall ensure that interpretation of the security attributes of the imported user data is as intended by the source of the user data.
FDP_ITC.2.5/UD	The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TOE: (1) *user data imported for encryption according to FCS_COP.1/ED shall be imported with Key identity of the key and the identification of the requested cryptographic operation,* (2) *user data imported for encryption according to FCS_COP.1/HEM shall be imported with Key identity of the public key encryption key or key agreement method,* (3) *user data imported for decryption according to FCS_COP.1/HDM shall be imported with Key identity of the asymmetric decryption key, encrypted seed and data integrity check sum*, (4) *user data imported for digital signature creation shall be imported with the Key identity of the private signature key,* (5) *user data imported for digital signature verification shall be imported with digital signature and Key identity of the public signature key*.

Application note: Keys to be used for the cryptographic operation of the imported user data are identified by security attribute *Key identity*.

FDP_ETC.2 Export of user data with security attributes

Hierarchical to:	No other components.
Dependencies:	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]
FDP_ETC.2.1	The TSF shall enforce the *Cryptographic Operation SFP* when exporting user data, controlled under the SFP(s), outside of the TOE.
FDP_ETC.2.2	The TSF shall export the user data with the user data's associated security attributes.
FDP_ETC.2.3	The TSF shall ensure that the security attributes, when exported outside the TOE, are unambiguously associated with the exported user data.
FDP_ETC.2.4	The TSF shall ensure that interpretation of the security attributes of the exported user data is as intended by the owner of the user data.
FDP_ETC.2.5	The TSF shall enforce the following rules when user data is exported from the TOE: (1) *user data exported as ciphertext according to FCS_COP.1/HEM shall be exported with reference to key decryption key, encrypted data encryption key and data integrity check sum*, (2) *user data exported as plaintext according to FCS_COP.1/HDM shall be*

exported only if the MAC verification confirmed the integrity of the ciphertext,

(3) *user data exported as signed data according to FCS_COP.1/CDS-ECDSA or FCS_COP.1/CDS-RSA shall be exported with digital signature and Key identity of the used signature-creation key*.

Application note: The TOE imports data to be signed by CSP shall be imported with Key identity of the signature key and exports the signature. In case of internally generated data exported as signed data shall be exported with Key identity of the used key in order to enable identification of the corresponding signature- verification key. Note, the TOE may implement more than one signature-creation key for signing internally generated data.

Application note: The text of the SFR has been modified in [CC-2]. The modifications have no impact on the conformity with the Protection Profile as it is an addition and not contradictory with any other SFR.

FDP_ETC.1 Export of user data without security attributes

Hierarchical to:	No other components.
Dependencies:	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]
FDP_ETC.1.1	The TSF shall enforce the *Cryptographic Operation SFP* when exporting user data **as plaintext according to FCS_COP.1/HDM**, controlled under the SFP(s), outside of the TOE.
FDP_ETC.1.2	The TSF shall export the ~~user data~~ **successfully MAC verified and decrypted ciphertext as plaintext according to FCS_COP.1/HDM** without the user data's associated security attributes.

FDP_ACC.1/Oper Subset access control – Cryptographic operation

Hierarchical to:	No other components.
Dependencies:	FDP_ACF.1 Security attribute based access control

FDP_ACC.1.1/Oper The TSF shall enforce the *Cryptographic Operation SFP* on

(1) *subjects: [selection: Administrator][331], Key Owner, [assignment: No other roles][332];*

(2) *objects: operational cryptographic keys*, *user data*;

(3) *operations: cryptographic operation*

FDP_ACF.1/Oper Security attribute based access control – Cryptographic operations

Hierarchical to:	No other components.
Dependencies:	FDP_ACC.1 Subset access control
	FMT_MSA.3 Static attribute initialisation

FDP_ACF.1.1/Oper The TSF shall enforce the *Cryptographic Operation SFP* to objects based on the following:

[331] *[selection: Administrator, Crypto-Officer]*
[332] *[assignment: other roles]*

(1) *subjects: subjects with security attribute Role [selection: Administrator,]*[333]*, Key Owner, [assignment: No other roles]*[334];

(2) *objects:*

(a) c*ryptographic keys with security attributes: Identity of the key, Key entity, Key type, Key usage type, Key access control attributes, Key validity time period*;

(b) *user data*.

FDP_ACF.1.2/Oper The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

(1) *Subject in [selection: Administrator]*[335] *role is allowed to perform cryptographic operation on cryptographic keys in accordance with their security attributes.*

(2) *Subject Key Owner is allowed to perform cryptographic operation on user data with cryptographic keys in accordance with the security attribute Key entity, Key type, Key usage type, Key access control attributes and Key validity time period*;

(3) *[assignment: No other rules governing access among controlled subjects and controlled objects using controlled operations on controlled objects]*[336]*.*

FDP_ACF.1.3/Oper The TSF shall explicitly authorize access of subjects to objects based on the following additional rules:

(1) *subjects with security attribute Role are allowed to perform cryptographic operation on user data and cryptographic keys with security attributes as shown in the rows of* Table 27.

(2) [assignment: *No additional rules, based on security attributes, that explicitly authorize access of subjects to objects*][337].

FDP_ACF.1.4/Oper The TSF shall explicitly deny access of subjects to objects based on the following additional rules:

(1) *No subject is allowed to use cryptographic keys by cryptographic operation other than those identified in the security attributes Key usage type and the Key access control attributes*;

(2) *No subject is allowed to decrypt ciphertext according to FCS_COP.1/HDM if MAC verification fails.*

(3) *[assignment: No additional rules, based on security attributes, that explicitly deny access of subjects to objects]* [338]

Access control rules for cryptographic operation:

[333] *[selection: Administrator, Crypto-Officer]*
[334] *[assignment: other roles]*
[335] *[selection: Administrator, Crypto-Officer]*
[336] *[assignment: other rules governing access among controlled subjects and controlled objects using controlled operations on controlled objects]*
[337] [assignment: *additional rules, based on security attributes, that explicitly authorize access of subjects to objects*]
[338] *[assignment: additional rules, based on security attributes, that explicitly deny access of subjects to objects]*

Security attribute Role of the subject	Security attribute of the cryptographic key	Cryptographic operation referenced by SFR allowed for the subject on user data with the cryptographic key
[selection: Administrator]	*Key type: symmetric Key usage type: Key wrap Key validity time period:*	*FCS_COP.1/KW*
[selection: Administrator]	*Key type: symmetric Key usage type: Key unwrap Key validity time period:*	*FCS_COP.1/KU*
(any authenticated user)	*Key type: public Key usage type: ECKA-EG Key validity time period: as in certificate*	*FCS_COP.1/HEM , FCS_CKM.1/ECKA -EG*
Key Owner	*Key type: private Key usage type: ECKA-EG Key validity time period:*	*FCS_COP.1/HDM FCS_CKM.5/ECKA -EG*
(any authenticated user)	*Key type: public Key usage type: RSA_ENC Key validity time period: as in certificate*	*FCS_COP.1/HEM FCS_CKM.1/AES_RSA*
Key Owner	*Key type: private Key usage type: RSA_ENC Key validity time period: as in certificate*	*FCS_COP.1/HDM FCS_CKM.5/AES_RSA*
Key Owner	*Key type: private Key usage type: DS-ECDSA Key validity time period:*	*FCS_COP.1/CDS-ECDSA*
(any authenticated user)	*Key type: public Key usage type: DS-ECDSA Key validity time period:*	*FCS_COP.1/VDS-ECDSA*
Key Owner	*Key type: private Key usage type: DS-RSA Key validity time period:*	*FCS_COP.1/CDS-RSA*
(any authenticated user)	*Key type: public Key usage type: DS-RSA Key validity time period:*	*FCS_COP.1/VDS-RSA*

***Table 31*: Security attributes and access control**

9.1.5.8 Security Management

FMT_SMF.1/CSP Specification of Management Functions

Hierarchical to: No other components.

Dependencies: No dependencies.

FMT_SMF.1.1/CSP The TSF shall be capable of performing the following management functions:

(1) *management of security functions behaviour (FMT_MOF.1)*,

(2) *management of Authentication reference data (FMT_MTD.1/RAD),*

(3) *management of security attributes of cryptographic keys (FMT_MSA.1/KM, FMT_MSA.2, FMT_MSA.3/KM,*

(4) *[assignment: No additional list of security management functions to be provided by the TSF]*[339].

FMT_SMR.1/CSP Security roles

Hierarchical to: No other components.

Dependencies: FIA_UID.1 Timing of identification

FMT_SMR.1.1/CSP The TSF shall maintain the roles: *Unidentified User, Unauthenticated User, Key Owner, Application component, [selection: Administrator]*[340] *[selection: no other roles]*[341].

FMT_SMR.1.2/CSP The TSF shall be able to associate users with roles.

Application note: The ST may select the general role *Administrator* or more detailed administrator roles as supported by the TOE.

FMT_MSA.2 Secure security attributes

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]

FMT_MSA.1 Management of security attributes

FMT_SMR.1/CSP Security roles

FMT_MSA.2.1 The TSF shall ensure that only secure values are accepted for *security attributes*

(1) *Key identity,*

(2) *Key type,*

(3) *Key usage type,*

(4) *[assignment: Access control rules - which user is allowed to conduct which key operation]*[342].

The cryptographic keys shall have

(1) **Key identity uniquely identifying the key among all keys implemented in the TOE,**

(2) **exactly one Key type as secret key, private key, public key,**

(3) **exactly one Key usage type identifying exactly one cryptographic mechanism the key can be used for.**

FMT_MOF.1 Management of security functions behaviour

Hierarchical to: No other components.

Dependencies: FMT_SMR.1/CSP Security roles

339 *[assignment: additional list of security management functions to be provided by the TSF]*
340 *[selection: Administrator, Crypto-Officer, User Administrator, Update Agent]*
341 *[selection: [assignment: other roles], no other roles]*
342 *[assignment: additional security attributes]*

FMT_SMF.1/CSP Specification of Management Functions

FMT_MOF.1.1 The TSF shall restrict the ability to

(1) *Enable* the functions *password authentication according to FIA_UAU.5.1, clause (1)* to *[selection: Administrator]*[343].

(2) *disable* the functions *password authentication according to FIA_UAU.5.1, clause (1)* to *[selection: Administrator]*[344],

(3) *determine the behaviour of* the functions *trusted channel according to FDP_ITC.1.2* by defining the remote trusted IT products permitted to initiate communication via the trusted channel to *[selection: Administrator]*[345],

(4) *determine the behaviour of* the functions *trusted channel according to FDP_ITC.1.3* by defining the entities for which the TSF shall enforce communication via the trusted channel to *[selection: Administrator]*[346].

Application note: The refinements of FMT_MOF.1.1 in bullets (2) to (4) are made in order to avoid iteration of the component. In case of client-server architecture the applications using the TOE and supporting cryptographically protected trusted channel belong to the entities for which the TSF shall enforce trusted channel according to FDP_ITC.1, cf. FMT_MOF.1.1 in bullet (4).

9.1.5.9 Protection of the TSF

FDP_SDC.1	Stored data confidentiality
Hierarchical to:	No other components.
Dependencies:	No dependencies.
FDP_SDC.1.1	The TSF shall ensure the confidentiality of [selection: the following user data [assignment: *the information of the data*]] while it is stored in the [assignment: *NVM – persistent memory, RAM*][347] **by encryption according to *FCS_COP.1/SDE.***

Application note: The memory encryption does not distinguish between user data and TSF data when encrypting memory areas. The refinement extends the SFR to any data in the assigned memory area, which may contain user data, TSF data, software and firmware as TSF implementation.

Application note: The text of the SFR has been modified in [CC-2]. The modifications have no impact on the conformity with the Protection Profile as the text scope is the same as the SFR in the PP.

FCS_CKM.1/SDEK	Cryptographic key generation – Stored data encryption key generation
Hierarchical to:	No other components.
Dependencies:	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers]

[343] *[selection: Administrator, User Administrator]*
[344] *[selection: Administrator, User Administrator]*
[345] *[selection: Administrator, User Administrator]*
[346] *[selection: Administrator, User Administrator]*
[347] [assignment: *memory area*]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_CKM.1.1/SDEK The TSF shall generate cryptographic **stored data encryption** keys in accordance with a specified cryptographic key generation algorithm [*assignment: Table 30 cryptographic key generation algorithm*][348] **using random bit generation according to FCS_RNG.1/CSP** and specified cryptographic key sizes *[assignment: Table 30 cryptographic key sizes]*[349] that meet the following: *[assignment: Table 30 list of standards]*[350].

cryptographic key generation algorithm	***cryptographic key sizes***	***list of standards***
AES	128, 192, 256	[FIPS197]
RSA	1024 to 3072	[PKCS #1]
ECC	256, 384, 512	[NIST-SP800-38A] [RFC6954] [NIST FIPS 186-3]
ANSI X9.63	160, 192, 224, 256, 320, 384, 512, 521	[TR-03111]

Table 32*: *cryptographic key generation

FCS_COP.1/SDE Cryptographic operation – Stored data encryption

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/SDE The TSF shall perform *stored data encryption and decryption* in accordance with a specified cryptographic algorithm [assignment: *Table 31 cryptographic algorithm*][351] and cryptographic key sizes [assignment: *Table 31 cryptographic key sizes*][352] that meet the following: [assignment: *Table 31 list of standards*][353].

cryptographic algorithm	***cryptographic key sizes***	***list of standards***
AES	128, 192, 256	[FIPS197]
RSA	1024 to 3072	[PKCS#1]
ECC	256, 384, 512	[NIST-SP800-38A] [RFC6954] [NIST FIPS 186-3]

[348] [assignment: *cryptographic key generation algorithm*]
[349] *[assignment: cryptographic key sizes]*
[350] *[assignment: list of standards]*
[351] [assignment: *cryptographic algorithm*]
[352] [assignment: *cryptographic key sizes*]
[353] [assignment: *list of standards*]

cryptographic algorithm	*cryptographic key sizes*	*list of standards*
ANSI X9.63	160, 192, 224, 256, 320, 384, 512, 521	[TR-03111]

Table 33: Cryptographic operation – Stored data encryption

Application note: The generation of data encryption keys according to FCS_CKM.1/SDEK, the encryption and the decryption according to FCS_COP.1/SDE are only used for stored data in the memory areas assigned in FDP_SDC.1.1. They are not a security services of the TOE to the user. If cryptographic algorithm does not provide integrity protection for stored user data the stored data should contain redundancy for detection of data manipulation, e. g. in order to meet FPT_TST.1.2 and FPT_TST.1.3.

FRU_FLT.2 Limited fault tolerance

Hierarchical to: FRU_FLT.1 Degraded fault tolerance

Dependencies: FPT_FLS.1 Failure with preservation of secure state.

FRU_FLT.2.1 The TSF shall ensure the operation of all the TOE's capabilities when the following failures occur: *exposure to operating conditions which are not detected according to the requirement Failure with preservation of secure state (FPT_FLS.1)*.

Refinement: The term "failure" above means "circumstances". The TOE prevents failures for the "circumstances" defined above.

Application note: Environmental conditions include but are not limited to power supply, clock, and other external signals (e. g. reset signal) necessary for the TOE operation.

FPT_FLS.1 Failure with preservation of secure state

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_FLS.1.1 The TSF shall preserve a secure state when the following types of failures occur:

(1) *self test fails*,
(2) *exposure to operating conditions which may not be tolerated according to the requirement Limited fault tolerance (FRU_FLT.2) and where therefore a malfunction could occur*,
(3) *manipulation and physical probing is detected and secure state is reached as response (FPT_PHP.3)*.

Refinement: When the TOE is in a secure error mode the TSF shall not perform any cryptographic operations and all data output interfaces shall be inhibited by the TSF.

FPT_TST.1 TSF testing

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TST.1.1 The TSF shall run a suite of the following self tests *during initial start-up, at the request of the authorized user and after power-on* to demonstrate the correct operation of [selection: [assignment: memories, cryptographic engine]]: [assignment:

- *NVM checksum check*
- *Writing & reading in RAM*
- *Writing & reading NVM page*
- *Encryption engine verification*
- *Chip serial number identification*][354].

FPT_TST.1.2 The TSF shall provide authorized users with the capability to verify the integrity of *TSF data*.

FPT_TST.1.3 The TSF shall provide authorized users with the capability to verify the integrity of *TSF **implementation***.

Application note: The text of the SFR has been modified in [CC-2]. The modifications have no impact on the conformity with the Protection Profile as it just adds precisions on the perimeter of the self-tests.

FPT_PHP.3 Resistance to physical attack

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_PHP.3.1 The TSF shall resist

(1) *physical probing and manipulation and (2) perturbation and environmental stress* to the *(1) TSF implementation and (2) the TSF* by responding automatically such that the SFRs are always enforced.

Refinement: The TSF will implement appropriate mechanisms to continuously counter physical probing and manipulation. In case of platform architecture the resistance to physical attacks shall include the secure execution environment for and the communication with the application component running on the TOE.

Application note: “Automatic response” of protection against physical probing and manipulation means (i) assuming that there might be an attack at any time and (ii) countermeasures are provided at any time.

Perturbation and environmental stress to the TSF is relevant when the TOE is running. Note, exploration of information leakage from the TOE like side channels is addressed as bypassability of TSF by the

[354] [assignment: *parts of TSF]*

security architecture (cf. ADV_ARC.1.1D and ADV_ARC.1.5C) and shall consider these physical attack scenarios.

9.1.5.10 Import and verification of Update Code Package

The TOE imports Update Code Package as user data objects with security attributes according to FDP_ITC.2/UCP, verifies the authenticity of the received Update Code Package according to FCS_COP.1/VDSUCP, decrypts authentic Update Code Package according to FCS_COP.1/DecUCP.

FDP_ITC.2/UCP Import of user data with security attributes – Update Code Package

Hierarchical to: No other components.

Dependencies: [FDP_ACC.1 Subset access control, or

FDP_IFC.1 Subset information flow control]

[FTP_ITC.1 Inter-TSF trusted channel, or

FTP_TRP.1 Trusted path]

FPT_TDC.1 Inter-TSF basic TSF data consistency

FDP_ITC.2.1/UCP The TSF shall enforce the *Update SFP* when importing user data, controlled under the SFP, from outside of the TOE.

FDP_ITC.2.2/UCP The TSF shall use the security attributes associated with the imported user data.

FDP_ITC.2.3/UCP The TSF shall ensure that the protocol used provides for the unambiguous association between the security attributes and the user data received.

FDP_ITC.2.4/UCP The TSF shall ensure that interpretation of the security attributes of the imported user data is as intended by the source of the user data.

FDP_ITC.2.5/UCP The TSF shall enforce the following rules when importing user data controlled under the SFP from outside the TOE:

(1) *storing of encrypted Update Code Package only after successful verification of authenticity according to FCS_COP.1/VDSUCP,*

(2) *decrypts authentic Update Code Package according to FCS_COP.1/DecUCP*.

FPT_TDC.1/UCP Inter-TSF basic TSF data consistency

Hierarchical to: No other components.

Dependencies: No dependencies.

FPT_TDC.1.1/UCP The TSF shall provide the capability to consistently interpret *security attributes Issuer and Version Number* when shared between the TSF and another trusted IT product.

FPT_TDC.1.2/UCP The TSF shall use **the following rules**:

(1) *the Issuer must be identified and known,*

(2) *the Version Number must be identified*

when interpreting the TSF data from another trusted IT product.

FCS_COP.1/VDSUCP Cryptographic operation – Verification of digital signature of the Issuer

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/VDSUCP The TSF shall perform *verification of the digital signature of the authorized Issuer* in accordance with a specified cryptographic algorithm [assignment: *AES-CMAC*][355] and cryptographic key sizes [assignment: *128 bits*][356] that meet the following: [assignment: FIPS 197 and SP800-38B][357].

Application note: The authorized *Issuer* is identified in the security attribute of the received Update Code Package and the public key of the authorized *Issuer* shall be known as TSF data before receiving the Update Code Package. Only public key of the authorized Issuer shall be used for verification of the digital signature of the Update Code Package.

FCS_COP.1/DecUCP Cryptographic operation – Decryption of authentic Update Code Package

Hierarchical to: No other components.

Dependencies: [FDP_ITC.1 Import of user data without security attributes, or

FDP_ITC.2 Import of user data with security attributes, or

FCS_CKM.1 Cryptographic key generation,

or FCS_CKM.5 Cryptographic key derivation]

FCS_CKM.6/CSP Timing and event of cryptographic key destruction

FCS_COP.1.1/DecUCP The TSF shall perform *decryption of authentic encrypted Update Code Package* in accordance with a specified cryptographic algorithm [assignment: *AES in CBC mode with null IV*][358] and cryptographic key sizes [assignment: *128 bits*][359] that meet the following: [assignment: *FIPS 197*][360].

FDP_ACC.1/UCP Subset access control – Update code Package

Hierarchical to: No other components.

Dependencies: FDP_ACF.1 Security attribute based access control

FDP_ACC.1.1/UCP The TSF shall enforce the *Update SFP* on

(1) *subjects: [selection: Administrator and Update Agent]*[361]*;*

(2) *objects: Update Code Package*;

(3) *operations: import, store.*

FDP_ACF.1/UCP Security attribute based access control – Import Update Code Package

Hierarchical to: No other components.

Dependencies: FDP_ACC.1 Subset access control

[355] [assignment: *cryptographic algorithm*]
[356] [assignment: *cryptographic key sizes*]
[357] [assignment: *list of standards*]
[358] [assignment: *cryptographic algorithm*]
[359] [assignment: *cryptographic key sizes*]
[360] [assignment: *list of standards*]
[361] *[selection: Administrator, Update Agent]*

FMT_MSA.3 Static attribute initialization

FDP_ACF.1.1/UCP The TSF shall enforce the *Update SFP* to objects based on the following:

(1) *subjects: [selection: Administrator or Update Agent]*[362]*;*

(2) *objects: Update Code Package with security attributes Issuer and Version Number*.

FDP_ACF.1.2/UCP The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed:

(1) *[selection: Update Agent]*[111] *is allowed to import Update Code Package according to FDP_ITC.2/UCP.*

(2) *[selection: Update Agent]*[363] *is allowed to store Update Code Package if*

(a) *authenticity is successful verified according to FCS_COP.1/VDSUCP and decrypted according to FCS_COP.1/DecUCP*

(b) *the Version Number of the Update Code Package is equal or higher than the Version Number of the TSF.*

FDP_ACF.1.3/UCP The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: [assignment:

a) Patch SD authentication success, and

b) Authenticity or integrity verification for patch code pass (Thales DAP, MAC), and

c) Patch activation signature match

]364.

FDP_ACF.1.4/UCP The TSF shall explicitly deny access of subjects to objects based on the following additional rules: [assignment:

a) Patch SD authentication failure, or

b) Authenticity or integrity verification for patch code fails (Thales DAP, MAC), or

c) Patch activation signature mismatch.

]365.

FDP_RIP.1/UCP Subset residual information protection

Hierarchical to: No other components

Dependencies: No dependencies.

FDP_RIP.1.1/UCP The TSF shall ensure that any previous information content of a resource is made unavailable upon the *deallocation of the resource* ***after unsuccessful verification of the digital signature of the Issuer according to FCS_COP.1/VDSUCP*** the following objects: *received Update Code Package*.

362 *[selection: Administrator, Update Agent]*
363 *[selection: Administrator, Update Agent]*
364 [assignment: *rules, based on security attributes, that explicitly authorize access of subjects to objects*]
365 [assignment: *rules, based on security attributes, that explicitly deny access of subjects to objects*]

9.2 SECURITY ASSURANCE REQUIREMENTS

This ST is based on the EAL4 assurance package augmented with the components AVA_VAN.5 and ALC_DVS.2.

9.3 SECURITY REQUIREMENTS RATIONALE

9.3.1 TOE security objectives coverage – Mapping table

The following table is dedicated to Security Objectives coverage by SFRs from [PP-GP].

Security Objective	SFRs
O.CARD-MANAGEMENT	FPT_FLS.1/GP, FDP_ROL.1/GP, FCO_NRO.2/GP, FMT_SMR.1/GP, FMT_SMF.1/GP, FDP_ITC.2/GP-ELF, FDP_ITC.2/GP-KL, FPT_RCV.3/GP, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FIA_UID.1/GP, FIA_AFL.1/GP, FIA_UAU.1/GP, FIA_UAU.4/GP, FDP_UIT.1/GP, FDP_UCT.1/GP, FTP_ITC.1/GP, FPR_UNO.1/GP, FPT_TDC.1/GP, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL, FMT_MSA.1/GP, FMT_MSA.3/GP, FDP_ACC.1/GP-GS, FDP_ACF.1/GP-GS, FMT_MSA.1/GP-GS, FMT_MSA.3/GP-GS, FMT_SMF.1/GP-GS, FMT_SMR.1/GP-GS, FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP, FTP_TRP.1/GP-TF
O.DOMAIN-RIGHTS	FMT_SMR.1/GP, FMT_SMF.1/GP, FCO_NRO.2/GP, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FIA_UID.1/GP, FIA_AFL.1/GP, FIA_UAU.1/GP, FIA_UAU.4/GP, FTP_ITC.1/GP, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL, FMT_MSA.1/GP, FMT_MSA.3/GP
O.APPLI-AUTH	FMT_SMR.1/GP, FDP_ITC.2/GP-ELF, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FTP_ITC.1/GP, FMT_MSA.1/GP, FMT_MSA.3/GP, FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP
O.SECURITY-DOMAINS	FMT_SMR.1/GP, FMT_SMF.1/GP, FMT_MSA.1/GP, FMT_MSA.3/GP
O.COMM-AUTH	FMT_SMR.1/GP, FMT_SMF.1/GP, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FIA_UID.1/GP, FIA_UAU.1/GP, FIA_UAU.4/GP, FTP_ITC.1/GP, FCS_COP.1/GP-SCP, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL, FMT_MSA.1/GP, FMT_MSA.3/GP
O.COMM-INTEGRITY	FMT_SMR.1/GP, FMT_SMF.1/GP, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FTP_ITC.1/GP, FCS_COP.1/GP-SCP, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL, FMT_MSA.1/GP, FMT_MSA.3/GP
O.COMM-CONFIDENTIALITY	FMT_SMR.1/GP, FMT_SMF.1/GP, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FTP_ITC.1/GP, FCS_COP.1/GP-SCP, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL, FMT_MSA.1/GP, FMT_MSA.3/GP
O.NO-KEY-REUSE	FIA_AFL.1/GP, FIA_UAU.4/GP
O.PRIVILEGES-MANAGEMENT	FMT_SMR.1/GP, FMT_SMF.1/GP, FMT_MTD.1/GP-PR, FMT_MTD.3/GP
O.LC-MANAGEMENT	FMT_MTD.1/GP-LC, FMT_MTD.3/GP, FMT_SMF.1/GP, FMT_SMR.1/GP, FMT_MSA.1/GP, FMT_MSA.3/GP
O.CLFDB-DECIPHER	FCS_COP.1/GP-CLFDB
O.GLOBAL-CVM	FPR_UNO.1/GP-CVM
O.CVM-BLOCK	FIA_AFL.1.1/GP-CVM
O.CVM-MGMT	FIA_AFL.1.1/GP-CVM, FPR_UNO.1/GP-CVM

O.RECEIPT	FCO_NRR.1/GP-RECEIPT, FCS_COP.1/GP-RECEIPT
O.TOKEN	FCO_NRO.2/GP-TOKEN, FCS_COP.1/GP-TOKEN
O.CCCM	FCS_CKM.1/GP-CCCM, FCS_COP.1/GP-CCCM, FDP_IFF.1/GP-CCCM, FMT_MSA.1/GP-CCCM, FMT_MSA.3/GP-CCCM, FDP_IFC.2/GP-CCCM, FTP_ITC.1/GP-CCCM
O.CTL_REGISTRY	FDP_ACC.1/GP-CTL, FDP_ACF.1/GP-CTL, FDP_ROL.1/GP-CTL, FMT_MSA.1/GP-CTL, FMT_MSA.3/GP-CTL, FMT_SMR.1/GP-CTL, FMT_SMF.1/GP-CTL
O.CRS_COUNTERS	FDP_ACC.1/GP-CTL, FDP_ACF.1/GP-CTL, FDP_ROL.1/GP-CTL, FMT_MSA.1/GP-CTL, FMT_MSA.3/GP-CTL, FMT_SMR.1/GP-CTL, FMT_SMF.1/GP-CTL
O.CRS_PRIVILEGES	FDP_ACC.1/GP-CTL, FDP_ACF.1/GP-CTL, FDP_ROL.1/GP-CTL, FMT_MSA.1/GP-CTL, FMT_MSA.3/GP-CTL, FMT_SMR.1/GP-CTL, FMT_SMF.1/GP-CTL
O.CTL_SC	FTP_ITC.1/GP-CTL
O.ELF_AUTHORISED	FMT_MSA.1/GP-ELFU, FMT_MSA.3/GP-ELFU, FMT_SMF.1/GP-ELFU, FDP_ACC.1/GP-ELFU, FDP_ACF.1/GP-ELFU
O.ELF_INTEGRITY	FIA_UID.1/GP, FDP_ACC.1/GP-ELFU, FDP_ACF.1/GP-ELFU
O.ELF_APP_DATA	FPT_FLS.1/GP-ELFU
O.ELF_SESSION	FMT_SMF.1/GP-ELFU, FIA_UID.1/GP
O.ELF_DELE_IRR	FDP_ROL.1/GP-ELFU
O.ELF_DATA_PRO	FDP_RIP.1/ADEL
O.SECURE_LOAD_ACODE	FDP_ACC.1/OS-UPDATE, FDP_ACF.1/OS-UPDATE, FMT_MSA.3/OS-UPDATE, FMT_SMR.1/OS-UPDATE, FMT_SMF.1/OS-UPDATE, FCS_COP.1/OS-UPDATE-VER
O.SECURE_AC_ACTIVATION	FDP_ACC.1/OS-UPDATE, FDP_ACF.1/OS-UPDATE, FMT_MSA.3/OS-UPDATE, FMT_SMR.1/OS-UPDATE, FMT_SMF.1/OS-UPDATE, FPT_FLS.1/OS-UPDATE
O.TOE_IDENTIFICATION	FDP_ACC.1/OS-UPDATE, FDP_ACF.1/OS-UPDATE, FIA_ATD.1/OS-UPDATE, FMT_MSA.3/OS-UPDATE, FMT_SMR.1/OS-UPDATE, FMT_SMF.1/OS-UPDATE
O.CONFID-OS-UPDATE.LOAD	FDP_ACC.1/OS-UPDATE, FDP_ACF.1/OS-UPDATE, FMT_MSA.3/OS-UPDATE, FMT_SMR.1/OS-UPDATE, FMT_SMF.1/OS-UPDATE, FTP_TRP.1/OS-UPDATE, FCS_COP.1/OS-UPDATE-DEC
O.SID	FDP_ITC.2/GP-ELF, FDP_ITC.2/GP-KL, FMT_SMR.1/GP, FMT_SMF.1/GP, FMT_MSA.1/GP, FMT_MSA.3/GP, FIA_ATD.1/AID, FMT_MSA.1/JCRE, FMT_MSA.1/JCVM, FMT_MSA.1/ADEL, FMT_MSA.3/ADEL, FMT_MSA.3/FIREWALL, FMT_MSA.3/JCVM, FMT_SMF.1/ADEL, FMT_MTD.1/JCRE, FMT_MTD.3/JCRE, FIA_UID.2/AID, FIA_USB.1/AID
O.FIREWALL	FMT_SMR.1/GP, FMT_SMF.1/GP, FDP_ITC.2/GP-ELF, FDP_ITC.2/GP-KL, FMT_MSA.1/GP, FMT_MSA.3/GP, FDP_ACC.2/FIREWALL, FDP_ACF.1/FIREWALL, FDP_IFF.1/JCVM, FDP_IFC.1/JCVM, FMT_MTD.1/JCRE, FMT_MTD.3/JCRE, FMT_SMR.1, FMT_SMF.1, FMT_SMR.1/ADEL, FMT_SMF.1/ADEL, FMT_MSA.2/FIREWALL_JCVM, FMT_MSA.3/FIREWALL, FMT_MSA.3/JCVM, FMT_MSA.1/ADEL, FMT_MSA.3/ADEL, FMT_MSA.1/JCRE, FMT_MSA.1/JCVM
O.GLOBAL_ARRAYS_CONFID	FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_IFF.1/JCVM, FDP_IFC.1/JCVM
O.GLOBAL_ARRAYS_INTEG	FDP_IFF.1/JCVM, FDP_IFC.1/JCVM
O.ARRAY_VIEWS_CONFID	FDP_IFF.1/JCVM, FDP_IFC.1/JCVM
O.ARRAY_VIEWS_INTEG	FDP_IFF.1/JCVM, FDP_IFC.1/JCVM
O.NATIVE	FDP_ACF.1/FIREWALL
O.OPERATE	FPT_FLS.1/GP, FPT_RCV.3/GP, FPT_TDC.1,

	FDP_ACC.2/FIREWALL, FDP_ACF.1/FIREWALL, FPT_FLS.1/ADEL, FPT_FLS.1/JCS, FPT_FLS.1/ODEL, FAU_ARP.1, FDP_ROL.1/FIREWALL, FIA_ATD.1/AID, FIA_USB.1/AID, FPT_STM.1.1/SYS_TIME
O.REALLOCATION	FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/KEYS, FDP_RIP.1/TRANSIENT, FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/ADEL
O.RESOURCES	FPT_RCV.3/GP, FMT_SMR.1/GP, FMT_SMF.1/GP, FPT_FLS.1/GP, FAU_ARP.1, FPT_FLS.1/ADEL, FPT_FLS.1/JCS, FPT_FLS.1/ODEL, FDP_ROL.1/FIREWALL, FMT_MTD.1/JCRE, FMT_MTD.3/JCRE, FMT_SMR.1, FMT_SMF.1, FMT_SMR.1/ADEL, FMT_SMF.1/ADEL, FPT_STM.1.1/SYS_TIME
O.ALARM	FPT_FLS.1/GP, FPT_FLS.1/JCS, FPT_FLS.1/ADEL, FPT_FLS.1/ODEL, FAU_ARP.1
O.CIPHER	FCS_CKM.1/GP-SCP, FCS_COP.1/GP-SCP, FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP, FCS_CKM.1/TDES, FCS_CKM.1/AES, FCS_CKM.1/RSA, FCS_CKM.1/ECDSA, FCS_CKM.1/HMAC, FCS_CKM.6, FCS_COP.1/TDES_CIPHER, FCS_COP.1/TDES_MAC, FCS_COP.1/AES_CIPHER, FCS_COP.1/AES_MAC, FCS_COP.1/RSA_SIGN, FCS_COP.1/RSA_CIPHER, FCS_COP.1/ECDSA_SIGN, FCS_COP.1/ECDH, FCS_COP.1/Hash, FCS_COP.1/HMAC, FCS_COP.1/DH, FCS_COP.1/CRC, FPR_UNO.1, FCS_CKM.5/KDF
O.RNG	FCS_RNG.1
O.KEY-MNGT	FPT_TDC.1/GP, FCS_CKM.1/GP-SCP, FCS_COP.1/GP-SCP, FCS_CKM.1/TDES, FCS_CKM.1/AES, FCS_CKM.1/RSA, FCS_CKM.1/ECDSA, FCS_CKM.1/HMAC, FCS_CKM.6, FCS_COP.1/TDES_CIPHER, FCS_COP.1/TDES_MAC, FCS_COP.1/AES_CIPHER, FCS_COP.1/AES_MAC, FCS_COP.1/RSA_SIGN, FCS_COP.1/RSA_CIPHER, FCS_COP.1/ECDSA_SIGN, FCS_COP.1/ECDH, FCS_COP.1/Hash, FCS_COP.1/HMAC, FCS_COP.1/DH, FPR_UNO.1, FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/KEYS, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT, FCS_CKM.5/KDF
O.PIN-MNGT	FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/KEYS, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT, FPR_UNO.1, FDP_ROL.1/FIREWALL, FDP_SDI.2/DATA, FDP_ACC.2/FIREWALL, FDP_ACF.1/FIREWALL
O.TRANSACTION	FDP_ROL.1/FIREWALL, FDP_RIP.1/ABORT, FDP_RIP.1/ODEL, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/KEYS, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT, FDP_RIP.1/OBJECTS
O.OBJ-DELETION	FDP_RIP.1/ODEL, FPT_FLS.1/ODEL
O.DELETION	FPT_RCV.3/GP, FDP_ACC.2/ADEL, FDP_ACF.1/ADEL, FDP_RIP.1/ADEL, FPT_FLS.1/ADEL, FMT_MSA.1/ADEL, FMT_MSA.3/ADEL, FMT_SMR.1/ADEL
O.LOAD	FCO_NRO.2/GP, FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FDP_UIT.1/GP, FIA_UID.1/GP, FTP_ITC.1/GP, FIA_UAU.1/GP, FIA_UAU.4/GP, FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP
O.INSTALL	FDP_ITC.2/GP-ELF, FPT_FLS.1/GP, FPT_RCV.3/GP, FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP
O.SCP.IC	FPT_FLS.1/JCS

O.SCP.RECOVERY	FPT_RCV.3/OS
O.SCP.SUPPORT	FPT_RCV.4/OS
O.SENSITIVE_ARRAYS_INTEG	FDP_SDI.2/ARRAY
O.SENSITIVE_RESULTS_INTEG	FDP_SDI.2/RESULT
O.MTC-CTR-MNGT	FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT, FDP_ROL.1/FIREWALL, FDP_SDI.2/MONOTONIC_COUNTER
O.CTR-MNGT	FDP_SDI.2/CRT_MNGT, FCS_COP.1/CRT_MNGT

Table 34: TOE Security Objectives coverage by SFRs from [PP-GP] – Mapping table

The following table is dedicated to security objectives coverage by SFRs from [PP-CSP].

	O.I&A	O.AuthentTOE	O.Enc	O.DataAuth	O.RBGS	O.Tchann	O.AccCtrl	O.SecMan	O.PhysProt	O.TST	O.SecUpCP
FCS_CKM.1/AES-CSP			x	x				x			
FCS_CKM.1/AES_RSA			x	x				x			
FCS_CKM.1/ECC		x	x	x				x			
FCS_CKM.1/ECKA-EG			x	x				x			
FCS_CKM.1/PACE		x				x		x			
FCS_CKM.1/RSA-CSP		x	x	x				x			
FCS_CKM.1/SDEK									x		
FCS_CKM.1/TCAP		x				x		x			
FCS_CKM.6/CSP			x	x				x			
FCS_CKM.5/AES			x	x				x			
FCS_CKM.5/AES_RSA			x	x				x			
FCS_CKM.5/ECC			x	x				x			
FCS_CKM.5/ECDHE			x	x				x			
FCS_CKM.5/ECKA-EG			x	x				x			
FCS_COP.1/CDS-ECDSA		x		x							
FCS_COP.1/CDS-RSA		x		x							
FCS_COP.1/DecUCP											x

	O.I&A	O.AuthentTOE	O.Enc	O.DataAuth	O.RBGS	O.Tchann	O.AccCtrl	O.SecMan	O.PhysProt	O.TST	O.SecUpCP
FCS_COP.1/ED			x					x			
FCS_COP.1/Hash-CSP				x				x			
FCS_COP.1/HDM			x	x							
FCS_COP.1/HEM			x	x							
FCS_COP.1/HMAC-CSP		x		x							
FCS_COP.1/KU								x			
FCS_COP.1/KW								x			
FCS_COP.1/MAC				x							
FCS_COP.1/SDE									x		
FCS_COP.1/TCE						x					
FCS_COP.1/TCM						x					
FCS_COP.1/VDS-ECDSA				x							
FCS_COP.1/VDS-RSA				x							
FCS_COP.1/VDSUCP											x
FCS_RNG.1/CSP					x			x			
FDP_ACC.1/KM							x	x			
FDP_ACC.1/Oper							x				
FDP_ACC.1/UCP											x
FDP_ACF.1/Oper							x				
FDP_ACF.1/UCP											x
FDP_DAU.2/Att		x									
FDP_DAU.2/Sig				x							
FDP_ETC.1				x							
FDP_ETC.2			x	x							
FDP_ITC.2/UCP											x
FDP_ITC.2/UD			x	x							
FDP_RIP.1/UCP											x
FDP_SDC.1									x		
FIA_AFL.1	x										
FIA_API.1/CA	x	x				x					
FIA_API.1/PACE	x	x				x					
FIA_ATD.1	x						x	x			
FIA_UAU.1	x										

	O.I&A	O.AuthentTOE	O.Enc	O.DataAuth	O.RBGS	O.Tchann	O.AccCtrl	O.SecMan	O.PhysProt	O.TST	O.SecUpCP
FIA_UAU.5	x					x					
FIA_UAU.6	x										
FIA_UID.1	x										
FIA_USB.1	x										
FMT_MOF.1	x					x					
FMT_MSA.1/KM			x	x		x	x	x			
FMT_MSA.2							x	x			
FMT_MSA.3/KM							x	x			x
FMT_MTD.1/KM								x			
FMT_MTD.1/RAD	x										
FMT_MTD.1/RK	x		x	x				x			
FMT_MTD.3	x										
FMT_SAE.1	x										
FMT_SMF.1/CSP								x			
FMT_SMR.1/CSP	x							x			
FPT_ESA.1/CK								x			
FPT_FLS.1									x	x	
FPT_ISA.1/Cert	x			x				x			x
FPT_ISA.1/CK								x			
FPT_PHP.3									x		
FPT_TCT.1/CK								x			x
FPT_TDC.1/CK			x	x				x			
FPT_TDC.1/Cert	x		x	x				x			
FPT_TDC.1/UCP											x
FPT_TIT.1/Cert	x			x				x			x
FPT_TIT.1/CK								x			
FPT_TST.1										x	
FRU_FLT.2									x		
FTP_ITC.1						x					

Table 35*: *TOE Security Objectives coverage by SFRs from [PP-CSP] – Mapping table

9.3.2 TOE security objectives coverage – Rationale

The following section is dedicated to security objectives rationale from [PP-GP].

O.CARD-MANAGEMENT is fulfilled by the following SFRs:

- FDP_UIT.1/GP ensures the integrity of card management operations.
- FDP_UCT.1/GP ensures the confidentiality of card management operations.
- FDP_ROL.1/GP ensures the rollback of the installation or removal operation on the executable files and application instances.
- FDP_ITC.2/GP-ELF enforces the ELF loading information flow policy when importing ELFs.
- FDP_ITC.2/GP-KL enforces the Data & Key information flow policy when importing keys and data.
- FPT_FLS.1/GP requires the card to preserve a secure state when failures occur during loading/installing/deleting an Executable File / application instance.
- FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL enforce the information flow control policy for managing, authenticating, and protecting the Card management commands and responses between off-card and on-card entities.
- FIA_UID.1/GP, FIA_UAU.1/GP and FIA_UAU.4/GP ensure appropriate identification and authentication mechanisms. In addition, these SFRs specify the actions being performed before the authentication of the origin of the received APDU commands takes place.
- FCO_NRO.2/GP enforces the evidence of the origin during the loading of Executable Load Files, SD/Application data and keys.
- FPR_UNO.1/GP enforces the invisibility of the imported keys and the encryption, decryption, signature generation and verification cryptographic mechanisms on SD/Application keys and data.
- FPT_TDC.1/GP specifies requirements preventing any possible misinterpretation of the Security Domain keys used to implement a Secure Channel when those are loaded from the off-card entity.
- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- FPT_RCV.3/GP ensures safe recovery from failure.
- FIA_AFL.1/GP supports the objective by bounding the number of signatures that the attacker may try to attach to a message to authenticate its origin.
- FDP_ACC.1/GP-GS, FDP_ACF.1/GP-GS enforce the GlobalPlatform Services access control policy for managing the registration, deregistration, and access of the Global Service.
- FMT_MSA.1/GP-GS and FMT_MSA.3/GP-GS specify security attributes that support management of the Global Service privilege, the service name and AID.
- FMT_SMR.1/GP-GS maintains the roles S.OPEN, Global Services Application and their associated Life Cycle states.
- FMT_SMF.1/GP-GS enforces the management of Global Services Applications (Registering, Deregistering, Accessing).
- FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP ensure that ELFs received by the TOE have been generated by an authorized actor (integrity and authenticity evidence).
- FTP_TRP.1/GP-TF ensures that a trusted path is enforced for application personalization through the GlobalPlatform Trusted Framework.

O.DOMAIN-RIGHTS is fulfilled by the following SFRs:

- FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL enforce the ELF, data and keys loading information flow control policy for managing, authenticating and

protecting the Card management commands and responses between off-card and on-card entities.
- FIA_UID.1/GP, FIA_UAU.1/GP and FIA_UAU.4/GP ensure appropriate identification and authentication mechanisms. In addition, these SFRs specify the actions being performed before the authentication of the origin of the received APDU commands takes place.
- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FCO_NRO.2/GP enforces the evidence of the origin during the loading of Executable Load Files, SD/Application data and keys.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.

O.APPLI-AUTH is fulfilled by the following SFRs:
- FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF enforce the ELF loading information flow control policy for managing, authenticating, and protecting the Card management commands.
- FDP_ITC.2/GP-ELF enforces the ELF loading information flow policy when importing ELFs.
- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- FCS_COP.1/GP-DAP_SHA, FCS_COP.1/GP-DAP_VER, FCO_NRO.2/GP-DAP ensure that ELFs received by the TOE have been generated by an authorized actor (integrity and authenticity evidence).

O.SECURITY-DOMAINS is fulfilled by the following SFRs:
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.

O.COMM-AUTH is fulfilled by the following SFRs:

- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity and confidentiality.
- FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL enforce the ELF, data and keys loading information flow control policy for managing, authenticating, and protecting the Card management commands and responses between off-card and on-card entities.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FIA_UID.1/GP, FIA_UAU.1/GP and FIA_UAU.4/GP ensure appropriate identification and authentication mechanisms. In addition, these SFRs specify the actions being performed before the authentication of the origin of the received APDU commands takes place.
- FCS_COP.1/GP-SCP specifies the cryptographic operations and algorithms that shall be applied for the authorization of the card management commands.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.

O.COMM-INTEGRITY is fulfilled by the following SFRs:

- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL enforce the ELF, data and keys loading information flow control policy for managing, authenticating, and protecting the Card management commands and responses between off-card and on-card entities.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FCS_COP.1/GP-SCP specifies the cryptographic operations and algorithms that shall be used to ensure the integrity of the card management commands.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.

O.COMM-CONFIDENTIALITY is fulfilled by the following SFRs:

- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles

and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.

- FDP_IFC.2/GP-ELF, FDP_IFF.1/GP-ELF, FDP_IFC.2/GP-KL, FDP_IFF.1/GP-KL enforce the ELF, data and keys loading information flow control policy for managing, authenticating, and protecting the Card management commands and responses between off-card and on-card entities.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FCS_COP.1/GP-SCP specifies the cryptographic operations and algorithms that shall be used to ensure the confidentiality of the card management commands (decryption of the card management commands).

O.NO-KEY-REUSE is fulfilled by the following SFRs:

- FIA_UAU.4/GP enforces the objective by requesting the TSF to prevent the reuse of authentication data related to the implementation of Secure Channels.

- FIA_AFL.1/GP supports the objective by bounding the number of signatures that the attacker may try to attach to a message to authenticate its origin.

O.PRIVILEGES-MANAGEMENT is fulfilled by the following SFRs:
- FMT_MTD.1/GP-PR, FMT_MTD.3/GP cover Privileges Assignment and Management functions.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity and confidentiality.

O.LC-MANAGEMENT is fulfilled by the following SFRs:
- FMT_MTD.1/GP-LC, FMT_MTD.3/GP cover Life Cycle Management functions and transitions.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.

O.CLFDB-DECIPHER is fulfilled by FCS_COP.1/GP-CLFDB which specifies the cryptographic operations and algorithms that shall be used to decrypt the Ciphered Load File Data Block when it is received by the SE.

O.GLOBAL-CVM is fulfilled by FPR_UNO.1/GP-CVM which ensures that unauthorized users are unable to observe the comparison on Global PIN.

O.CVM-BLOCK is fulfilled by FIA_AFL.1.1/GP-CVM which detects the authentication failure attempts related to user authentication using CVM.

O.CVM-MGMT is fulfilled by the following SFRs:
- FPR_UNO.1/GP-CVM ensures that unauthorized users are unable to observe the comparison on Global PIN.
- FIA_AFL.1.1/GP-CVM detects the authentication failure attempts related to user authentication using CVM.

O.RECEIPT is fulfilled by the following SFRs:
- FCO_NRR.1/GP-RECEIPT generates evidence of receipt for received card management operation requests.
- FCS_COP.1/GP-RECEIPT ensures that the card management command has been successfully processed by computing the Receipt signature.

O.TOKEN is fulfilled by the following SFRs:
- FCO_NRO.2/GP-TOKEN generates an evidence of origin for ‘ELF with Token Verification’ received from the off-card entity.
- FCS_COP.1/GP-TOKEN ensures that the card management command is authorized by verifying the Token signature.

O.CCCM is fulfilled by the following SFRs:
- FCS_CKM.1/GP-CCCM addresses the on-card generation of RGK under the Pull Mode.

- FCS_COP.1/GP-CCCM specifies the cryptographic algorithms used to personalize the APSD.
- FDP_IFC.2/GP-CCCM and FDP_IFF.1/GP-CCCM enforce the information flow control policy for managing, authenticating, and protecting the Confidential Card management commands and responses between off-card and on-card entities.
- FMT_MSA.1/GP-CCCM and FMT_MSA.3/GP-CCCM specify security attributes protecting the confidentiality of card management commands, and enforcing the Confidential Personalization of Secure Channel Keys.
- FTP_ITC.1/GP-CCCM requires a trusted channel for the confidential Personalization of Secure Channel Keys, APSD, and the confidential loading of applications by an Application Provider as defined in [Amd A].

O.CTL_REGISTRY is fulfilled by the following SFRs:
- FDP_ACC.1/GP-CTL and FDP_ACF.1/GP-CTL enforce the CTL Registry access control policy for managing of contactless registry parameters, applications, protocols, interfaces, and privileges.
- FDP_ROL.1/GP-CTL permits the rollback of the previous modifications on the Contactless registry.
- FMT_MSA.1/GP-CTL and FMT_MSA.3/GP-CTL specify the security attributes that support management of the contactless registry parameters, applications, protocols, interfaces, and privileges.
- FMT_SMR.1/GP-CTL maintains the roles CRS/OPEN and CREL Application(s) and their associated Life Cycle states.
- FMT_SMF.1/GP-CTL enforces the management of the contactless registry parameters, applications, protocols, interfaces and privileges.

O.CRS_COUNTERS is fulfilled by the following SFRs:
- FDP_ACC.1/GP-CTL and FDP_ACF.1/GP-CTL enforce the CTL Registry access control policy for managing of contactless registry parameters, applications, protocols, interfaces, and privileges.
- FDP_ROL.1/GP-CTL permits the rollback of the previous modifications on the Contactless registry.
- FMT_MSA.1/GP-CTL and FMT_MSA.3/GP-CTL specify the security attributes that support management of the contactless registry parameters, applications, protocols, interfaces, and privileges.
- FMT_SMR.1/GP-CTL maintains the roles CRS/OPEN and CREL Application(s) and their associated Life Cycle states.
- FMT_SMF.1/GP-CTL enforces the management of the contactless registry parameters, applications, protocols, interfaces and privileges.

O.CRS_PRIVILEGES is fulfilled by the following SFRs:
- FDP_ACC.1/GP-CTL and FDP_ACF.1/GP-CTL enforce the CTL Registry access control policy for managing of contactless registry parameters, applications, protocols, interfaces, and privileges.
- FDP_ROL.1/GP-CTL permits the rollback of the previous modifications on the Contactless registry.
- FMT_MSA.1/GP-CTL and FMT_MSA.3/GP-CTL specify the security attributes that support management of the contactless registry parameters, applications, protocols, interfaces, and privileges.
- FMT_SMR.1/GP-CTL maintains the roles CRS/OPEN and CREL Application(s) and their associated Life Cycle states.
- FMT_SMF.1/GP-CTL enforces the management of the contactless registry parameters, applications, protocols, interfaces and privileges.

O.CTL_SC is fulfilled by the following SFR:
- FTP_ITC.1/GP-CTL requires a trusted channel for the STORE DATA command used to modify blacklists of CCM tokens or to change the CRS visibility state on the CTL interface.

O.ELF_AUTHORISED is fulfilled by the following SFRs:

- Only the entity authenticated at the SD to which an ELF belongs can upgrade the ELF. That entity must have access rights to the security domain according to the ELF upgrade access control policy (FDP_ACC.1/GP-ELFU, FDP_ACF.1/GP-ELFU).
- FMT_MSA.3/GP-ELFU enforces the access control policy by providing restrictive default values for security attributes defined in FDP_ACF.1.1/GP-ELFU.
- FMT_MSA.1/GP-ELFU enforces the access control policy by restricting the ability to set and maintain the security attributes defined in FDP_ACF.1.1/GP-ELFU to the S.OPEN.
- FMT_SMF.1/GP-ELFU contributes to this objective by specifying the management functions available to load an authorized ELF

O.ELF_INTEGRITY is related to the integrity of the upgraded ELF being loaded onto the platform, which is protected by the Secure Channel protocol (FIA_UID.1/GP) and the ELF upgrade access control policy (FDP_ACC.1/GP-ELFU, FDP_ACF.1/GP-ELFU).

O.ELF_APP_DATA is fulfilled by FPT_FLS.1/GP-ELFU which contributes to this objective by preventing the use of corrupted application data.

O.ELF_SESSION is fulfilled by the following SFRs:
- FMT_SMF.1/GP-ELFU contributes to this Objective by defining the start & end of the ELF_UPGRADE session.
- FIA_UID.1/GP specifies the actions that can be performed before the origin of the APDU commands that the card receives has been authorized.

O.ELF_DELE_IRR is fulfilled by FDP_ROL.1/GP-ELFU which contributes to this objective by preserving the completion of the deletion operation.

O.ELF_DATA_PRO is fulfilled by FDP_RIP.1/ADEL which ensures that contents of resources are only available to subjects having explicitly granted access to these resources.

O.SECURE_LOAD_ACODE is fulfilled by the following SFRs:
- FDP_ACC.1/OS-UPDATE and FDP_ACF.1/OS-UPDATE enforce the OS Update Access Control Policy on the loading, installation, and activation of additional code.
- FMT_MSA.3/OS-UPDATE specifies security attributes that support management of the loading, installation, and activation of additional code.
- FMT_SMR.1/OS-UPDATE maintains the role of OS Developer, which is responsible for signature verification and decryption of additional code before Loading, Installation, and Activation.
- FMT_SMF.1/OS-UPDATE manages the activation of additional code.
- FCS_COP.1/OS-UPDATE-VER specifies the cryptographic algorithms used to perform digital signature verification of the additional code to be loaded.

O.SECURE_AC_ACTIVATION is fulfilled by the following SFRs:
- FDP_ACC.1/OS-UPDATE and FDP_ACF.1/OS-UPDATE enforce the OS Update Access Control Policy on the loading, installation, and activation of additional code.
- FMT_MSA.3/OS-UPDATE specifies security attributes that support management of the loading, installation, and activation of additional code.
- FMT_SMR.1/OS-UPDATE maintains the role of OS Developer, which is responsible for signature verification and decryption of additional code before Loading, Installation, and Activation.
- FMT_SMF.1/OS-UPDATE manages the activation of additional code.
- FPT_FLS.1/OS-UPDATE ensures that the TOE remains in a secure state in case of interruption or incident which prevents the forming of the Updated TOE.

O.TOE_IDENTIFICATION is fulfilled by the following SFRs:
- FDP_ACC.1/OS-UPDATE and FDP_ACF.1/OS-UPDATE enforce the OS Update Access Control Policy on the loading, installation, and activation of additional code.
- FIA_ATD.1/OS-UPDATE maintains the additional code ID for each activated additional code.
- FMT_MSA.3/OS-UPDATE specifies security attributes that support management of the loading, installation, and activation of additional code.

- FMT_SMR.1/OS-UPDATE maintains the role of OS Developer, which is responsible for signature verification and decryption of additional code before Loading, Installation, and Activation.
- FMT_SMF.1/OS-UPDATE manages the activation of additional code.

O.CONFID-OS-UPDATE.LOAD is fulfilled by the following SFRs:
- FDP_ACC.1/OS-UPDATE and FDP_ACF.1/OS-UPDATE enforce the OS Update Access Control Policy on the loading, installation, and activation of additional code.
- FMT_MSA.3/OS-UPDATE specifies security attributes that support management of the loading, installation, and activation of additional code.
- FMT_SMR.1/OS-UPDATE maintains the role of OS Developer, which is responsible for signature verification and decryption of additional code before Loading, Installation, and Activation.
- FMT_SMF.1/OS-UPDATE manages the activation of additional code.
- FTP_TRP.1/OS-UPDATE provides a trusted path during the transmission of the additional code to the TOE for loading.
- FCS_COP.1/OS-UPDATE-DEC specifies the cryptographic algorithms used to decrypt the additional code prior to installation.

O.SID is fulfilled by the following SFRs:
- FDP_ITC.2/GP-ELF enforces the ELF loading information flow policy when importing ELFs.
- FDP_ITC.2/GP-KL enforces the Data & Key information flow policy when importing keys and data.
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- As stated in [PP-JCS], subjects' identity is AID-based (applets, packages and CAP files), and is met by FIA_ATD.1/AID, FMT_MSA.1/JCRE, FMT_MSA.1/JCVM, FMT_MSA.1/ADEL, FMT_MSA.3/ADEL, FMT_MSA.3/FIREWALL, FMT_MSA.3/JCVM, FMT_SMF.1/ADEL, FMT_MTD.1/JCRE and FMT_MTD.3/JCRE.
- As stated in [PP-JCS], installation procedures ensure protection against forgery (the AID of an applet is under the control of the TSF) or re-use of identities (FIA_UID.2/AID, FIA_USB.1/AID).

O.FIREWALL is fulfilled by the following SFRs:
- FMT_MSA.1/GP and FMT_MSA.3/GP specify security attributes enabling to:
    - Ensure the authenticity, integrity, and/or confidentiality of card management commands;
    - Enforce the TOE Life cycle management and transitions.
- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the belonging commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider, Controlling Authority roles and specifies the authorized roles enabled for sending and authenticating card management commands. These commands have to be protected with regard to integrity, authenticity and confidentiality.
- FDP_ITC.2/GP-ELF enforces the ELF loading information flow policy when importing ELFs.
- FDP_ITC.2/GP-KL enforces the Data & Key information flow policy when importing keys and data.
- As stated in [PP-JCS], this objective is also met by the FIREWALL access control policy FDP_ACC.2/FIREWALL and FDP_ACF.1/FIREWALL, the JCVM information flow control

policy (FDP_IFF.1/JCVM, FDP_IFC.1/JCVM). The functional requirements of the class FMT (FMT_MTD.1/JCRE, FMT_MTD.3/JCRE, FMT_SMR.1, FMT_SMF.1, FMT_SMR.1/ADEL, FMT_SMF.1/ADEL, FMT_MSA.2/FIREWALL_JCVM, FMT_MSA.3/FIREWALL, FMT_MSA.3/JCVM, FMT_MSA.1/ADEL, FMT_MSA.3/ADEL, FMT_MSA.1/JCRE, FMT_MSA.1/JCVM) also indirectly contribute to meet this objective.

O.GLOBAL_ARRAYS_CONFID coverage: only arrays can be designated as global, and the only global arrays required in the Java Card API are the APDU buffer, the global byte array input parameter (bArray) to an applet's install method and the global arrays created by the JCSystem.makeGlobalArray(…) method. The clearing requirement of these arrays is met by FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray and FDP_RIP.1/bArray respectively. The JCVM information flow control policy (FDP_IFF.1/JCVM, FDP_IFC.1/JCVM) prevents an application from keeping a pointer to a shared buffer, which could be used to read its contents when the buffer is being used by another application.

O.GLOBAL_ARRAYS_INTEG is met by the JCVM information flow control policy (FDP_IFF.1/JCVM, FDP_IFC.1/JCVM), which prevents an application from keeping a pointer to the APDU buffer of the card, to the global byte array of the applet's install method or to the global arrays created by the JCSystem.makeGlobalArray(…) method. Such a pointer could be used to access and modify it when the buffer is being used by another application.

O.ARRAY_VIEWS_CONFID coverage: array views have security attributes of temporary objects where the JCVM information flow control policy (FDP_IFF.1/JCVM, FDP_IFC.1/JCVM) prevents an application from storing a reference to the array view. Furthermore, array views may not have ATTR_READABLE_VIEW security attribute which ensures that no application can read the contents of the array view.

O.ARRAY_VIEWS_INTEG coverage: array views have security attributes of temporary objects where the JCVM information flow control policy (FDP_IFF.1/JCVM, FDP_IFC.1/JCVM) prevents an application from storing a reference to the array view. Furthermore, array views may not have ATTR_WRITABLE_VIEW security attribute which ensures that no application can alter the contents of the array view.

O.NATIVE is covered by FDP_ACF.1/FIREWALL: the only means to execute native code is the invocation of a Java Card API method. This objective mainly relies on the environmental objective OE.CAP_FILE, which uphold the assumption A.CAP_FILE.

O.OPERATE is fulfilled by the following SFRs:

- FPT_FLS.1/GP requires the card to preserve a secure state when failures occur during loading/installing/deleting an Executable File / application instance
- FPT_RCV.3/GP ensures safe recovery from failure
- As stated in [PP-JCS], the TOE is protected in various ways against applets' actions (FPT_TDC.1, the FIREWALL access control policy FDP_ACC.2/FIREWALL and FDP_ACF.1/FIREWALL), and is able to detect and block various failures or security violations during usual working (FPT_FLS.1/ADEL, FPT_FLS.1/JCS, FPT_FLS.1/ODEL, FAU_ARP.1). Its security-critical parts and procedures are also protected: applets' installation may be cleanly aborted (FDP_ROL.1/FIREWALL), communication with external users and their internal subjects is well-controlled (FIA_ATD.1/AID, FIA_USB.1/AID) to prevent alteration of TSF data (also protected by components of the FPT class).
- FPT_STM.1.1/SYS_TIME requires the TSF to provide reliable time stamps as optional System Time package is implemented.

O.REALLOCATION is satisfied by the following SFRs: FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/KEYS, FDP_RIP.1/TRANSIENT, FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/ADEL, which imposes that the contents of the re-allocated block shall always be cleared before delivering the block.

O.RESOURCES is fulfilled by the following SFRs:

- FPT_RCV.3/GP ensures safe recovery from failure.

- FMT_SMF.1/GP enforces the card management operations (Loading, Installation, etc.), the privileges, the life cycle states and transition by defining the protective actions for the corresponding commands.
- FMT_SMR.1/GP maintains the roles S.OPEN, ISD, SSD, Application, and their associated Life Cycle states. In addition, it maintains the Application Provider and the Controlling Authority roles and specifies the authorized roles that are allowed to send and authenticate the card management commands. These commands have to be protected with regard to integrity, authenticity, and confidentiality.
- FPT_FLS.1/GP requires the card to preserve a secure state when failures occur during loading/installing/deleting of an Executable File / application instance.
- As stated in [PP-JCS], the TSF detects stack/memory overflows during execution of applications (FAU_ARP.1, FPT_FLS.1/ADEL, FPT_FLS.1/JCS, FPT_FLS.1/ODEL). Failed installations are not to create memory leaks (FDP_ROL.1/FIREWALL) as well. Memory management is controlled by the TSF (FMT_MTD.1/JCRE, FMT_MTD.3/JCRE, FMT_SMR.1, FMT_SMF.1, FMT_SMR.1/ADEL and FMT_SMF.1/ADEL).
- FPT_STM.1.1/SYS_TIME requires the TSF to provide reliable time stamps as optional System Time package is implemented.

O.ALARM is fulfilled by the following SFRs:
- FPT_FLS.1/GP requires the card to preserve a secure state when failures occur during loading/installing/deleting an Executable File / application instance.
- As stated in [PP-JCS], O.ALARM is also met by FPT_FLS.1/JCS, FPT_FLS.1/ADEL, FPT_FLS.1/ODEL which guarantee that a secure state is preserved by the TSF when failures occur, and FAU_ARP.1 which defines TSF reaction upon detection of a potential security violation.

O.CIPHER is fulfilled by the following SFRs:
- FCS_CKM.1/GP-SCP specifies the algorithm, key sizes, and standards used for the generation of session keys.
- FCS_COP.1/GP-SCP specifies the cryptographic operations and algorithms that shall be used to establish a Secure Channel to protect the card management commands.
- FCS_COP.1/GP-DAP_SHA and FCS_COP.1/GP-DAP_VER ensure that the loaded Executable Application is legitimate by specifying the algorithm to be used in order to verify the DAP signature of the Verification Authority.
- FCO_NRO.2/GP-DAP generates an evidence of origin for ‘ELF with DAP’ received from the off-card entity.
- As stated in [PP-JCS], O.CIPHER is also covered by FCS_CKM.1/TDES, FCS_CKM.1/AES, FCS_CKM.1/RSA, FCS_CKM.1/ECDSA, FCS_CKM.1/HMAC, FCS_CKM.6, FCS_COP.1/TDES_CIPHER, FCS_COP.1/TDES_MAC, FCS_COP.1/AES_CIPHER, FCS_COP.1/AES_MAC, FCS_COP.1/RSA_SIGN, FCS_COP.1/RSA_CIPHER, FCS_COP.1/ECDSA_SIGN, FCS_COP.1/ECDH, FCS_COP.1/Hash, FCS_COP.1/HMAC, FCS_COP.1/CRC and FCS_COP.1/DH. The SFR FPR_UNO.1 contributes in covering this security objective and controls the observation of the cryptographic operations which may be used to disclose the keys.
- FCS_CKM.5/KDF for Key Derivation Function. The TSF behind these are implemented by API classes.

O.RNG is directly covered by FCS_RNG.1 which ensures the cryptographic quality of random number generation.

O.KEY-MNGT is fulfilled by the following SFRs:
- FPT_TDC.1/GP specifies requirements preventing any possible misinterpretation of the Security Domain keys used to implement a Secure Channel when those are loaded form the off-card entity.
- FCS_CKM.1/GP-SCP specifies the algorithm, key sizes, and standards used for the generation of session keys.
- FCS_COP.1/GP-SCP specifies the cryptographic operations and algorithms that shall be used to establish a Secure Channel to protect the card management commands.
- As stated in [PP-JCS], this objective is also covered by FCS_CKM.1/TDES, FCS_CKM.1/AES, FCS_CKM.1/RSA, FCS_CKM.1/ECDSA, FCS_CKM.1/HMAC,

FCS_CKM.6, FCS_COP.1/TDES_CIPHER, FCS_COP.1/TDES_MAC, FCS_COP.1/AES_CIPHER, FCS_COP.1/AES_MAC, FCS_COP.1/RSA_SIGN, FCS_COP.1/RSA_CIPHER, FCS_COP.1/ECDSA_SIGN, FCS_COP.1/ECDH, FCS_COP.1/Hash, FCS_COP.1/HMAC, FCS_COP.1/DH, FPR_UNO.1, FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/KEYS, FDP_RIP.1/ADEL and FDP_RIP.1/TRANSIENT.

- FCS_CKM.5/KDF for Key Derivation Function. The TSF behind these are implemented by API classes.

O.PIN-MNGT is ensured by FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/KEYS, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT, FPR_UNO.1, FDP_ROL.1/FIREWALL and FDP_SDI.2/DATA security functional requirements. The TSFs behind these are implemented by API classes. The firewall security functions FDP_ACC.2/FIREWALL and FDP_ACF.1/FIREWALL shall protect the access to private and internal data of the objects.

O.TRANSACTION is directly met by FDP_ROL.1/FIREWALL, FDP_RIP.1/ABORT, FDP_RIP.1/ODEL, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray, FDP_RIP.1/bArray, FDP_RIP.1/KEYS, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT and FDP_RIP.1/OBJECTS.

O.OBJ-DELETION specifies that deletion of objects is secure. The security objective is met by the security functional requirements FDP_RIP.1/ODEL and FPT_FLS.1/ODEL.

O.DELETION is fulfilled by the following SFRs:

- FPT_RCV.3/GP ensures safe recovery from failure
- As stated in [PP-JCS], this security objective specifies that applet and CAP file deletion must be secure. The non-introduction of security holes is ensured by the ADEL access control policy (FDP_ACC.2/ADEL, FDP_ACF.1/ADEL). The integrity and confidentiality of data that does not belong to the deleted applet or CAP file is a by-product of this policy as well. Non-accessibility of deleted data is met by FDP_RIP.1/ADEL and the TSFs are protected against possible failures of the deletion procedures (FPT_FLS.1/ADEL). The security functional requirements of the class FMT (FMT_MSA.1/ADEL, FMT_MSA.3/ADEL, FMT_SMR.1/ADEL) included in the group ADELG also contribute to meet this objective.

O.LOAD is fulfilled by the following SFRs:

- FCO_NRO.2/GP enforces the evidence of the origin during the loading of Executable Load Files, SD/Application data and keys.
- FDP_IFC.2/GP-ELF and FDP_IFF.1/GP-ELF enforce the ELF loading information flow control policy for managing, authenticating, and protecting the card management commands.
- FDP_UIT.1/GP ensures the integrity of the card management operations.
- FIA_UID.1/GP, FIA_UAU.1/GP and FIA_UAU.4/GP ensure appropriate identification and authentication mechanisms. In addition, these SFRs specify the actions being performed before the authentication of the origin of the received APDU commands takes place.
- FTP_ITC.1/GP requires a trusted channel for authenticating the card management commands and for securely protecting (authenticity, integrity, and/or confidentiality) the loading of ELF/data.
- FCS_COP.1/GP-DAP_SHA and FCS_COP.1/GP-DAP_VER ensure that the loaded Executable Application is legitimate by specifying the algorithm to be used in order to verify the DAP signature of the Verification Authority.
- FCO_NRO.2/GP-DAP generates an evidence of origin for ‘ELF with DAP’ received from the off-card entity.

O.INSTALL is fulfilled by the following SFRs:

- FDP_ITC.2/GP-ELF enforces the ELF loading information flow policy when importing ELFs.
- FPT_FLS.1/GP requires the card to preserve a secure state when failures occur during loading/installing/deleting an Executable File / application instance.
- FPT_RCV.3/GP ensures safe recovery from failure.
- FCS_COP.1/GP-DAP_SHA and FCS_COP.1/GP-DAP_VER ensure that the loaded Executable Application is legitimate by specifying the algorithm to be used in order to verify the DAP signature of the Verification Authority.

- FCO_NRO.2/GP-DAP generates an evidence of origin for 'ELF with DAP' received from the off-card entity.

O.SCP.IC coverage: the IC is a part of the TOE supporting TSFs of the upper layer of the TOE and more specially FPT_FLS.1/JCS.

O.SCP.RECOVERY coverage: the SCP is a part of the TOE supporting TSFs of the upper layer of the TOE, especially for recovery operations as dealt with in FPT_RCV.3/OS.

O.SCP.SUPPORT coverage: the SCP is a part of the TOE supporting TSFs of the upper layer of the TOE, especially for recovery operations as dealt with in FPT_RCV.4/OS.

O.SENSITIVE_ARRAYS_INTEG is covered directly by FDP_SDI.2/ARRAY which ensures that integrity errors related to the user data stored in sensitive arrays are detected by the TOE

O.SENSITIVE_RESULTS_INTEG is covered directly by FDP_SDI.2/RESULT which ensures that integrity errors related to the sensitive API result are detected by the TOE.

O.MTC-CTR-MNGT This security objective is ensured by FDP_RIP.1/ODEL, FDP_RIP.1/OBJECTS, FDP_RIP.1/APDU, FDP_RIP.1/GlobalArray FDP_RIP.1/bArray, FDP_RIP.1/ABORT, FDP_RIP.1/ADEL, FDP_RIP.1/TRANSIENT, FDP_ROL.1/FIREWALL and FDP_SDI.2/MONOTONIC_COUNTER security functional requirements. The TSFs behind these are implemented by API classes. The firewall security functions FDP_ACC.2/FIREWALL and FDP_ACF.1/FIREWALL shall protect the access to private and internal data of the objects. Note that the objective applies only to configurations including the javacardx.security.util package defined in [JCAPI3].

O.CTR-MNGT This security objective is ensured by FDP_SDI.2/CRT_MNGT and FCS_COP.1/CRT_MNGT security functional requirements. The applets that manage cryptographic certificates rely on the security functions that implement these SFRs. Note that the objective applies only to configurations including the javacardx.security.cert package defined in [JCAPI3].

The following section is dedicated to security objectives rationale from [PP-CSP].

O.I&A "Identification and authentication of users" is met by the following SFRs:

- FIA_ATD.1 lists the security attributes Identity, Authentication reference data and Role belonging to individual users and the SFR FMT_SMR.1/CSP defines the security roles maintained by TSF.
- FIA_USB.1 requires the TSF to associate the user security attributes Identity and Role with subjects acting on the behalf of that user.
- FIA_UID.1 defines the TSF-mediated actions allowed on behalf of Unidentified User.
- FIA_UAU.1 defines the TSF-mediated actions allowed on behalf of Unauthenticated User.
- FIA_UAU.5 requires the TSF lists the authentication mechanisms and the rules for their application.
- FIA_API.1/CA and FIA_API.1/PACE require the TSF to authenticate external entities using Chip Authentication and PACE to communication endpoints of trusted channels.
- FIA_UAU.6 requires the TSF to request re-authentication of users under the listed conditions.
- FMT_MOF.1 requires the TSF to enable and disable of human user authentication.
- FMT_MTD.1/RAD and The SFR FMT_MTD.1/RK defines the management function of and the access limitation to authentication mechanisms and their TSF data including the root public keys.
- FMT_MTD.3 enforce secure values for password mechanisms.
- FMT_SAE.1 requires the TSF to limit the validity of user authentication and reset the security attribute Role to a values defined by an administrator according to FMT_MTD.1/RAD.

- FIA_AFL.1 requires the TSF to detect and react on failed authentication attempts.
- FPT_ISA.1/Cert and FPT_TIT.1/Cert require the TSF to import certificates integrity protected and with their security attributes including those for entity authentication.
- FPT_TDC.1/Cert requires the TSF to interpret the certificates correctly.

O.AuthentTOE "Authentication of the TOE to external entities" is met by the following SFRs:

- FCS_CKM.1/ECC, FCS_CKM.1/RSA-CSP require the TSF to generate TOE authentication keys and SFR FCS_CKM.1/PACE and FCS_CKM.1/TCAP require the TSF to agree keys for authentication of the TOE to external entities.
- FCS_COP.1/CDS-ECDSA and FCS_COP.1/CDS-RSA require the TSF to generate digital signatures for authentication of the TOE to external entities.
- FCS_COP.1/HMAC-CSP requires the TSF to generate HMAC for authentication of the TOE to external entities.
- FIA_API.1/CA, and FIA_API.1/PACE require the TSF to authenticate themselves using Chip Authentication, and PACE to communication endpoints of trusted channels.
- FDP_DAU.2/Att requires the TSF to generate evidence that can be used as a guarantee of the validity of attestation data to external entities.

O.Enc "Confidentiality of user data by means of encryption and decryption" is met by the following SFRs:

- FCS_CKM.1/ECC and FCS_CKM.1/RSA-CSP require (long term) key generation for the encryption and decryption security service of the TSF.
- The SFR FCS_CKM.1/AES-CSP, FCS_CKM.1/AES_RSA, FCS_CKM.5/ECDHE, and FCS_CKM.1/ECKA-EG, require key generation and FCS_CKM.5/AES, FCS_CKM.5/AES_RSA, FCS_CKM.5/ECKA-EG and FCS_CKM.5/ECC require key derivation for encryption and decryption security service of the TSF. Note the keys must be generated or agreed with the appropriate key type for encryption respectively for decryption or in case of symmetric cryptographic mechanisms for both according to FMT_MSA.1/KM.
- FCS_COP.1/ED requires encryption and decryption as cryptographic operations for the encryption and decryption security service of the TSF.
- The FCS_COP.1/HDM requires hybrid decryption and the SFR FCS_COP.1/HEM requires hybrid encryption and decryption as cryptographic operations for the encryption and decryption security service of the TSF.
- FDP_ETC.2 require the TSF to export encrypted user data with reference to the key and data integrity checksums for decryption and FDP_ITC.2/UD require import of encrypted user data with reference to decryption key and data integrity checksums for decryption.
- FCS_CKM.6/CSP requires the TSF to implement secure key destruction.
- FMT_MTD.1/RK requires the TSF management of root keys for key hierarchy known to the TSF if used for encryption.
- FPT_TDC.1/Cert requires the TSF to interpret consistently the security attributes of certificates (including those used for encryption and decryption).
- FPT_TDC.1/CK requires the TSF to interpret consistently the security attributes of keys (including those used for encryption and decryption).

O.DataAuth "Data authentication by cryptographic mechanisms" is met by the following SFRs:

- FCS_CKM.1/ECC and FCS_CKM.1/RSA require (long term) key generation for the signature security service of the TSF. FCS_CKM.1/AES-CSP, FCS_CKM.1/ECKA-EG, FCS_CKM.1/AES_RSA require key generation and FCS_CKM.5/AES_RSA, FCS_CKM.5/ECDHE, FCS_CKM.5/ECC, FCS_CKM.5/ECKA-EG key derivation for MAC generation and verification. Note the keys must be generated or agreed with the appropriate key type for signature-creation, signature-verification or, in case of symmetric cryptographic mechanisms for data authentication according to FMT_MSA.1/KM.
- FDP_ETC.2 requires the TSF to export signed data with and signature and public key reference for signature verification and FDP_ITC.2/UD import of signed data with signature and public key reference for signature verification. FDP_ETC.1 requires the TSF to export

successfully MAC verified and decrypted ciphertext as plaintext according to FCS_COP.1/HDM without the user data's associated security attributes:
- FCS_COP.1/Hash-CSP requires the TSF to implement cryptographic primitive hash function used for HMAC, cf. FCS_COP.1/HMAC-CSP, digital signature creation, cf. FCS_COP.1/CDS-*and digital signature verification, cf. FCS_COP.1/VDS-*.
- FCS_COP.1/CDS-ECDSA and FCS_COP.1/CDS-RSA require asymmetric cryptographic mechanisms for signature-creation.
- FCS_COP.1/VDS-ECDSA and FCS_VDS/RSA require asymmetric cryptographic mechanisms for signature-verification.
- The SFR for keyed hash FCS_COP.1/HMAC-CSP and block cipher based MAC FCS_COP.1/MAC require the TSF to provide symmetric data integrity mechanisms.
- FCS_COP.1/HEM requires hybrid MAC calculation and FCS_COP.1/HDM requires hybrid MAC verification for the ciphertext as security service of the TSF.
- FPT_ISA.1/Cert requires import of certificates with security attributes and integrity protection according to FPT_TIT.1/Cert.
- FCS_CKM.6/CSP requires the TSF to implement secure key destruction.
- FPT_TDC.1/Cert requires the TSF to interpret consistently the security attributes in certificates (including those used for data authentication).
- FPT_TDC.1/CK requires the TSF to interpret consistently the security attributes keys (including those used for data authentication).

O.RBGS “Random bit generation service” is met directly by the SFR FCS_RNG.1/CSP as providing random bits for the service to the user.

O.TChann “Trusted channel” is met by the following SFRs:

- The SFR FTP_ITC.1 requires different types of trusted channel depending on the capability of the other endpoint. The cases are defined in Table 10 The remote entity and the TOE may use mutual authentication and key agreement by means of PACE according to FCS_CKM.1/PACE, shall provide integrity protection according to FCS_COP.1/TCM and may support confidentiality of the communication data according to FCS_COP.1/TCE. The cases 3 requires support of trusted channel with mutual authentication by FIA_API.1/CA, FIA_UAU.5, key agreement TCAP according to FCS_CKM.1/TCAP, encryption and MAC data authentication.
- The TOE authenticate themselves according to FIA_API.1/PACE in case of PACE. It authenticates themselves according to FIA_API.1/CA in case of TCAP as Proximity Integrated Circuit Card (PICC).
- The SFR FMT_MOF.1 limits the configuration of the trusted channel according to FTP_ITC.1.3 to an administrator.
- The SFR FMT_MSA.1/KM describe the requirements for management of key security attributes for these mechanisms.

O.AccCtrl “Access control” is met by the following SFRs:

- FIA_ATD.1 defines the security attributes of individual users including Role which is used for access control according to FDP_ACF.1/Oper.
- FDP_ACC.1/Oper describes the subset access control for the Cryptographic Operation SFP.
- The SFR FDP_ACF.1/Oper defines the access control rules of the Cryptographic Operation SFP.
- The Cryptographic Operation SFP is defined by means of security attributes managed according to the SFR FMT_MSA.1/KM, FMT_MSA.2 and FMT_MSA.3/KM.

O.SecMan “Security management” is met by the following SFRs:

- FIA_ATD.1 defines the security attributes of individual users including Role which is used to enforce the Key Management SFP.
- FDP_ACC.1/KM defines subjects, objects and operations of the Key Management SFP.

- FMT_SMF.1/CSP lists the security management functions provided by the TSF.
- FMT_SMR.1/CSP lists the security role supported by the TOE especially the administrator and – if supported - Crypto-Officer responsible for key management.
- FCS_CKM.1/AES-CSP, FCS_CKM.1/ECC, FCS_CKM.1/ECKA-EG. FCS_CKM.1/PACE, FCS_CKM.1/RSA-CSP, FCS_CKM.1/AES_RSA, FCS_CKM.1/TCAP require the TSF to implement key generation function according to the assigned standards.
- FCS_CKM.5/ECDHE require the TSF to implement key agreement function according to the assigned standards.
- FCS_CKM.5/AES and FCS_CKM.5/ECKA-EG require the TSF to implement key derivation function according to the assigned standards.
- FCS_CKM.1/AES_RSA and FCS_CKM.5/AES_RSA require the TSF to implement AES session key generation function with RSA key encryption respective RSA key decryption and AES key derivation according to the assigned standards.
- FCS_RNG.1/CSP requires the TSF to implement a random number generator for key generation, key agreement functions and cryptographic operations.
- FCS_COP.1/ED requires the TSF to provide encryption and decryption according to AES which may be used for key management.
- FCS_COP.1/Hash-CSP requires the TSF to implement cryptographic primitive hash function for key derivation, cf. FCS_CKM.5.
- FPT_ISA.1/CK requires import and FPT_ESA.1/CK the export of cryptographic keys with security attributes and protection of confidentiality according to SFR FPT_TCT.1/CK and integrity protection according to FPT_TIT.1/CK.
- FPT_ISA.1/Cert requires import of certificates with security attributes and integrity protection according to FPT_TIT.1/Cert.
- FPT_TDC.1/Cert requires consistent interpretation of certificate's content.
- FPT_TDC.1/CK requires consistent interpretation of security attributes imported with the key.
- FCS_COP.1/KW and FCS_COP.1/KU require the TSF key wrapping and unwrapping for key management.
- FCS_CKM.6/CSP requires the TSF to implement secure key destruction.
- FMT_MSA.1/KM and FMT_MSA3/KM limit the setting of default values and specification of alternative initial values for security attributes of cryptographic keys to administrators. FMT_MSA.1/KM prevents modification or deletion of security attributes of keys.
- FMT_MSA.2 enforce secure values for security attributes.
- FMT_MTD.1/KM and FMT_MTD.1/RK restrict the management of cryptographic keys especially the import of root public keys to specifically authorized users.

O.TST "Self-test" is directly met by FPT_TST.1 and FPT_FLS.1. The TSF shall preserve a secure state if self-test fails.

O.PhysProt "Physical protection" is directly met by FPT_PHP.3. The memory encryption required by FDP_SDC.1, FCS_CKM.1/SDEK and FCS_COP.1/SDE provides additional protection against compromise of information in the stored data. FPT_FLS.1 requires the TSF to preserve a secure state if exposure to operating conditions occurs which may not be tolerated according to the requirement Limited fault tolerance (FRU_FLT.2) or manipulation and physical probing is detected and secure state is reached as response.

O.SecUpCP "Secure import of Update Code Package" is met by the following SFRs:

- FDP_ACC.1/UCP and FDP_ACF.1/UCP requires the TSF to provide access control to enforce SFP Update. Note the verification of the authenticity of UCP and decryption of authentic UCP are performed under control of the TSF.
- FCS_COP.1/VDSUCP requires the verification of digital signature of the Issuer and FCS_COP.1/DecUCP requires decryption of authentic of UCP.
- FDP_ITC.2/UCP requires the TSF to import UCP as user data with security attributes if the authenticity of UCP is successful verified.
- FPT_TDC.1/UCP requires the TSF to import consistently the security attributes of the UCP.

- FMT_MSA.3 requires to provide restrictive initial security attributes to enforce the SFP Update.
- FDP_RIP.1/UCP requires the TSF to remove the received UCP after unsuccessful verification of its authenticity.
- The UCP signature verification key may be updated according to FPT_ISA.1/Cert with integrity protection according to FPT_TIT.1/Cert.
- The UCP decryption key may be updated with confidentiality protection according to FPT_TCT.1/CK with FCS_COP.1/KU.

9.3.3 SFR dependency rationale

This chapter demonstrates that each dependency of the security requirements is either satisfied, or justifies the dependency not being satisfied.

The rationale in the table below is dedicated to SFRs from [PP-GP] Protection Profile.

Security Functional Requirement	CC dependencies	Satisfied dependencies
FDP_IFC.2/GP-ELF	(FDP_IFF.1)	FDP_IFF.1/GP-ELF
FDP_IFF.1/GP-ELF	(FDP_IFC.1) and (FMT_MSA.3)	FDP_IFC.2/GP-ELF FMT_MSA.3/GP
FDP_ITC.2/GP-ELF	(FDP_ACC.1 or FDP_IFC.1) and (FPT_TDC.1) and (FTP_ITC.1 or FTP_TRP.1)	FDP_IFC.2/GP-ELF FPT_TDC.1/GP FTP_ITC.1/GP
FDP_IFC.2/GP-KL	(FDP_IFF.1)	FDP_IFF.1/GP-KL
FDP_IFF.1/GP-KL	(FDP_IFC.1) and (FMT_MSA.3)	FDP_IFC.2/GP-KL FMT_MSA.3/GP
FDP_ITC.2/GP-KL	(FDP_ACC.1 or FDP_IFC.1) and (FPT_TDC.1) and (FTP_ITC.1 or FTP_TRP.1)	FDP_IFC.2/GP-KL FPT_TDC.1/GP FTP_ITC.1/GP
FMT_MTD.1/GP-LC	(FMT_SMF.1) and (FMT_SMR.1)	FMT_SMR.1/GP FMT_SMF.1/GP
FMT_MTD.1/GP-PR	(FMT_SMF.1) and (FMT_SMR.1)	FMT_SMR.1/GP FMT_SMF.1/GP
FCS_CKM.1/GP-SCP	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/GP-SCP **See rationale** FCS_CKM.6
FCS_COP.1/GP-SCP	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/GP-SCP FCS_CKM.6
FTP_TRP.1/GP-TF	No dependencies	
FMT_MSA.1/GP	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_IFC.2/GP-ELF FDP_IFC.2/GP-KL FMT_SMR.1/GP FMT_SMF.1/GP
FMT_MSA.3/GP	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/GP FMT_SMR.1/GP
FMT_SMR.1/GP	(FIA_UID.1)	FIA_UID.1/GP
FMT_SMF.1/GP	No dependencies	
FPT_RCV.3/GP	(AGD_OPE.1)	AGD_OPE.1
FPT_FLS.1/GP	No dependencies	
FPT_TDC.1/GP	No dependencies	
FTP_ITC.1/GP	No dependencies	
FCO_NRO.2/GP	(FIA_UID.1)	FIA_UID.1/GP
FIA_UID.1/GP	No dependencies	
FDP_UIT.1/GP	(FDP_ACC.1 or FDP_IFC.1) and (FTP_ITC.1 or FTP_TRP.1)	FDP_IFC.2/GP-ELF FDP_IFC.2/GP-KL FTP_ITC.1/GP
FDP_ROL.1/GP	(FDP_ACC.1 or FDP_IFC.1)	FDP_IFC.2/GP-ELF FDP_IFC.2/GP-KL
FDP_UCT.1/GP	(FTP_ITC.1 or FTP_TRP.1) and	FDP_IFC.2/GP-ELF

Security Functional Requirement	CC dependencies	Satisfied dependencies
	(FDP_ACC.1 or FDP_IFC.1)	FDP_IFC.2/GP-KL FTP_ITC.1/GP
FPR_UNO.1/GP	No dependencies	
FIA_UAU.1/GP	(FIA_UID.1)	FIA_UID.1/GP
FIA_UAU.4/GP	No dependencies	
FIA_AFL.1/GP	(FIA_UAU.1)	FIA_UAU.1/GP
FMT_MTD.3/GP	(FMT_MTD.1)	FMT_MTD.1/GP-PR FMT_MTD.1/GP-LC
FCS_COP.1/GP-CLFDB	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FCS_CKM.6
FDP_ACC.1/GP-GS	(FDP_ACF.1)	FDP_ACF.1/GP-GS
FDP_ACF.1/GP-GS	(FDP_ACC.1) and (FMT_MSA.3)	FDP_ACC.1/GP-GS FMT_MSA.3/GP-GS
FMT_MSA.1/GP-GS	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_ACC.1/GP-GS FMT_SMF.1/GP-GS FMT_SMR.1/GP-GS
FMT_MSA.3/GP-GS	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/GP-GS FMT_SMR.1/GP-GS
FMT_SMR.1/GP-GS	(FIA_UID.1)	FIA_UID.1/GP
FMT_SMF.1/GP-GS	No dependencies	
FIA_AFL.1/GP-CVM	(FIA_UAU.1)	FIA_UAU.1/GP
FPR_UNO.1/GP-CVM	No dependencies	
FCO_NRR.1/GP-RECEIPT	(FIA_UID.1)	FIA_UID.1/GP
FCO_NRO.2/GP-TOKEN	(FIA_UID.1)	FIA_UID.1/GP
FCS_COP.1/GP-TOKEN	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FDP_ITC.2/GP-KL FCS_CKM.6
FCS_COP.1/GP-RECEIPT	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FDP_ITC.2/GP-KL FCS_CKM.6
FCS_COP.1/GP-DAP_SHA	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FCS_CKM.6
FCS_COP.1/GP-DAP_VER	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FCS_CKM.6
FCO_NRO.2/GP-DAP	(FIA_UID.1)	FIA_UID.1/GP
FCS_CKM.1/GP-CCCM	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/GP-CCCM **See rationale** FCS_CKM.6
FCS_COP.1/GP-CCCM	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/GP-CCCM FCS_CKM.6
FDP_IFC.2/GP-CCCM	(FDP_IFF.1)	FDP_IFF.1/GP-CCCM
FDP_IFF.1/GP-CCCM	(FDP_IFC.1) and (FMT_MSA.3)	FDP_IFC.2/GP-CCCM FMT_MSA.3/GP
FMT_MSA.1/GP-CCCM	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_IFC.2/GP-CCCM FMT_SMR.1/GP FMT_SMF.1/GP
FMT_MSA.3/GP-CCCM	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/GP-CCCM FMT_SMR.1/GP
FTP_ITC.1/GP-CCCM	No dependencies	
FDP_ACC.1/GP-CTL	FDP_ACF.1 Security attribute-based access control	FDP_ACF.1/GP-CTL
FDP_ACF.1/GP-CTL	FDP_ACC.1 Subset access control FMT_MSA.3 Static attribute initialization	FDP_ACC.1/GP-CTL FMT_MSA.3/GP-CTL
FDP_ROL.1/GP-CTL	(FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control)	FDP_ACC.1/GP-CTL

Security Functional Requirement	CC dependencies	Satisfied dependencies
FMT_MSA.1/GP-CTL	(FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control) FMT_SMR.1 Security roles FMT_SMF.1 Specification of Management Functions	FDP_ACC.1/GP-CTL FMT_SMR.1/GP-CTL FMT_SMF.1/GP-CTL
FMT_MSA.3/GP-CTL	FMT_MSA.1 Management of security attributes FMT_SMR.1 Security roles	FMT_MSA.1/GP-CTL FMT_SMR.1/GP-CTL
FMT_SMR.1/GP-CTL	FIA_UID.1 Timing of identification	FIA_UID.1/GP
FMT_SMF.1/GP-CTL	No Dependencies	No Dependencies
FTP_ITC.1/GP-CTL	No Dependencies	No Dependencies
FDP_ACC.1/GP-ELFU	(FDP_ACF.1)	FDP_ACF.1/GP-ELFU
FDP_ACF.1/GP-ELFU	(FDP_ACC.1) and (FMT_MSA.3)	FDP_ACC.1/GP-ELFU FMT_MSA.3/GP-ELFU
FDP_ROL.1/GP-ELFU	(FDP_ACC.1 or FDP_IFC.1)	FDP_ACC.1/GP-ELFU
FMT_MSA.1/GP-ELFU	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_ACC.1/GP-ELFU FMT_SMR.1/GP FMT_SMF.1/GP-ELFU
FMT_MSA.3/GP-ELFU	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/GP-ELFU FMT_SMR.1/GP
FMT_SMF.1/GP-ELFU	No dependencies	
FPT_FLS.1/GP-ELFU	No dependencies	
FDP_ACC.1/OS-UPDATE	(FDP_ACF.1)	FDP_ACF.1/OS-UPDATE
FDP_ACF.1/OS-UPDATE	(FDP_ACC.1) and (FMT_MSA.3)	FDP_ACC.1/OS-UPDATE FMT_MSA.3/OS-UPDATE
FMT_MSA.3/OS-UPDATE	(FMT_MSA.1) and (FMT_SMR.1)	FMT_SMR.1/OS-UPDATE **See rationale**
FMT_SMR.1/OS-UPDATE	(FIA_UID.1)	FIA_UID.1/GP
FMT_SMF.1/OS-UPDATE	No dependencies	
FIA_ATD.1/OS-UPDATE	No dependencies	
FTP_TRP.1/OS-UPDATE	No dependencies	
FCS_COP.1/OS-UPDATE-DEC	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FCS_CKM.6
FCS_COP.1/OS-UPDATE-VER	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FDP_ITC.2/GP-ELF FCS_CKM.6
FPT_FLS.1/OS-UPDATE	No dependencies	
FDP_ACC.2/FIREWALL	(FDP_ACF.1)	FDP_ACF.1/FIREWALL
FDP_ACF.1/FIREWALL	(FDP_ACC.1) and (FMT_MSA.3)	FDP_ACC.2/FIREWALL FMT_MSA.3/FIREWALL
FDP_IFC.1/JCVM	(FDP_IFF.1)	FDP_IFF.1/JCVM
FDP_IFF.1/JCVM	(FDP_IFC.1) and (FMT_MSA.3)	FDP_IFC.1/JCVM FMT_MSA.3/JCVM
FDP_RIP.1/OBJECTS	No dependencies	
FMT_MSA.1/JCRE	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_ACC.2/FIREWALL FMT_SMR.1 **See rationale**
FMT_MSA.1/JCVM	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_ACC.2/FIREWALL FDP_IFC.1/JCVM FMT_SMF.1 FMT_SMR.1
FMT_MSA.2/FIREWALL_JCVM	(FDP_ACC.1 or FDP_IFC.1) and (FMT_MSA.1) and (FMT_SMR.1)	FDP_ACC.2/FIREWALL FDP_IFC.1/JCVM FMT_MSA.1/JCRE FMT_MSA.1/JCVM FMT_SMR.1
FMT_MSA.3/FIREWALL	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/JCRE FMT_MSA.1/JCVM FMT_SMR.1
FMT_MSA.3/JCVM	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/JCVM

Security Functional Requirement	CC dependencies	Satisfied dependencies
		FMT_SMR.1
FMT_SMF.1	No dependencies	
FMT_SMR.1	(FIA_UID.1)	FIA_UID.2/AID
FCS_CKM.1/TDES	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/TDES_CIPHER FCS_COP.1/TDES_MAC FCS_RNG.1 FCS_CKM.6
FCS_CKM.1/AES	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/AES_CIPHER FCS_COP.1/AES_MAC FCS_RNG.1 FCS_CKM.6
FCS_CKM.1/RSA	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/RSA_SIGN FCS_COP.1/RSA_CIPHER FCS_RNG.1 FCS_CKM.6
FCS_CKM.1/ECDSA	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/ECDSA_SIGN FCS_COP.1/ECDH FCS_RNG.1 FCS_CKM.6
FCS_CKM.1/HMAC	(FCS_CKM.2 or FCS_CKM.5 or FCS_COP.1) and (FCS_RNG.1 or FCS_RNG.1) and (FCS_CKM.6)	FCS_COP.1/HMAC FCS_RNG.1 FCS_CKM.6
FCS_CKM.6	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5)	FCS_CKM.1/TDES FCS_CKM.1/AES FCS_CKM.1/RSA FCS_CKM.1/ECDSA FCS_CKM.1/HMAC FCS_CKM.5/KDF
FCS_COP.1/TDES_CIPHER	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/TDES FCS_CKM.6
FCS_COP.1/TDES_MAC	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/TDES FCS_CKM.6
FCS_COP.1/AES_CIPHER	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/AES FCS_CKM.6
FCS_COP.1/AES_MAC	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/AES FCS_CKM.6
FCS_COP.1/RSA_SIGN	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/RSA FCS_CKM.6
FCS_COP.1/RSA_CIPHER	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/RSA FCS_CKM.6
FCS_COP.1/ECDSA_SIGN	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/ECDSA FCS_CKM.6
FCS_COP.1/ECDH	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/ECDSA FCS_CKM.6
FCS_COP.1/DH	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/RSA FCS_CKM.6
FCS_COP.1/Hash	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	**See rationale**
FCS_COP.1/HMAC	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1/HMAC FCS_CKM.6
FCS_COP.1/CRC	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and	**See rationale**

Security Functional Requirement	CC dependencies	Satisfied dependencies
	(FCS_CKM.6)	
FCS_RNG.1	No dependencies	
FDP_RIP.1/ABORT	No dependencies	
FDP_RIP.1/APDU	No dependencies	
FDP_RIP.1/GlobalArray	No dependencies	
FDP_RIP.1/bArray	No dependencies	
FDP_RIP.1/KEYS	No dependencies	
FDP_RIP.1/TRANSIENT	No dependencies	
FDP_ROL.1/FIREWALL	(FDP_ACC.1 or FDP_IFC.1)	FDP_ACC.2/FIREWALL FDP_IFC.1/JCVM
FAU_ARP.1	(FAU_SAA.1)	**See rationale**
FDP_SDI.2/DATA	No dependencies	
FPR_UNO.1	No dependencies	
FPT_FLS.1/JCS	No dependencies	
FPT_TDC.1	No dependencies	
FIA_ATD.1/AID	No dependencies	
FIA_UID.2/AID	No dependencies	
FIA_USB.1/AID	(FIA_ATD.1)	FIA_ATD.1/AID
FMT_MTD.1/JCRE	(FMT_SMF.1) and (FMT_SMR.1)	FMT_SMF.1 FMT_SMR.1
FMT_MTD.3/JCRE	(FMT_MTD.1)	FMT_MTD.1/JCRE
FDP_ACC.2/ADEL	(FDP_ACF.1)	FDP_ACF.1/ADEL
FDP_ACF.1/ADEL	(FDP_ACC.1) and (FMT_MSA.3)	FDP_ACC.2/ADEL FMT_MSA.3/ADEL
FDP_RIP.1/ADEL	No dependencies	
FMT_MSA.1/ADEL	(FDP_ACC.1 or FDP_IFC.1) and (FMT_SMF.1) and (FMT_SMR.1)	FDP_ACC.2/ADEL FMT_SMF.1/ADEL FMT_SMR.1/ADEL
FMT_MSA.3/ADEL	(FMT_MSA.1) and (FMT_SMR.1)	FMT_MSA.1/ADEL FMT_SMR.1/ADEL
FMT_SMF.1/ADEL	No dependencies	
FMT_SMR.1/ADEL	(FIA_UID.1)	**See rationale**
FPT_FLS.1/ADEL	No dependencies	
FDP_RIP.1/ODEL	No dependencies	
FPT_FLS.1/ODEL	No dependencies	
FPT_RCV.3/OS	(AGD_OPE.1)	AGD_OPE.1
FPT_RCV.4/OS	No dependencies	
FDP_SDI.2/ARRAY	No dependencies	
FDP_SDI.2/RESULT	No dependencies	
FDP_SDI.2/MONOTONIC_COUNTER	No dependencies	
FDP_SDI.2/CRT_MNGT	No dependencies	
FCS_COP.1/CRT_MNGT	(FCS_CKM.1 or FDP_ITC.1 or FDP_ITC.2 or FCS_CKM.5) and (FCS_CKM.6)	FCS_CKM.1 FCS_CKM.6
FCS_CKM.5/KDF	(FCS_CKM.2 or FCS_COP.1) and (FCS_CKM.6)	FCS_COP.1 FCS_CKM.6
FPT_STM.1/SYS_TIME	No dependencies	

Rationale for the exclusion of dependencies:

- **The dependency FMT_MSA.1 of FMT_MSA.3/OS-UPDATE is unsupported.**
  No history information has to be kept by the TOE.
- **The dependency FMT_SMF.1 of FMT_MSA.1/JCRE is unsupported.**
  The dependency between FMT_MSA.1/JCRE and FMT_SMF.1 is not satisfied because no management functions are required for the Java Card RE.
- **The dependencies of FCS_COP.1/Hash are unsupported**
  Hash operation does not require any key.
- **The dependencies of FCS_COP.1/CRC are unsupported**
  CRC operations do not require any key.

- **The dependency FAU_SAA.1 of FAU_ARP.1 is unsupported**
  The dependency of FAU_ARP.1 on FAU_SAA.1 assumes that a "potential security violation" generates an audit event. On the contrary, the events listed in FAU_ARP.1 are self-contained (arithmetic exception, ill-formed bytecodes, access failure) and ask for a straightforward reaction of the TSFs on their occurrence at runtime. The JCVM or other components of the TOE detect these events during their usual working order. Thus, there is no mandatory audit recording in this ST.
- **The dependency FIA_UID.1 of FMT_SMR.1/ADEL is unsupported**
  This ST does not require the identification of the "deletion manager" since it can be considered as part of the TSF.
- **The dependencies FCS_RBG.1 or FCS_RNG.1 of FCS_CKM.1/GP-SCP and FCS_CKM.1/GP-CCCM are unsupported**
  These keys generation don't use random numbers to generate keys.

The rationale in the table below is dedicated to SFRs from [PP-CSP] Protection Profile.

Note, the column SFR components showing the concrete SFR satisfying the dependencies are typical use cases. It does not exclude that the SFR in the first column may solve dependencies of other SFR as well. E.g. the SFR FCS_CKM.1 defines requirements for ECC key generation and the ECC key pair may be directly used for ECDSA digital signatures according to FCS_COP.1/CDS-RSA and FCS_COP.1/VDS-RSA but also for encryption and decryption of the AES key in FCS_COP.1/HEM and FCS_COP.1/HDM.

Security Functional Requirement	Dependencies of the SFR	SFR components
FCS_CKM.1/AES-CSP	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/ED, FCS_RNG.1/CSP, FCS_CKM.6/CSP
FCS_CKM.1/AES_RSA	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/HEM with FCS_CKM.1/AES_RSA, FCS_CKM.6/CSP
FCS_CKM.1/ECC	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/CDS-ECDS, FCS_COP.1/VDS-ECDS, FCS_RNG.1/CSP, FCS_CKM.6/CSP

Security Functional Requirement	Dependencies of the SFR	SFR components
FCS_CKM.1/ECKA-EG	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/HEM with FCS_CKM.1/ECKA-EG, FCS_RNG.1/CSP, FCS_CKM.6/CSP
FCS_CKM.1/PACE	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/TCE, FCS_COP.1/TCM, FCS_CKM.6/CSP Dependency to FCS_RNG.1 or FCS_RNG.1 is not supported as random numbers are not used by FCS_CKM.1/PACE
FCS_CKM.1/RSA-CSP	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/CDS-RSA, FCS_COP.1/VDS-RSA, FCS_RNG.1/CSP, FCS_CKM.6/CSP
FCS_CKM.1/SDEK	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/SDE, FCS_RNG.1/CSP, FCS_CKM.6/CSP
FCS_CKM.1/TCAP	[FCS_CKM.2 Cryptographic key distribution, or FCS_CKM.5 Cryptographic key derivation, or FCS_COP.1 Cryptographic operation] [FCS_RBG.1 Random bit generation, or FCS_RNG.1 Generation of random numbers] FCS_CKM.6/CSP Timing and event of cryptographic key destruction	FCS_COP.1/TCE, FCS_COP.1/TCM, FCS_CKM.6/CSP Dependency to FCS_RNG.1 or FCS_RNG.1 is not supported as random numbers are not used by FCS_CKM.1/PACE
FCS_CKM.6/CSP	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation or FCS_CKM.5 Cryptographic key derivation]	FCS_CKM.1/ECC, FCS_CKM.1/RSA-CSP, FCS_CKM.1/ECKA-EG, FCS_CKM.1/AES_RSA, FCS_CKM.1/TCAP, FCS_CKM.1/PACE, FCS_CKM.5/AES, FCS_CKM.5/AES_RSA, FCS_CKM.5/ECC, FCS_CKM.5/ECDHE, FCS_CKM.5/ECKA-EG

Security Functional Requirement	Dependencies of the SFR	SFR components
FCS_CKM.5/AES	[FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_COP.1/ED FCS_CKM.6/CSP
FCS_CKM.5/AES_RSA	[FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_COP.1/HDM with FCS_CKM.5/AES_RSA, FCS_CKM.6/CSP
FCS_CKM.5/ECC	[FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_COP.1/CDS-ECDSA, FCS_COP.1/VDS-ECDSA, FCS_CKM.6/CSP
FCS_CKM.5/ECDHE	[FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_COP.1/HEM with FCS_CKM.5/ECDHE, FCS_CKM.6/CSP
FCS_CKM.5/ECKA-EG	[FCS_CKM.2 Cryptographic key distribution, or FCS_COP.1 Cryptographic operation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_COP.1/HDM with FCS_CKM.5/ECKA-EG, FCS_CKM.6/CSP
FCS_COP.1/CDS- ECDSA	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/ECC, FCS_CKM.6/CSP
FCS_COP.1/CDS-RSA	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/RSA-CSP, FCS_CKM.6/CSP
FCS_COP.1/DecUCP	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	Import of UCP decryption key as TSF data with confidentiality protection FPT_TCT.1/CK and FCS_COP.1/KU, FCS_CKM.6/CSP
FCS_COP.1/ED	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/AES-CSP, FCS_CKM.6/CSP

Security Functional Requirement	Dependencies of the SFR	SFR components
FCS_COP.1/Hash-CSP	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	Hash functions do not use keys
FCS_COP.1/HDM	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.5/ECKA-EG, FCS_CKM.5/AES_RSA, FCS_CKM.5/ECDHE (note deterministic FCS_CKM.5 play the role of randomized FCS_CKM.1) FCS_CKM.6/CSP
FCS_COP.1/HEM	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/ECKA-EG, FCS_CKM.1/AES_RSA, FCS_CKM.5/ECDHE, FCS_CKM.1/AES_RSA FCS_CKM.6/CSP
FCS_COP.1/HMAC-CSP	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_RNG.1/CSP generates random strings as HMAC keys FCS_CKM.6/CSP
FCS_COP.1/KU	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/AES-CSP FCS_CKM.6/CSP
FCS_COP.1/KW	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/AES-CSP FCS_CKM.6/CSP
FCS_COP.1/MAC	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/AES-CSP, FCS_CKM.6/CSP

Security Functional Requirement	Dependencies of the SFR	SFR components
FCS_COP.1/SDE	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/SDEK, FCS_CKM.6/CSP
FCS_COP.1/TCE	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/TCAP, FCS_CKM.1/PACE, FCS_CKM.6/CSP
FCS_COP.1/TCM	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FCS_CKM.1/TCAP, FCS_CKM.1/PACE, FCS_CKM.6/CSP
FCS_COP.1/VDS- ECDSA	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FPT_ISA.1/Cert (note keys are TSF data), FCS_CKM.6/CSP
FCS_COP.1/VDS-RSA	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	FPT_ISA.1/Cert (note keys are TSF data), FCS_CKM.6/CSP
FCS_COP.1/VDSUCP	[FDP_ITC.1 Import of user data without security attributes, or FDP_ITC.2 Import of user data with security attributes, or FCS_CKM.1 Cryptographic key generation, or FCS_CKM.5 Cryptographic key derivation] FCS_CKM.6 Timing and event of cryptographic key destruction	Import of signature verification key of UCP Issuer as TSF data FPT_ISA.1/Cert, FPT_TIT.1/Cert, FCS_CKM.6/CSP
FCS_RNG.1/CSP	No dependencies	
FDP_ACC.1/KM	FDP_ACF.1 Security attribute based access control	Dependency on FDP_ACF.1 is not fulfilled. Access control to key management functions are specified by FMT_MTD.1/KM because cryptographic keys are TSF data.

Security Functional Requirement	Dependencies of the SFR	SFR components
FDP_ACC.1/Oper	FDP_ACF.1 Security attribute based access control	FDP_ACF.1/Oper
FDP_ACC.1/UCP	FDP_ACF.1 Security attribute based access control	FDP_ACF.1/UCP
FDP_ACF.1/Oper	FDP_ACC.1 Subset access control FMT_MSA.3 Static attribute initialisation	FDP_ACC.1/Oper, FMT_MSA.3/KM
FDP_ACF.1/UCP	FDP_ACC.1 Subset access control FMT_MSA.3 Static attribute initialisation	FDP_ACC.1/UCP, FMT_MSA.3 is not included, because the security attributes of UCP are imported according to FDP_ITC.2/UCP without default values.
FDP_DAU.2/Att	FIA_UID.1 Timing of identification	FIA_UID.1
FDP_DAU.2/Sig	FIA_UID.1 Timing of identification	FIA_UID.1
FDP_ETC.1	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]	FDP_ACC.1/Oper
FDP_ETC.2	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control]	FDP_ACC.1/Oper
FDP_ITC.2/UCP	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FTP_ITC.1 Inter-TSF trusted channel, or FTP_TRP.1 Trusted path] FPT_TDC.1 Inter-TSF basic TSF data consistency	FDP_ACC.1/UCP trusted communication is provided by FCS_COP.1/VDSUCP and FCS_COP.1/DecUCP, FPT_TDC.1/UCP
FDP_ITC.2/UD	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FTP_ITC.1 Inter-TSF trusted channel, or FTP_TRP.1 Trusted path] FPT_TDC.1 Inter-TSF basic TSF data consistency	FDP_ACC.1/Oper trusted communication is provided by FCS_COP.1/HDM and FCS_COP.1/VDS-*, FPT_TDC.1/CK because import of user data is intended for cryptographic operation with key
FDP_RIP.1/UCP	No dependencies	
FDP_SDC.1	No dependencies	
FIA_AFL.1	FIA_UAU.1 Timing of authentication	FIA_UAU.1
FIA_API.1/CA	No dependencies	
FIA_API.1/PACE	No dependencies	
FIA_ATD.1	No dependencies	
FIA_UAU.1	FIA_UID.1 Timing of identification	FIA_UID.1
FIA_UAU.5	No dependencies	
FIA_UAU.6	No dependencies	

Security Functional Requirement	Dependencies of the SFR	SFR components
FIA_UID.1	No dependencies	
FIA_USB.1	FIA_ATD.1 User attribute definition	FIA_ATD.1
FMT_MOF.1	FMT_SMR.1/CSP Security roles FMT_SMF.1/CSP Specification of Management Functions	FMT_SMF.1/CSP, FMT_SMR.1/CSP
FMT_MSA.1/KM	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] FMT_SMR.1/CSP Security roles FMT_SMF.1/CSP Specification of Management Functions	FDP_ACC.1/KM, FDP_ACC.1/Oper, FMT_SMF.1/CSP, FMT_SMR.1/CSP
FMT_MSA.2	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] FMT_MSA.1 Management of security attributes FMT_SMR.1/CSP Security roles	FDP_ACC.1/KM, FDP_ACC.1/Oper, FMT_MSA.1/KM, FMT_SMR.1/CSP
FMT_MSA.3/KM	FMT_MSA.1 Management of security attributes FMT_SMR.1/CSP Security roles	FMT_MSA.1/KM, FMT_SMR.1/CSP
FMT_MTD.1/KM	FMT_SMR.1/CSP Security roles FMT_SMF.1/CSP Specification of Management Functions	FMT_SMF.1/CSP, FMT_SMR.1/CSP
FMT_MTD.1/RAD	FMT_SMR.1/CSP Security roles FMT_SMF.1/CSP Specification of Management Functions	FMT_SMF.1/CSP, FMT_SMR.1/CSP
FMT_MTD.1/RK	FMT_SMR.1/CSP Security roles FMT_SMF.1/CSP Specification of Management Functions	FMT_SMF.1/CSP, FMT_SMR.1/CSP
FMT_MTD.3	FMT_MTD.1 Management of TSF data	FMT_MTD.1/RAD
FMT_SAE.1	FMT_SMR.1/CSP Security roles, FPT_STM.1 Reliable time stamps	FMT_SMR.1/CSP, dependency on FPT_STM.1 is not fulfilled, cf. to the application note to FMT_STM.1
FMT_SMF.1/CSP	No dependencies	
FMT_SMR.1/CSP	FIA_UID.1 Timing of identification	FIA_UID.1
FPT_ESA.1/CK	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data] [FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance] FPT_TDC.1 Inter-TSF basic TSF data consistency	FDP_ACC.1/KM, FMT_MTD.1/KM FMT_MSA.1/KM FPT_TDC.1/CK
FPT_FLS.1	No dependencies	

Security Functional Requirement	Dependencies of the SFR	SFR components
FPT_ISA.1/Cert	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data] [FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance] FPT_TDC.1 Inter-TSF basic TSF data consistency	FDP_ACC.1/KM, FMT_MTD.1/RK, FMT_MSA.1/KM FPT_TDC.1/Cert
FPT_ISA.1/CK	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data] [FMT_MSA.1 Management of security attributes, or FMT_MSA.4 Security attribute value inheritance] FPT_TDC.1 Inter-TSF basic TSF data consistency	FDP_ACC.1/KM, FMT_MTD.1/RK, FMT_MTD.1/KM FMT_MSA.1/KM FPT_TDC.1/Cert
FPT_PHP.3	No dependencies	
FPT_TCT.1/CK	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]	FDP_ACC.1/KM, FMT_MTD.1/RK, FMT_MTD.1/KM
FPT_TDC.1/Cert	No dependencies	
FPT_TDC.1/CK	No dependencies	
FPT_TDC.1/UCP	No dependencies	
FPT_TIT.1/Cert	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]	FDP_ACC.1/KM, FMT_MTD.1/RK
FPT_TIT.1/CK	[FDP_ACC.1 Subset access control, or FDP_IFC.1 Subset information flow control] [FMT_MTD.1 Management of TSF data or FMT_MTD.3 Secure TSF data]	FDP_ACC.1/KM, FMT_MTD.1/KM
FPT_TST.1	No dependencies	
FRU_FLT.2	FPT_FLS.1 Failure with preservation of secure state	FPT_FLS.1
FTP_ITC.1	No dependencies	

9.3.4 SAR – Evaluation Assurance Level Rationale

The EAL4 package and addition of ALC_DVS.2 and AVA_VAN.5 are required by [PP-GP].

For [PP-CSP], the EAL4 was chosen to permit a developer to gain maximum assurance from positive security engineering based on good commercial development practices which, though rigorous, do not require substantial specialist knowledge, skills, and other resources. EAL4 is the highest level at which it

is likely to be economically feasible to retrofit to an existing product line. EAL4 is applicable in those circumstances where developers or users require a moderate to high level of independently assured security in conventional commodity TOEs and are prepared to incur sensitive security specific engineering costs.

The augmentation of the component AVA_VAN.5 provides a higher assurance of the security by vulnerability analysis to assess the resistance to penetration attacks performed by an attacker possessing a high attack potential.

Development security is concerned with physical, procedural, personnel and other technical measures that may be used in the development environment to protect the TOE. In the particular case of a cryptographic module the TOE implements security mechanisms in hardware which details about the implementation, (e. g., from design, test and development tools) may make such attacks easier. Therefore, in the case of a cryptographic module, maintaining the confidentiality of the design and protected manufacturing is very important and the strength of the corresponding protection measures shall be balanced with respect to the assumed moderate attack potential. Therefore ALC_DVS.2 was augmented.

9.3.5 SAR – Dependency rationale

Security Assurance Requirement	CC dependencies	Satisfied dependencies
ADV_ARC.1	(ADV_FSP.1) and (ADV_TDS.1)	ADV_FSP.4 ADV_TDS.3
ADV_FSP.4	(ADV_TDS.1)	ADV_TDS.3
ADV_TDS.3	(ADV_FSP.4)	ADV_FSP.4
ADV_IMP.1	(ADV_TDS.3) and (ALC_TAT.1)	ADV_TDS.3 ALC_TAT.1
AGD_OPE.1	(ADV_FSP.1)	ADV_FSP.4
AGD_PRE.1	No dependencies	
ALC_CMC.4	(ALC_CMS.1) and (ALC_DVS.1) and (ALC_LCD.1)	ALC_CMS.4 ALC_DVS.2 ALC_LCD.1
ALC_CMS.4	No dependencies	
ALC_DEL.1	No dependencies	
ALC_DVS.2	No dependencies	
ALC_LCD.1	No dependencies	
ALC_TAT.1	(ADV_IMP.1)	ADV_IMP.1
ASE_CCL.1	(ASE_ECD.1) and (ASE_INT.1) and (ASE_REQ.1)	ASE_ECD.1 ASE_INT.1 ASE_REQ.2
ASE_ECD.1	No dependencies	
ASE_INT.1	No dependencies	
ASE_OBJ.2	(ASE_SPD.1)	ASE_SPD.1
ASE_REQ.2	(ASE_ECD.1) and (ASE_OBJ.2)	ASE_ECD.1 ASE_OBJ.2
ASE_SPD.1	No dependencies	
ASE_TSS.1	(ADV_FSP.1) and (ASE_INT.1) and (ASE_REQ.1)	ADV_FSP.4 ASE_INT.1 ASE_REQ.2
ATE_COV.2	(ADV_FSP.2) and (ATE_FUN.1)	ADV_FSP.4 ATE_FUN.1
ATE_DPT.1	(ADV_ARC.1) and (ADV_TDS.2) and (ATE_FUN.1)	ADV_ARC.1 ADV_TDS.3 ATE_FUN.1
ATE_FUN.1	(ATE_COV.1)	ATE_COV.2
ATE_IND.2	(ADV_FSP.2) and (AGD_OPE.1) and (AGD_PRE.1) and (ATE_COV.1) and (ATE_FUN.1)	ADV_FSP.4 AGD_OPE.1 AGD_PRE.1 ATE_COV.2 ATE_FUN.1

Security Assurance Requirement	CC dependencies	Satisfied dependencies
AVA_VAN.5	(ADV_ARC.1) and (ADV_FSP.4) and (ADV_IMP.1) and (ADV_TDS.3) and (AGD_OPE.1) and (AGD_PRE.1) and (ATE_DPT.1)	ADV_ARC.1 ADV_FSP.4 ADV_IMP.1 ADV_TDS.3 AGD_OPE.1 AGD_PRE.1 ATE_DPT.1

The table here-above shows that all SAR dependencies are met.

9.4 COMPOSITION TASKS – SFR PART

The following table (see next page) lists the SFRs that are declared in the security target [ST_IC], and separates them in relevant base component[366]-SFRs (RP_SFR-SERV and RP_SFR-MECH[367]) and irrelevant base component-SFRs (IP_SFR), as requested in [CCDB]. The table also provides the link between the relevant base component-SFRs and the composite product SFRs.

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
FRU_FLT.2	**Limited fault tolerance**: The TSF shall ensure the operation of all the TOE's capabilities when the following failures occur: exposure to operating conditions which are not detected according to the requirement Failure with preservation of secure state (FPT_FLS.1).	The term "failure" means "circumstances". The TOE prevents failures for the "circumstances". Environmental conditions include but are not limited to power supply, clock, and other external signals (e.g. reset signal) necessary for the TOE operation.		**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FPT_FLS.1	**Failure with preservation of secure state**: The TSF shall preserve a secure state when the following types of failures occur: exposure to operating conditions which may not be tolerated according to the requirement Limited fault tolerance (FRU_FLT.2) and where therefore a malfunction could occur.	The term "failure" also covers "circumstances". The TOE prevents failures for the "circumstances". The secure state is maintained by TOE's detectors. The TOE's detectors are monitoring the failure occurs. *The failures are abnormal detectors that detect out of the specified range. If the failures are happen, the TOE goes into secure state. This satisfies the FPT_FLS.1 "Failure with preservation of secure state.*		**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FMT_LIM.1	The TSF shall be designed and implemented in a manner that limits their capabilities so that in conjunction with "Limited	None		**X**		No direct link to composite TOE SFRs but provides global

[366] Using the composition tasks terminology, the base component is the S3NSEN6 chip.
[367] RP_SFR-SERV designates relevant IC SFRs used by the composite TOE to implement security services with associated TSFI. RP_SFR-MECH designates relevant IC SFRs used by the composite TOE as mechanisms to provide global protection against attacks.

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
	availability (FMT_LIM.2)" the following policy is enforced: Deploying Test Features after TOE Delivery does not allow user data of the Composite TOE to be disclosed or manipulated, TSF data to be disclosed or manipulated, software to be reconstructed and no substantial information about construction of TSF to be gathered which may enable other attacks.					protection against attacks
FMT_LIM.2	The TSF shall be designed in a manner that limits their availability so that in conjunction with "Limited capabilities (FMT_LIM.1)" the following policy is enforced: Deploying Test Features after TOE Delivery does not allow user data of the Composite TOE to be disclosed or manipulated, TSF data to be disclosed or manipulated, software to be reconstructed and no substantial information about construction of TSF to be gathered which may enable other attacks			**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FAU_SAS.1	The TSF shall provide the test process before TOE Delivery with the capability to store the Initialization Data and/or Pre-personalization Data and/or supplements of the Smartcard Embedded Software in the Test ROM area.	The integrity and uniqueness of the unique identification of the TOE must be supported by the development, production and test environment.	**X**			No direct link to composite TOE SFRs but used for the composite-product identification.
FDP_SDC.1	The TSF shall ensure the confidentiality of the information of the user data while it is stored in the FLASH, RAM or ROM.	None		**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FDP_SDI.2	The TSF shall monitor user data stored in containers controlled by the TSF for error on all objects, based on the following attributes: FLASH, RAM or ROM read operation. Upon detection of a data integrity error, the TSF shall enforce a device RESET or an interrupt.	This requirement is achieved by security features such internal encryption and scrambling mechanisms.		**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FPT_PHP.3	The TSF shall resist physical manipulation and physical probing to the TSF by responding automatically such that the SFRs are always enforced.	The TSF will implement appropriate mechanisms to continuously counter physical manipulation and physical probing. Due to the nature of these attacks (especially manipulation) the TSF can by no means detect attacks on all of its elements. Therefore, permanent		**X**		No direct link to composite TOE SFRs but provides global protection against attacks

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
		protection against these attacks is required ensuring that security functional requirements are enforced. Hence, “automatic response” means here (i) assuming that there might be an attack at any time and (ii) countermeasures are provided at any time. This requirement is achieved by security feature as the shield must be removed and bypassed in order to perform physical intrusive attacks. The TOE makes appropriate secure reaction to stop operation if a physical manipulation or physical probing attack is detected. And also internal scrambling & encryption for memories and logic area make the reverse-engineering of the TOE layout unpractical. So these functionalities meet the security functional requirement of FPT_PHP.3: Resistance to physical attack.				
FDP_IFC.1	The TSF shall enforce the Data Processing Policy on all confidential data when they are processed or transferred by the TOE or by the Security IC Embedded Software. The following Security Function Policy (SFP) Data Processing Policy is defined for the requirement “ Subset information flow control (FDP_IFC.1)”: User data of the Composite TOE and TSF data shall not be accessible from the TOE except when the Security IC Embedded Software decides to communicate the user data of the Composite TOE via an external interface. The protection shall be applied to confidential data only but without the distinction of attributes controlled by the Security IC Embedded Software.		**X**			FPR_UNO.1

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
FDP_ITT.1	The TSF shall enforce the Data Processing Policy to prevent the disclosure of user data when it is transmitted between physically-separated parts of the TOE. The different memories, the CPU and other functional units of the TOE (e.g. a cryptographic co-processor) are seen as physically-separated parts of the TOE.		**X**			FPR_UNO.1
FPT_ITT.1	The TSF shall protect TSF data from disclosure when it is transmitted between separate parts of the TOE. The different memories, the CPU and other functional units of the TOE (e.g. a cryptographic co-processor) are seen as separated parts of the TOE. This requirement is equivalent to FDP_ITT.1 above but refers to TSF data instead of user data. Therefore, it should be understood as to refer to the same *Data Processing Policy* defined under FDP_IFC.1.		**X**			FPR_UNO.1
FCS_RNG.1 /PTG.2	The TSF shall provide a physical true random number generator that implements […] The TSF shall provide numbers, 16-bit per number that meet […]	None	**X**			FCS_RNG.1
FCS_RNG.1 /RGS-IC	The TSF shall provide a physical true random number generator that implements […] The TSF shall provide random numbers that meet […]	None	**X**			FCS_RNG.1
FDP_ACC.1	The TSF shall enforce the Memory Access Control Policy on all subjects (software with privilege mode and user mode), all objects (data including code stored in memories) and all the operations defined in the Memory Access Control Policy. Subjects are software codes in Privilege and User mode. Objects are data stored in ROM, RAM and FLASH memories.	None	**X**			FDP_ACC.2/FIREWALL
FDP_ACF.1	The TSF shall enforce the Memory Access Control Policy (MPU) to objects based on the following: the memory area where the software is executed from and/or the memory area where the access is performed to and/or the operation to be performed. The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is	None	**X**			FDP_ACF.1/FIREWALL

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
	allowed: evaluate the corresponding permission control information before the access so that accesses to be denied cannot be utilized by the subject attempting to perform the operation.					
FMT_MSA.1	The TSF shall enforce the Memory Access Control Policy to restrict the ability to change default, modify or delete the security attributes permission control information to running at privilege mode.	None	**X**			FMT_MSA.1/JCRE FMT_MSA.1/JCVM
FMT_MSA.3	The TSF shall enforce the Memory Access Control Policy to provide well defined default values for security attributes that are used to enforce the SFP. The TSF shall allow any subject (provided that the Memory Access Control Policy is enforced and the necessary access is therefore allowed) to specify alternative initial values to override the default values when an object or information is created.	None	**X**			FMT_MSA.3/FIREWALL FMT_MSA.3/JCVM
FMT_SMF.1	The TSF shall be capable of performing the following management functions: access the control registers of the MPU.	None	**X**			FMT_SMF.1
FMT_LIM.1/Loader	The TSF shall be designed and implemented in a manner that limits its capabilities so that in conjunction with “Limited availability (FMT_LIM.2)” the following policy is enforced: Deploying Loader functionality after locking the chip to FLASH booting mode does not allow stored user data to be disclosed or manipulated by unauthorized user.	None		**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FMT_LIM.2/Loader	The TSF shall be designed in a manner that limits its availability so that in conjunction with “Limited capabilities (FMT_LIM.1)” the following policy is enforced: The TSF prevents deploying the Loader functionality after locking the chip to FLASH booting mode.	None		**X**		No direct link to composite TOE SFRs but provides global protection against attacks
FTP_ITC.1	The TSF shall provide a communication channel between itself and the authorized user for using the Bootloader that is logically distinct from other communication channels and provides assured identification of its end points and protection of the channel data from modification or disclosure. The TSF shall permit another trusted IT product to initiate communication via the trusted channel. The TSF shall initiate communication via the trusted channel for deploying Loader mutual Authentication and establishment of session keys.	None			**X**	No direct link to composite TOE SFRs

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
FDP_UCT.1	The TSF shall enforce the Loader SFP to receive user data in a manner protected from unauthorized disclosure.	None			**X**	No direct link to composite TOE SFRs
FIA_API.1	The TSF shall provide a mutual authentication of Bootloader to prove the identity of the TOE to an external entity.	None	**X**			No direct link to composite TOE SFRs, since the IC Loader is no more available after phase 5. However, this IC SFR is essential to protect the composite TOE during phases 4, 5 (covered by the ALC assurance classes).
FDP_UIT.1	The TSF shall enforce the Loader SFP to receive user data in a manner protected from modification, deletion, insertion errors. The TSF shall be able to determine on receipt of user data, whether modification, deletion, insertion has occurred.	None	**X**			No direct link to composite TOE SFRs, since the IC Loader is no more available after phase 5. However, this IC SFR is essential to protect the TESS v5.2 software loading during phase 5 (covered by the ALC assurance classes).
FDP_ACC.1/ Loader	The TSF shall enforce the Loader SFP on […]	None	**X**			No direct link to composite TOE SFRs, since the IC Loader is no more available after phase 5. However, these two IC SFRs are essential to protect the composite TOE during phases 4, 5 (covered by the ALC assurance classes)
FDP_ACF.1/ Loader	The TSF shall enforce the Loader SFP to objects based on the following: (1) the subjects Loader authorized users with security attributes FLASH write. (2) the objects user data in FLASH with security attributes FLASH write. The TSF shall enforce the following rules to determine if an operation among controlled subjects and controlled objects is allowed: Bootloader can do write operation in FLASH after a successful Authentication. The TSF shall explicitly authorize access of subjects to objects based on the following additional rules: FLASH can be controlled based on security attributes, which can be limited by	Bootloader is only allowed in ROM Booting mode. To access all Bootloader APDU command except public APDU command, the mutual authentication sequence must be passed. In Flash booting mode, all APDU commands cannot be accessed.	**X**			

Platform-SFR	Platform-SFR content	Platform-SFR additional information	RP_SFR-SERV	RP_SFR-MECH	IP_SFR	Composite product SFRs
	Bootloader APDU command. The TSF shall explicitly deny access of subjects to objects based on the following additional rules: Bootloader can't access the FLASH without successful authentication.					

10 TOE summary specification

10.1 TESS V5.2 PLATFORM

This section provides a summary of the security functions implemented by the TOE in order to fulfil the security functional requirements. The security functionalities concerning the IC are described in [ST_IC] and are not redefined in this security target, although they must be considered for the TOE.

10.1.1 [PP-GP] Protection Profile

GP.CardContentManagement
This security function provides the capability and a dedicated flow control for the loading, installation, extradition, registry update, selection and removal of card content and especially executable files and application instances. Such features are offered to the Card Issuer and its business partners, allowing the Card Issuer to delegate card content management to an Application Provider according to privileges assigned to the various security domains on the card. It supports Delegated management (DM), Authorized management (AM) and it can use DAP or Mandated DAP verification and generation of Reception token. It also checks that only the card management commands specified and allowed at each state of the smart card's life cycle are accepted, and ill-formed ones are rejected with an appropriate error response.

GP.KeyLoading
This security function provides the capability and a dedicated flow control for the loading of keys and other sensitive data using the GlobalPlatform STORE DATA and PUT KEY APDUs, or by using GlobalPlatform APIs for loading and storing data and keys.

GP.SecurityDomain
This security function provides security domain management, as SD creation, SD selection, SD privileges setting and SD deletion in SD hierarchy. It provides means to associate or extradite an application to a security domain in order to provide services (as secure channel) to the dedicated application without sharing the related keys stored in SD. It also provides Keyset Management in SD, with Key Set creation, Key set deletion, key importation, replacement, or deletion in Key Set.
Security Domains are privileged Applications as defined in [GPCS] § 7, holding cryptographic keys to be used to support Secure Channel Protocol operations and/or to authorize card content management functions. There are different types of security domain with dedicated privileges and associated operations: ISD Security domain, Supplementary Security domains, and Controlling Authority Security domains.
ISD Security domain as defined in [GPCS] §7.1.1, is the mandatory Security Domain, implicitly selected if the Application implicitly selectable on the same logical channel of the same card I/O interface is removed. It inherits of the Final Application privilege if the Application with that privilege is removed.
Supplementary Security Domains are privileged Applications with dedicated privileges:

- Token Verification Privilege as described in [GPCS] §9.1.3.1
- Authorized Management Privilege as described in [GPCS] §9.1.3.2
- Delegated Management Privilege as described in [GPCS] §9.1.3.3
- Global Delete Privilege as described in [GPCS] §9.1.3.4
- Global Lock Privilege as described in [GPCS] §9.1.3.5
- Receipt Generation Privilege as described in [GPCS] §9.1.3.6
- Ciphered Load File Data Block Privilege as described in [GPCS] §9.1.3.7

Controlling Authority Security Domain is a supplementary Security Domain dedicated to the Controlling Authority with dedicated privileges. It contains Security Domains cryptographic keys needed to confidentially personalize an initial set of Secure Channel Keys of an APSD.

GP.SecureChannel

This security function provides a secure communication channel between a card and an off-card entity during an Application Session according to [GPCS], [Amd B], [Amd D], [Amd F], [TS 102.225], [TS 102.226]. It provides an APDU flow control using the Command security level check according to Card Life cycle and type of APDU.

A Secure Channel Session is divided into three sequential phases:

- Secure Channel Initiation when the on-card Application and the off-card entity have exchanged sufficient information enabling them to perform the required cryptographic functions. The Secure Channel Session initiation always includes (at least) the authentication of the off-card entity by the on-card Application; performing also the setting of the Command security level used for the session.
- Secure Channel Operation when the on-card Application and the off-card entity exchange data within the cryptographic protection of the Secure Channel Session. The Secure Channel services offered may vary from one Secure Channel Protocol to the other;
- Secure Channel Termination when either the on-card Application or the off-card entity determines that no further communication is required or allowed via an established Secure Channel Session.

The following services are provided by the Secure Channel:

- Entity authentication in which the card or the off-card entity proves its authenticity to the other entity through a cryptographic exchange, based on session key generation and a dedicated flow control; For SCP80, envelope APDU shall contain secured packet structure defined in [TS 102.225] §5 and Anti-replay mechanism is proposed optionally using a counter defined in [TS 102.225] §5.1.4;
- Integrity and authentication in which the receiving entity (the card or off-card entity) ensures that the data being received from the sending entity (respectively the off-card entity or card) actually came from an authenticated entity in the correct sequence and has not been altered;
- Confidentiality in which data being transmitted from the sending entity (the off-card entity or card) to the receiving entity (respectively the card or off-card entity) is not viewable by an unauthenticated entity.

The following Secure Channel Protocols are supported by the TOE: SCP02, SCP03, SCP11, SCP80 and SCP81.

GP.GPRegistry

This security function provides management and access to the GlobalPlatform Registry used for:

- Store card management information;
- Store relevant application management information (e.g., AID, associated Security Domain and Privileges);
- Support card resource management data;
- Store Application Life Cycle information;
- Store card Life Cycle information;
- Track any counters associated with logs.

The content of the GlobalPlatform Registry may be accessed by administrative commands or by applet using a dedicated GlobalPlatform API.

Only secure values are accepted for the information stored in the GlobalPlatform registry (including Life Cycle states, Security Levels and Privileges).

GP.TrustedFramework

This security function provides a trusted path for inter-application communication, according to the Trusted Framework defined in [GPCS]. The trusted path provides assured identification of its end points and protection of the communicated data from modification and disclosure. Targeted use case is application personalization, where the GlobalPlatform Trusted Framework forwards the unwrapped command (STORE DATA) to the Target Application indicated by the Receiving SD through its GlobalPlatform Application interface.

GP.CLFDB
This security function handles the decryption of Ciphered Load File Data Blocks according to [GPCS] section C.6. Decryption is done using either TDES (112 bits key length) with CBC mode, or AES with CBC mode with a null ICV (128, 192, or 256 bits key length).

GP.GlobalServices
This security function implements the controls related to Global Services Applications, as described in [GPCS] section 8.1: access control, management and initialization of security attributes, roles.

GP.CVM
This security function implements the controls related to the CVM services, as described in [GPCS] section 8.2. The Global PIN is blocked after a configured number of unsuccessful (and consecutive) PIN verification attempts is reached; this number is comprised between 1 and 255 and is set during card personalization (phase 6). The comparison between the PIN value provided by the cardholder and the reference PIN is done securely within the TOE (in particular, without any leakage that could allow an observer to gain information on the PIN value).

GP.DelegatedManagement
The TOE implements the verification of DM tokens and generation of DM receipts as specified in [GPCS] sections C.4 and C.5. The following algorithms are supported for both operations:

- TDES (112 bits key length) according to [GPCS] section B.1.2.2
- AES (128, 192, or 256 bits key length) according to [GPCS] section B.2.2
- RSA (1024 or 2048 bits key length) according to [GPCS] section B.3.1.1 / B3.2.1
- ECC (256, 384, or 512 bits key length) according to [GPCS] section B.4.3.

GP.DAP
The TOE implements the verification of DAP (and Mandated DAP) blocks as specified in [GPCS] sections C.2 and C.3. The following algorithms are supported:

- SHA-1, SHA-256, SHA-384, or SHA-512 for the hash computation
- TDES (112 bits key length), AES (128, 192, or 256 bits key length), RSA (1024 or 2048 bits key length) or ECC (256, 384, or 512 bits key length) for the DAP signature verification. This verification is done at the time an ELF with DAP is received.

GP.CCCM
The TOE implements Confidential Card Content Management (CCCM) as specified in [Amd A] to enforce secure personalization of Secure Channel keys, secure personalization of APSD by the CA through the CASD and confidential loading of applications by an AP. The following personalization models are supported:

- Pull Model in symmetric key mode
- Pull Model in asymmetric key mode
- Push Model in asymmetric key mode
- Key agreement Model.

The related cryptographic operations, as well as supported algorithms, are described in the table below:

Personalisation Models	Operation	Algorithm	Length	Recommended Standards
Pull Model (Asymmetric and Symmetric Key Modes)	Derivation of the three APSD Secure Channel keys (K_{ENC}, K_{MAC}, and K_{DEK}) from the on-card generated key (RGK)	TDES or AES	112 bits for TDES 128 or 256 bits for AES	[GPCS] section B.1 for TDES [GPCS] section B.2 for AES
Pull Model (Asymmetric Key Mode)	Verification of the AP certificate by the CASD	RSA	1024 to 2048 bits	[GPCS] section B.3
Pull Model (Asymmetric Key Mode)	Encryption of the RGK by the AP Public Key	RSA	1024 to 2048 bits	[GPCS] section B.3
Pull Model (Asymmetric Key Mode)	Signature of the RGS with the CASD Private Key	RSA	1024 to 2048 bits	[GPCS] section B.3
Pull Model (Symmetric Key Mode)	Decryption of the AP Secret Encryption Key using the CASD Symmetric Encryption Key	TDES	112 bits	[GPCS] section B.1
Pull Model (Symmetric Key Mode)	Signature Verification of the AP Secret Encryption Key by the CASD Symmetric Signature Key	TDES	112 bits	[GPCS] section B.1
Pull Model (Symmetric Key Mode)	Encryption of the RGK by the AP Secret Encryption Key	TDES	112 bits	[GPCS] section B.1
Pull Model (Symmetric Key Mode)	Signature of the RGK with the CASD Signature Key	TDES	112 bits	[GPCS] section B.1
Push Model with AP certificate	Verification of the AP Certificate by the CASD using its public key	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model with AP certificate	Signature verification of the APSD keys by the APSD using the public key extracted from the AP certificate	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model with or without AP certificate	Decryption of the APSD keys using the CASD private key	RSA	1024 to 2048 bits	[GPCS] section B.3
Push Model without AP certificate	Decryption of the APSD keys using the temporary APSD Secure Channel keys	RSA	1024 to 2048 bits	[GPCS] section B.3

Personalisation Models	Operation	Algorithm	Length	Recommended Standards
Push Model without AP certificate	Signature verification of the APSD keys by the temporary APSD Secure Channel keys	RSA	1024 to 2048 bits	[GPCS] section B.3
Key agreement Model	Key Agreement (Cofactor) One-Pass Diffie-Hellman, C(1e, 1s, ECC CDH) scheme	ECC	256, 384, 512, or 521 bits	NIST 800 56A and [GPCS] section B.4
Key agreement Model	Signature generation of the CASD certificate	ECDSA	256, 384, 512, or 521 bits	[GPCS] section B.4
All	Signature by the CASD of the client Application payload	ECDSA	256, 384, 512, or 521 bits	RFC 5758

This security function also enforces the RGK key generation under the Pull Mode (see [Amd A] section 3.2.1). This key is used on-card and off-card to derive the three APSD Secure Channel keys. The RGK can be generated as a TDES key (112 bits key length) or as an AES key (128 or 256 bits key length).

GP.CTL

The TOE implements the Contactless Services according to [Amd C].
These services concern the following main entities:

- The Contactless Registry Service (CRS) which is an extension of the OPEN providing:
  - The Contactless Registry, an extension of the GlobalPlatform Registry,
  - The CRS API, an extension of the GlobalPlatform API,
  - Services for managing and accessing the Contactless Registry parameters,
  - Contactless protocol management,
  - Access control on Communication Interfaces,
  - Application selection rules on the contactless interface,
  - Contactless privileges.

- The Contactless Registry Event Listener (CREL) Application which is notified of the changes occurring to one or more Contactless Applications.

GP.ELFU

The TOE implements the ELF Upgrade capability according to [Amd H]. Associated access control rules are enforced, as defined in [Amd H]. Management functions include the Saving, Loading, Restore phases of the Executable Load File Process, the management of the ELF upgrade session status and the card management during the ELF upgrade session. Rollback of deletion operations is supported under the following rules:

- If the deletion of the application instances and ELF(s) (atomic and irreversible operation) was started and then interrupted and/or disturbed by for example unexpected power-down, it shall automatically restart and complete at next power-up.
- If the interruption occurred during the Deletion Sequence and the latter did not complete automatically (i.e. the irreversible deletion operation did not start already), the Deletion Sequence shall restart.

A secure state is preserved when the following types of failures occur:

- The required minimum amount of memory is not available at the time the command MANAGE ELF UPGRADE is received

- A fatal error occurs using the new ELF version during the Restore Phase
- The ELF Upgrade Recovery Procedure fails
- The installation of an Application instance fails
- An interruption occurred during the Installation, Saving, Restore, or Consolidation Sequences.

GP.OS-UPDATE

The TOE implements an OS Update capability by means of the GemActivate proprietary mechanism, allowing the TESS v5.2 Platform to be updated post-issuance (during phase 7 of the card life-cycle). OS updates are performed through the loading, installation and activation of related ELFs, fulfilling the same rules as for any other ELF. DAP verification (AES128 CMAC) is mandatory for ELFs containing OS updates, ensuring the authenticity and integrity protection of the code update, and the content of the ELF is directly encrypted (AES128 in CBC mode) with a dedicated encryption key, ensuring the confidentiality protection. Note that both the DAP signature verification key and the encryption key are GemActivate keys, meaning that OS updates can only be issued and decrypted by Thales. Verification of TOE identification data is also enforced before allowing any OS update. The whole OS update operation is done through an atomic process, ensuring the permanent consistency between the TESS v5.2 Platform active code and its identification data.

A secure state is preserved in case of failure during the OS update process. More precisely:

- There are 3 steps in an OS Update operation:
  - step 1: loading
  - step 2: activation
  - step 3: update of TOE identification data

  Steps 2 and 3 are performed atomically, so that the TOE active code and identification data always remain consistent.
- If a failure (interruption or incident) occurs during step 1 (loading), then the TOE remains in its initial state (no update, neither of code nor of the TOE identification data).
- If a failure (interruption or incident) occurs during the atomic sequence step 2 / step 3 (activation / update of TOE identification data), then the enforced behavior depends on the nature of the update:
  - For java code updates, the TOE remains in its initial state and the OS Update operation is aborted.
  - For native code updates, the TOE does some retries to complete the atomic sequence step 2 / step 3 (activation / update of TOE identification data) until it is successful.
  - In any case, only two possible secure states are possible at any given time:
    - Either activation is not done and the TOE identification data is not updated (i.e. initial state)
    - Or the atomic sequence completes successfully, i.e. the OS update is activated and the TOE identification data is updated accordingly.

JCS.APDUBuffer

The security function maintains a byte array buffer accessible from any applet context. This buffer is used to transfer incoming APDU header and data bytes as well as outgoing data according to [JCAPI3]. The APDU class API is designed to be transport protocol independent T=0, T=1, T=CL (as defined in ISO 7816-3).
Application note: ADPU buffer is a JCRE temporary entry point object where no associated reference can be stored in a variable or an array component.

JCS.ByteCodeExecution

This security function handles applet bytecode execution according to the rules defined in [JCVM3]. The JCVM execution may be summarized in JCVM interpreter start-up, bytecode execution and JCVM interpreter loop. The applet bytecode execution consists in:

- fetching the next bytecode to execute according to the applet's code flow control,
- decoding the next bytecode,
- executing the fetched bytecode.

The JCVM manages several types of objects, such as persistent objects, transient objects, persistent arrays (boolean, byte, short, int or reference), transient arrays (boolean, byte, short, int or reference) and static field images. For each type of object, different types of control are performed.

JCS.Firewall

This security function enforces a Firewall access control policy and a JCVM information flow control policy at runtime. It defines how accessing the following items: Static Class Fields, Array Objects, Class Instance Object Fields, Class Instance Object Methods, Standard Interface Methods, Shareable Interface Methods, Classes, Standard Interfaces, Shareable Interfaces, Array Object Methods.

Based on security attributes (Sharing, Context, Lifetime), it performs access control to object fields between objects and throws security exception when access is denied. Thus, it enforces applet isolation located in different packages and controls the access to global data containers shared by all applet instances.

The JCRE shall allocate and manage a context for each Java API package containing applets. The JCRE maintains for its own context a special system privilege so that it can perform operations that are denied to contexts of applets.

JCS.Package

This security function manages packages. A package is a structural item defined for naming, loading, storing, execution context definition. There are rules for package identification, for structure check and access rules definition. If inconsistent items are found during checks, an error message is sent.

JCS.CryptoAPI

This security function offers the following cryptographic services to applets through the JavaCard API:

- Generation of random numbers as defined in [JCAPI3] to be used for key values or challenges during external exchanges. The Random Number Generator (RNG) is hybrid deterministic and conformant to [AIS 20/31] DRG.4, providing enhanced backward secrecy & enhanced forward secrecy. It passes [AIS 20/31] chapter 2.4.4.1 test procedure A.
- Computation of checksum CRC16 and CRC32 conformant with ISO3309, as defined in [JCAPI3] Checksum class. Both ALG_ISO3309_CRC16 and ALG_ISO3309_CRC32 are supported.
- Encryption and decryption using TDES algorithm as defined in [JCAPI3] Cipher class. The following algorithms are supported: ALG_DES_CBC_NOPAD, ALG_DES_CBC_ISO9797_M1 ALG_DES_CBC_ISO9797_M2, ALG_DES_CBC_PKCS5, ALG_DES_ECB_NOPAD, ALG_DES_ECB_ISO9797_M1, ALG_DES_ECB_ISO9797_M2 and ALG_DES_ECB_PKCS5. Both TDES 2-keys (112 bits key length) and TDES 3-keys (168 bits key length) are supported.
- Generation of 4-byte or 8-byte MAC using TDES algorithm as defined in [JCAPI3] Signature class. The following algorithms are supported: ALG_DES_MAC4_ISO9797_1_M1_ALG3, ALG_DES_MAC4_ISO9797_1_M2_ALG3, ALG_DES_MAC4_ISO9797_M1, ALG_DES_MAC4_ISO9797_M2, SIG_CIPHER_DES_MAC4, ALG_DES_MAC4_PKCS5, ALG_DES_MAC4_NOPAD, ALG_DES_MAC8_ISO9797_1_M1_ALG3, ALG_DES_MAC8_ISO9797_1_M2_ALG3, ALG_DES_MAC8_ISO9797_M1, ALG_DES_MAC8_ISO9797_M2, SIG_CIPHER_DES_MAC8, ALG_DES_MAC8_PKCS5 and ALG_DES_MAC8_NOPAD. Both TDES 2-keys (112 bits key length) and TDES 3-keys (168 bits key length) are supported.

- Encryption and decryption using AES (128, 192 or 256 bits key) algorithm as defined in [JCAPI3] Cipher and AEADCipher classes. The following algorithms are supported: ALG_AES_BLOCK_128_CBC_NOPAD, ALG_AES_CBC_ISO9797_M1, ALG_AES_CBC_ISO9797_M2, ALG_AES_CBC_PKCS5, ALG_AES_BLOCK_128_ECB_NOPAD, ALG_AES_ECB_ISO9797_M1, ALG_AES_ECB_ISO9797_M2, ALG_AES_ECB_PKCS5, ALG_AES_CTR, ALG_AES_CCM, CIPHER_AES_CCM, ALG_AES_GCM and CIPHER_AES_GCM.
- Generation of 16-byte, 24-byte or 32-byte MAC using AES algorithm (128, 192 or 256 bits key) in CBC mode as defined in [JCAPI3] Signature class. The following algorithms are supported: ALG_AES_MAC_128_NOPAD, SIG_CIPHER_AES_MAC128, SIG_CIPHER_AES_CMAC128, ALG_AES_CMAC_128, ALG_AES_MAC_192_NOPAD and ALG_AES_MAC_256_NOPAD.
- Data hash computation as defined in [JCAPI3] MessageDigest class. The following algorithms are supported: ALG_SHA, ALG_SHA_224, ALG_SHA_256, ALG_SHA_384, ALG_SHA_512, ALG_SHA3_224, ALG_SHA3_256, ALG_SHA3_384 and ALG_SHA3_512.
- HMAC computation as defined in [JCAPI3] Signature class. The following algorithms are supported: ALG_HMAC_SHA1, ALG_HMAC_SHA_256, ALG_HMAC_SHA_384 and ALG_HMAC_SHA_512.
- Encryption and decryption using RSA with Standard or CRT modes, as defined in [JCAPI3] Cipher class. The following algorithms are supported: ALG_RSA_NOPAD, ALG_RSA_PKCS1 and ALG_RSA_PKCS1_OAEP. All key lengths from 512 to 2048 bits (by steps of 32 bits) and 3072 bits are supported.
- Generation and verification of RSA signatures in Standard or CRT modes, as defined in [JCAPI3] Signature class. The following algorithms are supported: ALG_RSA_SHA_224_PKCS1, ALG_RSA_SHA_224_PKCS1_PSS, ALG_RSA_SHA_256_PKCS1, ALG_RSA_SHA_256_PKCS1_PSS, ALG_RSA_SHA_384_PKCS1, ALG_RSA_SHA_384_PKCS1_PSS, ALG_RSA_SHA_512_PKCS1, ALG_RSA_SHA_512_PKCS1_PSS, ALG_RSA_SHA_ISO9796, ALG_RSA_SHA_ISO9796_MR, ALG_RSA_SHA_PKCS1, ALG_RSA_SHA_PKCS1_PSS and ALG_RSA_SHA_RFC2409. All key lengths from 512 to 2048 bits (by steps of 32 bits) and 3072 bits are supported.
- Generation and verification of ECDSA signatures as defined in [JCAPI3] Signature class. The following algorithms are supported: ALG_ECDSA_SHA, ALG_ECDSA_SHA_224, ALG_ECDSA_SHA_256, ALG_ECDSA_SHA_384 and ALG_ECDSA_SHA_512. Elliptic curve cryptography over GF(p) is considered here, with P ranging from 160 to 521 bits.
- Secret key agreement according to the ECDH algorithm, as defined in [JCAPI3] KeyAgreement class. The following algorithms are supported: ALG_EC_SVDP_DH_KDF, ALG_EC_SVDP_DH_PLAIN, ALG_EC_SVDP_DH_PLAIN_XY, ALG_EC_SVDP_DHC_KDF and ALG_EC_SVDP_DHC_PLAIN. Elliptic curve cryptography over GF(p) is considered here, with P ranging from 256 to 521 bits.
- Key Exchange according to the DH algorithm. RSA key sizes ranging from 1024 to 2048 bits (by steps of 32 bits) are supported.

These operations are performed in a way to avoid revealing the key values. If the applet specifies an algorithm that the platform does not support, the JCRE refuses to perform the cryptographic operation and generates an exception.

JCS.KeyManagement
This security function enforces key management for the different associated operations: key building and generation, key importation, key exportation, key masking and key destruction using the standard API defined in [JCAPI3].

- Key generation implemented through KeyBuilder and/or KeyPair classes : TDES key generation (112 or 168 bits), AES key generation (128, 192 or 256 bits), RSA Standard and RSA CRT Key Pair Generation (1024 to 2048 bits by steps of 32 bits), ECDSA Key Pair Generation (P ranging from 160 to 521 bits) and HMAC Key generation.
- Key importation and exportation is done using method protecting confidentiality and integrity of key.
- Key masking protects the confidentiality of cryptographic keys from being read out from the memory. It ensures the service of accessing and modifying them.
- Key destruction (implemented through the method clearKey() of the Key class) disables the use of a key both logically and physically. Reuse is only possible after erase.

JCS.OwnerPIN

This security function provides to applets a means to perform user identification and authentication with the OwnerPin class conformant to [JCAPI3].

It offers to create a PIN and store it securely in the persistent memory. It allows access to PIN value only to perform a secure comparison between a PIN stored in the persistent memory and a data received as parameter.

A method returns a positive result if a valid Pin has been presented during current session. If the PIN is not blocked and the comparison is successful, the validated flag is set to and the try counter is set to its maximum, otherwise the authentication fails and the associated try counter is decremented. When the validated flag is set, it is assumed that the user is authenticated.

When the try counter reaches zero, the PIN is blocked and the authentication is no more possible until the PIN is unblocked.

JCS.EraseResidualData

This security function ensures that sensitive data are locked upon the following operations as defined in [JCRE3]:

- Deletion of package and/or applications,
- Deletion of objects.

They are erased when space needs to be reused for allocation of new objects.

This security function also ensures that the sensitive temporary buffers (transient object, bArray object, Global Array object, APDU buffer, Cryptographic buffer) are securely cleared after their usage with respect to their life-cycle and interface as defined in [JCRE3], transient object at reset or allocation and persistent object are erased at allocation for new object.

JCS.OutOfLifeDataUndisclosure

This security function ensures that sensitive data are locked until postponed erasure on the following operations: Deletion of persistent and transient objects according to [JCRE3].

JCS.RunTimeExecution

This security function provides a secure run time environment conformant to [JCRE3] and deals with:

- Instance registration or deletion,
- Application selection,
- Applet opcode execution,
- JCAPI methods execution,
- Logical channel management,
- APDU flow control, dispatch and buffer management,
- JCRE memory and context management,
- JCRE reference deletion,
- JCRE access rights,
- JCRE throw exception,
- JCRE security reaction.

JCS.Exception
This security function manages throwing of an instance of Exception class in the following cases:

- a SecurityException when an illegal access to an object is detected,
- a SystemException with an error code describing the error condition,
- a CryptoException in case of algorithm error or illegal use,
- any exception decided by the applet or the JCRE handled as temporary JCRE entry point object with associated JCAPI. It also offers a means to applet to handle exception and to JCRE to handle uncaught exception by applets.

JCS.SensitiveArray
The TOE implements the 'SensitiveArrays' optional Javacard package. This security function ensures the integrity protection of the user data stored in arrays created by the makeIntegritySensitiveArray() method of the javacard.framework.SensitiveArrays class. An exception is thrown upon detection of an integrity error.

JCS.SensitiveResult
The TOE implements the 'SensitiveResult' optional Javacard package. This security function ensures the integrity protection of the sensitive API result stored in the javacardx.security.SensitiveResult class. An exception is thrown upon detection of an integrity error, additionally the TSF will mute the card further if redundancy checking of data integrity detects an error.

JCS.MonotonicCounters
The TOE implements the 'Monotonic counters' optional Javacard package. This security function ensures the integrity protection of the MonotonicCounter objects created by the getInstance() method of the javacardx.security.util.MonotonicCounter class, based on the following attribute: stored user data. An exception is thrown upon detection of an integrity error.

JCS.CryptographicCertificateManagement
The TOE implements the 'Cryptographic Certificate Management' optional Javacard package. This security function ensures the integrity protection of the Certificates objects stored in containers, based on the following attribute: cryptographic certificate. An exception is thrown upon detection of an integrity error. It also offers the following cryptographic service to applets through the JavaCard API: verification of certificate signatures.

JCS.KeyDerivationFunctions
The TOE implements the 'Key Derivation' optional Javacard package. This security function offers cryptographic services through the JavaCard API to applets to derive cryptographic keys.

JCS.SystemTime
The TOE implements the 'System Time' optional Javacard package. This security function provides reliable time stamps.

OS.MemoryManagement
This security function allocates memory areas and performs access control on them to avoid unauthorized access. It manages circular writing to avoid instable memory state. It enforces memory recovery in case of error detection. It offers (when required) confidentiality services for data storage: Ciphering / Deciphering of Data in RAM or in FLASH, Scrambling / Unscrambling of Data in RAM or in FLASH.

OS.Atomicity

This security function performs write operations atomically on complex type or object in order to avoid incomplete update. Prior to be written, data is stored in an atomic back-up area. In case on writing interrupt, the only two possible values are: initial value if writing is not started or final value if writing is started. At next start-up, the atomic back-up area is check to finalize interrupted writing.

10.1.2 [PP-CSP] Protection Profile

Authentication management
This security function provides authentication mechanisms such as:

1. Authentication of human users to the TOE
2. Authentication of the TOE to external entity
3. Authentication of external entity to the TOE
4. Authentication failure detection and reaction

Cryptography management
This security function provides cryptographic mechanisms such as:

1. Creation, derivation, deletion, import and export of cryptographic keys
2. import of certificates
3. Keys Security attributes modifications
4. Generation of random bits which may be used for security services outside the platform.
5. Cryptographic operations (encryption, decryption, authentication, data integrity and confidentiality)

Access control and imports/export management
This security function provides access control mechanisms and imports/export mechanisms on following operations:

1. Import of user data with security attributes including Update Code Package
2. Export of user data with security attributes
3. Export of user data without security attributes
4. Cryptographic operations

Security management
This security function provides security mechanisms such as:

1. Management of security functions behaviour
2. Management of Authentication reference data
3. Management of security attributes of cryptographic keys
4. Maintaining roles: Unidentified User, Unauthenticated User, Key Owner, Application component, Administrator
5. Ensuring that only secure values are accepted for security attributes
6. Restricting the ability to manage security functions such as password authentication and trusted channel to the Administrator
7. Management of trusted channel

Protection management
This security function provides protection mechanisms such as:

1. Management of the integrity or confidentiality of data and TSF data that required integrity or confidentiality
2. Management of the residual information protection
3. Management of failures
4. Management of physical attack
5. Management of self-tests

10.2 TSS RATIONALE

10.2.1 [PP-GP] Protection Profile

Security Functional Requirement	Coverage by TSS Security Function(s)
FDP_IFC.2/GP-ELF	This SFR is covered by GP.CardContentManagement managing flow control for loading and installing application instances.
FDP_IFF.1/GP-ELF	This SFR is covered by GP.CardContentManagement managing flow control for loading and installing application instances.
FDP_ITC.2/GP-ELF	This SFR is covered by JCS.Package checking the binary compatibility of dependent packages using their version numbers and AIDs prior to installation operations.
FDP_IFC.2/GP-KL	This SFR is covered by GP.KeyLoading, GP.SecurityDomain and GP.SecureChannel.
FDP_IFF.1/GP-KL	This SFR is covered by GP.KeyLoading, GP.SecurityDomain and GP.SecureChannel.
FDP_ITC.2/GP-KL	This SFR is covered by GP.KeyLoading.
FMT_MTD.1/GP-LC	This SFR is covered by GP.CardContentManagement, GP.SecurityDomain and GP.GPRegistry.
FMT_MTD.1/GP-PR	This SFR is covered by GP.CardContentManagement, GP.SecurityDomain and GP.GPRegistry.
FCS_CKM.1/GP-SCP	This SFR is covered by GP.SecureChannel.
FCS_COP.1/GP-SCP	This SFR is covered by GP.SecureChannel.
FTP_TRP.1/GP-TF	This SFR is enforced by GP.TrustedFramework.
FMT_MSA.1/GP	This SFR is covered by GP.SecureChannel providing an APDU flow control using the Command security level check according to Card Life cycle and type of APDU.
FMT_MSA.3/GP	This SFR is covered by GP.SecureChannel providing setting of the default value.
FMT_SMR.1/GP	This SFR is covered by JCS.RunTimeExecution and GP.SecurityDomain managing the roles: S.OPEN, issuer, application provider, verification authority and controlling authority.
FMT_SMF.1/GP	This SFR is covered by GP.SecurityDomain and GP.SecureChannel.
FPT_RCV.3/GP	This SFR is addressed by JCS.RunTimeExecution, OS.MemoryManagement, GP.GPRegistry and GP.CardContentManagement covering the applet instance erasure when applet instance registration operation fails.
FPT_FLS.1/GP	This SFR is addressed by JCS.Package, JCS.RunTimeExecution and GP.CardContentManagement covering the applet instance registration operations and associated error handling.
FPT_TDC.1/GP	This SFR is addressed by GP.CardContentManagement, GP.SecureChannel and GP.KeyLoading.
FTP_ITC.1/GP	This SFR is addressed by GP.SecureChannel.
FCO_NRO.2/GP	This SFR is covered by GP.SecureChannel managing the secure channel protocol where several checks are performed prior ELF or Key loading: * mutual authentication between the external entity (Issuer or Application provider) and the selected security Domain, including creation of a session key, * by the verification of a (chained) MAC that the Issuer or Application provider attaches to each file or data block sent, * by the erase of the session key at the end of the session.
FIA_UID.1/GP	This SFR is covered by JCS.RunTimeExecution and GP.SecurityDomain controlling accessible action prior identification

Security Functional Requirement	Coverage by TSS Security Function(s)
	and action when SD or application associated to SD are selected.
FDP_UIT.1/GP	This SFR is covered by GP.SecureChannel providing a session key generation. It ensures that the whole package or data has been correctly received.
FDP_ROL.1/GP	This SFR is addressed by GP.CardContentManagement, GP.KeyLoading and OS.Atomicity.
FDP_UCT.1/GP	This SFR is covered by GP.SecureChannel which provides confidentiality protection for sensitive data (such as secret keys).
FPR_UNO.1/GP	This SFR is covered by JCS.RunTimeExecution and JCS.CryptoAPI.
FIA_UAU.1/GP	This SFR is covered by JCS.RunTimeExecution and GP.SecurityDomain (as for FIA_UID.1/GP).
FIA_UAU.4/GP	This SFR is covered by GP.SecureChannel.
FIA_AFL.1/GP	This SFR is covered by GP.SecureChannel.
FMT_MTD.3/GP	This SFR is covered by GP.GPRegistry.
FCS_COP.1/GP-CLFDB	This SFR is covered by GP.CLFDB.
FDP_ACC.1/GP-GS	This SFR is addressed by GP.GlobalServices.
FDP_ACF.1/GP-GS	This SFR is addressed by GP.GlobalServices.
FMT_MSA.1/GP-GS	This SFR is addressed by GP.GlobalServices.
FMT_MSA.3/GP-GS	This SFR is addressed by GP.GlobalServices.
FMT_SMR.1/GP-GS	This SFR is addressed by GP.GlobalServices.
FMT_SMF.1/GP-GS	This SFR is addressed by GP.GlobalServices.
FIA_AFL.1/GP-CVM	This SFR is addressed by GP.CVM.
FPR_UNO.1/GP-CVM	This SFR is addressed by GP.CVM.
FCO_NRR.1/GP-RECEIPT	This SFR is addressed by GP.DelegatedManagement.
FCO_NRO.2/GP-TOKEN	This SFR is addressed by GP.DelegatedManagement.
FCS_COP.1/GP-TOKEN	This SFR is addressed by GP.DelegatedManagement.
FCS_COP.1/GP-RECEIPT	This SFR is addressed by GP.DelegatedManagement.
FCS_COP.1/GP-DAP_SHA	This SFR is addressed by GP.DAP.
FCS_COP.1/GP-DAP_VER	This SFR is addressed by GP.DAP.
FCO_NRO.2/GP-DAP	This SFR is addressed by GP.DAP.
FCS_CKM.1/GP-CCCM	This SFR is addressed by GP.CCCM.
FCS_COP.1/GP-CCCM	This SFR is addressed by GP.CCCM.
FDP_IFC.2/GP-CCCM	This SFR is addressed by GP.CCCM.
FDP_IFF.1/GP-CCCM	This SFR is addressed by GP.CCCM.
FMT_MSA.1/GP-CCCM	This SFR is addressed by GP.CCCM.
FMT_MSA.3/GP-CCCM	This SFR is addressed by GP.CCCM.
FTP_ITC.1/GP-CCCM	This SFR is addressed by GP.CCCM.
FDP_ACC.1/GP-CTL	This SFR is addressed by GP.CTL.
FDP_ACF.1/GP-CTL	This SFR is addressed by GP.CTL.
FDP_ROL1/GP-CTL	This SFR is addressed by GP.CTL.
FMT_MSA.1/GP-CTL	This SFR is addressed by GP.CTL.
FMT_MSA.3/GP-CTL	This SFR is addressed by GP.CTL.
FMT_SMR.1/GP-CTL	This SFR is addressed by GP.CTL.
FMT_SMF.1/GP-CTL	This SFR is addressed by GP.CTL.
FTP_ITC.1/GP-CTL	This SFR is addressed by GP.CTL.
FDP_ACC.1/GP-ELFU	This SFR is addressed by GP.ELFU.
FDP_ACF.1/GP-ELFU	This SFR is addressed by GP.ELFU.
FDP_ROL.1/GP-ELFU	This SFR is addressed by GP.ELFU.
FMT_MSA.1/GP-ELFU	This SFR is addressed by GP.ELFU.
FMT_MSA.3/GP-ELFU	This SFR is addressed by GP.ELFU.
FMT_SMF.1/GP-ELFU	This SFR is addressed by GP.ELFU.
FPT_FLS.1/GP-ELFU	This SFR is addressed by GP.ELFU.
FDP_ACC.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FDP_ACF.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FMT_MSA.3/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FMT_SMR.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FMT_SMF.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FIA_ATD.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FTP_TRP.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.
FCS_COP.1/OS-UPDATE-DEC	This SFR is addressed by GP.OS-UPDATE.
FCS_COP.1/OS-UPDATE-VER	This SFR is addressed by GP.OS-UPDATE.
FPT_FLS.1/OS-UPDATE	This SFR is addressed by GP.OS-UPDATE.

Security Functional Requirement	Coverage by TSS Security Function(s)
FDP_ACC.2/FIREWALL	This SFR is covered by JCS.Firewall.
FDP_ACF.1/FIREWALL	This SFR is covered by JCS.Firewall.
FDP_IFC.1/JCVM	This SFR is covered by JCS.Firewall and JCS.APDUBuffer controlling unauthorized access or invalid storage of reference.
FDP_IFF.1/JCVM	This SFR is covered by JCS.Firewall.
FDP_RIP.1/OBJECTS	This SFR is covered by JCS.OutOfLifeDataUndisclosure (to avoid access to data prior erase) and JCS.EraseResidualData (to erase data).
FMT_MSA.1/JCRE	This SFR is covered by JCS.RunTimeExecution covering context switch and application selection.
FMT_MSA.1/JCVM	This SFR is covered by JCS.ByteCodeExecution requiring context switch for specific code execution and JCS.RunTimeExecution covering context switch and modification of the Currently Active Context according to given rules.
FMT_MSA.2/FIREWALL_JCVM	This SFR is addressed by JCS.RunTimeExecution covering object sharing.
FMT_MSA.3/FIREWALL	This SFR is addressed by JCS.RunTimeExecution covering object sharing.
FMT_MSA.3/JCVM	This SFR is addressed by JCS.RunTimeExecution covering object sharing.
FMT_SMF.1	This SFR is addressed by JCS.RunTimeExecution covering context management and instance registration.
FMT_SMR.1	This SFR is addressed by JCS.RunTimeExecution covering JCVM and JCRE roles.
FCS_CKM.1/TDES	This SFR is addressed by JCS.KeyManagement covering key generation.
FCS_CKM.1/AES	This SFR is addressed by JCS.KeyManagement covering key generation.
FCS_CKM.1/RSA	This SFR is addressed by JCS.KeyManagement covering key generation.
FCS_CKM.1/ECDSA	This SFR is addressed by JCS.KeyManagement covering key generation.
FCS_CKM.1/HMAC	This SFR is addressed by JCS.KeyManagement covering key generation.
FCS_CKM.6	This SFR is addressed by JCS.KeyManagement covering key deletion.
FCS_COP.1/TDES_CIPHER	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/TDES_MAC	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/AES_CIPHER	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/AES_MAC	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/RSA_SIGN	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/RSA_CIPHER	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/ECDSA_SIGN	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/ECDH	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/DH	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/Hash	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/HMAC	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_COP.1/CRC	This SFR is covered by JCS.CryptoAPI dealing with the cryptographic services provided to applets through the Javacard API.
FCS_RNG.1	This SFR is covered by JCS.CryptoAPI providing [AIS 20/31] DRG.4 random number generation to applets.
FDP_RIP.1/ABORT	This SFR is addressed by JCS.EraseResidualData covering data erasure.

Security Functional Requirement	Coverage by TSS Security Function(s)
FDP_RIP.1/APDU	This SFR is addressed by JCS.EraseResidualData covering data erasure.
FDP_RIP.1/GlobalArray	This SFR is addressed by JCS.EraseResidualData covering data erasure.
FDP_RIP.1/bArray	This SFR is addressed by JCS.OutOfLifeDataUndisclosure and JCS.EraseResidualData covering data erasure.
FDP_RIP.1/KEYS	This SFR is addressed by JCS.EraseResidualData covering data erasure.
FDP_RIP.1/TRANSIENT	This SFR is covered by JCS.OutOfLifeDataUndisclosure managing the access control to transient object to be erased prior the erasure of the content in memory.
FDP_ROL.1/FIREWALL	This SFR is addressed by JCS.RunTimeExecution covering transaction rollback during specific operations.
FAU_ARP.1	This SFR is addressed by JCS.RunTimeExecution, JCS.Exception, JCS.Firewall, and OS.MemoryManagement covering exception handling with different specific operations.
FDP_SDI.2/DATA	This SFR is addressed by JCS.OwnerPIN, JCS.KeyManagement, OS.Atomicity and OS.MemoryManagement covering integrity handling with specific operations.
FPR_UNO.1	This SFR is addressed by JCS.OwnerPIN, JCS.KeyManagement, JCS.CryptoAPI and OS.MemoryManagement covering data handling with specific operations avoiding observation.
FPT_FLS.1/JCS	This SFR is covered by JCS.Exception, JCS.ByteCodeExecution, JCS.RunTimeExecution, and OS.Atomicity preserving a secure state when unexpected events occur during specific operations.
FPT_TDC.1	This SFR is covered by JCS.Package enforcing export check, CAP file translation and link specific operations.
FIA_ATD.1/AID	This SFR is covered by JCS.RunTimeExecution and GP.GPRegistry controlling applet registration and uninstallation.
FIA_UID.2/AID	This SFR is covered by GP.GPRegistry and JCS.RunTimeExecution managing user identity (package AID) during applet selection and identify associated context provided.
FIA_USB.1/AID	This SFR is covered by GP.GPRegistry and JCS.RunTimeExecution managing registration of each applet and associated package during its installation with its AID.
FMT_MTD.1/JCRE	This SFR is covered by JCS.RunTimeExecution offering services for applet registration and uninstallation managing associated access rights.
FMT_MTD.3/JCRE	This SFR is fully covered by JCS.RunTimeExecution managing presence and legacy of AID with ISO rules.
FDP_ACC.2/ADEL	This SFR is covered by GP.CardContentManagement, GP.GPRegistry and JCS.RunTimeExecution checking rules for applet instance uninstallation and deletion dependency rules.
FDP_ACF.1/ADEL	This SFR is covered by GP.CardContentManagement, GP.GPRegistry and JCS.RunTimeExecution checking rules for applet instance uninstallation and deletion dependency rules.
FDP_RIP.1/ADEL	This SFR is covered by GP.CardContentManagement and JCS.OutOfLifeDataUndisclosure by checking operations to avoid access to freed resources prior to its reuse.
FMT_MSA.1/ADEL	This SFR is covered by GP.GPRegistry, GP.CardContentManagement and JCS.RunTimeExecution responsible of checking rules concerning applet attributes, implicit and explicit selection rules prior to authorize deletion operation.
FMT_MSA.3/ADEL	This SFR is covered by JCS.RunTimeExecution and GP.CardContentManagement dealing with Security Attributes initialization, providing secure, restrictive default values for the security attributes of subject and objects involved in applet deletion.
FMT_SMF.1/ADEL	This SFR is covered by GP.CardContentManagement, GP.SecurityDomain and JCS.RunTimeExecution.
FMT_SMR.1/ADEL	This SFR is covered by GP.SecurityDomain maintaining the ISD and SDD roles responsible of applet deletion. This SFR is also covered by JCS.RunTimeExecution maintaining the JCRE role for applet uninstallation

Security Functional Requirement	Coverage by TSS Security Function(s)
FPT_FLS.1/ADEL	This SFR is covered by GP.GPRegistry, JCS.RunTimeExecution and OS.Atomicity preserving a secure state when unexpected events occur during package or instance deletion, managing the transaction part of the deletion operation by either rolling back, or completing it.
FDP_RIP.1/ODEL	This SFR is covered by JCS.EraseResidualData and OS.MemoryManagement ensuring that the content of deleted objects is erased upon the deletion and by JCS.OutOfLifeDataUndisclosure making unavailable for disclosure upon further reallocation of the freed space.
FPT_FLS.1/ODEL	This SFR is covered by JCS.RunTimeExecution and OS.MemoryManagement performing memory management to release no more used memory on unreferenced objects and preserves a secure state when unexpected events occur during object deletion.
FPT_RCV.3/OS	This SFR is covered by OS.Atomicity.
FPT_RCV.4/OS	This SFR is covered by OS.MemoryManagement.
FDP_SDI.2/ARRAY	This SFR is covered by JCS.SensitiveArray.
FDP_SDI.2/RESULT	This SFR is covered by JCS.SensitiveResult.
FDP_SDI.2/MONOTONIC_COUNTER	This SFR is covered by JCS.MonotonicCounters.
FDP_SDI.2/CRT_MNGT	This SFR is covered by JCS.CryptographicCertificateManagement to securely manage public key certificates.
FCS_COP.1/CRT_MNGT	This SFR is covered by JCS.CryptographicCertificateManagement, dealing with the cryptographic services provided to applets that manage cryptographic certificates.
FCS_CKM.5/KDF	This SFR is covered by JCS.KeyDerivationFunctions dealing with cryptographic services provided to applets that derive cryptographic keys.
FPT_STM.1/SYS_TIME	This SFR is covered by JCS.SystemTime dealing with reliable system time, suitable for time stamps or for estimating intervals between events.

10.2.2 [PP-CSP] Protection Profile

Security Functional Requirement	Coverage by TSS Security Function(s)
FCS_CKM.1/AES-CSP	This SFR is addressed by Cryptography management covering key generation.
FCS_CKM.1/AES_RSA	This SFR is addressed by Cryptography management covering key generation.
FCS_CKM.1/ECC	This SFR is addressed by Cryptography management covering key generation.
FCS_CKM.1/ECKA-EG	This SFR is addressed by Cryptography management covering key generation.
FCS_CKM.1/PACE	This SFR is addressed by Cryptography management, Authentication management for cryptographic key generation in accordance with a key agreement for trusted channel PACE
FCS_CKM.1/RSA-CSP	This SFR is addressed by Cryptography management covering key generation.
FCS_CKM.1/SDEK	This SFR is addressed by Cryptography management, Protection management covering stored data encryption keys generation.
FCS_CKM.1/TCAP	This SFR is addressed by Cryptography management, Authentication management for cryptographic key generation in accordance with a specified key agreement algorithm by Terminal and Chip authentication protocols
FCS_CKM.6/CSP	This SFR is addressed by Cryptography management covering key deletion
FCS_CKM.5/AES	This SFR is covered by Cryptography management for Cryptographic key derivation
FCS_CKM.5/AES_RSA	This SFR is covered by Cryptography management for Cryptographic key derivation
FCS_CKM.5/ECC	This SFR is covered by Cryptography management for Cryptographic key derivation

Security Functional Requirement	Coverage by TSS Security Function(s)
FCS_CKM.5/ECDHE	This SFR is covered by Cryptography management for Cryptographic key derivation
FCS_CKM.5/ECKA-EG	This SFR is covered by Cryptography management for Cryptographic key derivation
FCS_COP.1/CDS-ECDSA	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/CDS-RSA	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/DecUCP	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/ED	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/Hash-CSP	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/HDM	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/HEM	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/HMAC-CSP	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/KU	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/KW	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/MAC	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/SDE	This SFR is covered by Cryptography management, Protection management dealing with the cryptographic services provided to applets
FCS_COP.1/TCE	This SFR is covered by Cryptography management, Protection management dealing with the cryptographic services provided to applets
FCS_COP.1/TCM	This SFR is covered by Cryptography management, Protection management dealing with the cryptographic services provided to applets
FCS_COP.1/VDS-ECDSA	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/VDS-RSA	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_COP.1/VDSUCP	This SFR is covered by Cryptography management, Authentication management dealing with the cryptographic services provided to applets
FCS_RNG.1/CSP	This SFR is covered by Cryptography management providing random number generation to applets.
FDP_ACC.1/KM	This SFR is addressed by Access control and imports/export management for security attributes management
FDP_ACC.1/Oper	This SFR is addressed by Access control and imports/export management checking subset access control for cryptographic operations SFP
FDP_ACC.1/UCP	This SFR is addressed by Access control and imports/export management checking subset access control for update code package
FDP_ACF.1/Oper	This SFR is addressed by Access control and imports/export management checking rules for access control of cryptographic operations
FDP_ACF.1/UCP	This SFR is addressed by Access control and imports/export management checking rules for access control of the update code package
FDP_DAU.2/Att	This SFR is addressed by Protection management, Authentication management providing a capability to generate evidence that can be used as a guarantee of the validity of attestation data to external entities
FDP_DAU.2/Sig	This SFR is addressed by Protection management, Authentication management providing a capability to generate evidence that can be used as a guarantee of the validity of attestation data to external entities
FDP_ETC.1	This SFR is addressed by Access control and imports/export management enforcing the Cryptographic Operation SFP when exporting user data
FDP_ETC.2	This SFR is addressed by Access control and imports/export management enforcing the Cryptographic Operation SFP when exporting user data
FDP_ITC.2/UCP	This SFR is addressed by Access control and imports/export management enforcing the Update SFP when importing user data from outside of the TOE

Security Functional Requirement	Coverage by TSS Security Function(s)
FDP_ITC.2/UD	This SFR is addressed by Access control and imports/export management for subset access control when importing user data with security attributes
FDP_RIP.1/UCP	This SFR is addressed by Protection management covering the erasure of received UCP after unsuccessful verification of its authenticity
FDP_SDC.1	This SFR is addressed by Protection management covering memory confidentiality handling by encryption
FIA_AFL.1	This SFR is addressed by Authentication management covering detection and reaction on failed authentication attempts
FIA_API.1/CA	This SFR is addressed by Authentication management covering authentication handling to prove the identity of the TOE to external entities
FIA_API.1/PACE	This SFR is addressed by Authentication management covering authentication handling to prove the identity of the TOE to external entities
FIA_ATD.1	This SFR is addressed by Authentication management covering the definition of security attributes of individual users
FIA_UAU.1	This SFR is addressed by Authentication management covering the TSF-mediated actions allowed on behalf of Unauthenticated User
FIA_UAU.5	This SFR is addressed by Authentication management providing Multiple authentication mechanisms
FIA_UAU.6	This SFR is addressed by Authentication management covering re-authentication of users
FIA_UID.1	This SFR is addressed by Authentication management covering the TSF-mediated actions allowed on behalf of Unidentified User
FIA_USB.1	This SFR is addressed by Authentication management covering User-subject binding
FMT_MOF.1	This SFR is addressed by Security management covering the limitation of configuration of the trusted channel to an administrator
FMT_MSA.1/KM	This SFR is addressed by Cryptography management for security attributes of cryptographic keys management
FMT_MSA.2	This SFR is addressed by Security management for secure security attributes management
FMT_MSA.3/KM	This SFR is addressed by Cryptography management, Security management for security attributes management of cryptographic keys
FMT_MTD.1/KM	This SFR is addressed by Cryptography management covering the management of cryptographic keys
FMT_MTD.1/RAD	This SFR is covered by Security management managing of TSF data – Authentication reference data
FMT_MTD.1/RK	This SFR is covered by Security management managing of TSF data – Root Key
FMT_MTD.3	This SFR is covered by Security management managing secure TSF data
FMT_SAE.1	This SFR is covered by Security management managing Time-limited authorization
FMT_SMF.1/CSP	This SFR is covered by Security management specifying the Management Functions
FMT_SMR.1/CSP	This SFR is covered by Security management defining the Security roles
FPT_ESA.1/CK	This SFR is covered by Access control and imports/export management managing the export of TSF data with security attributes – Cryptographic keys
FPT_FLS.1	This SFR is covered by Protection management managing failure with preservation of secure state
FPT_ISA.1/Cert	This SFR is covered by Access control and imports/export management managing the import of TSF data with security attributes – Certificates
FPT_ISA.1/CK	This SFR is covered by Access control and imports/export management managing the import of TSF data with security attributes – Cryptographic keys
FPT_PHP.3	This SFR is covered by Protection management insuring resistance to physical attack
FPT_TCT.1/CK	This SFR is covered by Access control and imports/export management insuring Cryptographic keys confidentiality transfer protection
FPT_TDC.1/CK	This SFR is covered by Access control and imports/export management managing Inter-TSF basic TSF data consistency – Key import
FPT_TDC.1/Cert	This SFR is covered by Access control and imports/export management managing Inter-TSF basic TSF data consistency - Certificate

Security Functional Requirement	Coverage by TSS Security Function(s)
FPT_TDC.1/UCP	This SFR is covered by Access control and imports/export management managing Inter-TSF basic TSF data consistency
FPT_TIT.1/Cert	This SFR is covered by Protection management insuring Certificates integrity transfer protection
FPT_TIT.1/CK	This SFR is covered by Protection management insuring Cryptographic keys integrity transfer protection
FPT_TST.1	This SFR is fulfilled by Protection management implementing tests to protect the TOE
FRU_FLT.2	This SFR is covered by Protection management managing Limited fault tolerance
FTP_ITC.1	This SFR is covered by Security management managing Inter-TSF trusted channel

END OF DOCUMENT